

CALL FOR PROPOSALS

SUBSTANCE ABUSE POLICY RESEARCH

P R O G R A M

Round XI

Large Grants (\$100,000–\$400,000)—Brief Proposal Deadline: November 14, 2006

Small Grants (under \$100,000)—Brief Proposal Deadlines: November 14, 2006 and May 8, 2007



Robert Wood Johnson
Foundation

PROGRAM OVERVIEW

(Please refer to specific sections for complete detail.)

Purpose

The Substance Abuse Policy Research Program is designed to encourage experts in public health, law, political science, medicine, sociology, criminal justice, economics, psychology and other behavioral and policy sciences to address issues related to substance use, one of the most pressing public health problems facing our nation. Projects are expected to increase understanding of public and private policy interventions to prevent, treat and reduce the harm caused by the use of tobacco, alcohol and other drugs.

Eligibility and Selection Criteria (page 8)

Preference will be given to applicants who are tax-exempt under Section 501(c)(3) of the Internal Revenue Code and are not private foundations as defined under Section 509(a). For a complete list of Eligibility and Selection Criteria please see page 8.

Total Awards—Round XI

- Up to \$4 million is available in this round of funding.
- Funding information for the new Targeted Rapid Response Grants can be found on page 5.

Key Dates and Deadlines

- **November 14, 2006 (3 p.m. ET)**—Deadline for receipt of brief proposals submitted online.
 - **Mid February 2007**—Applicants will be notified if selected to submit a full proposal.
 - **April 3, 2007 (3 p.m. ET)**—Deadline for receipt of full proposals submitted online.
 - **May 8, 2007 (3 p.m. ET)**—Deadline for receipt of Round 2 brief proposals submitted online.
 - **Early June 2007**—Notification of awards.
 - **August 2007–October 2007**—Initiation of projects.
- The Targeted Rapid Response Grants are accepted at any time without specific deadlines.

How to Apply

This program only accepts proposals submitted online (with the exception of Targeted Rapid Response Grants). For information on the program, eligibility criteria and application requirements please contact the national program office:

Phone: (336) 286-4548

E-mail: saprp@leaders.ccl.org

www.saprp.org

BACKGROUND

The misuse of alcohol and other drugs is one of our nation's most pressing public health problems, responsible for more than half a million preventable deaths annually. Morbidity and mortality from the use of tobacco, alcohol and other drugs are staggering, as are the societal costs, including violence, crime, overburdened health care and other service systems, higher health care costs and reduced productivity. Use and misuse of addictive substances touch every aspect of our society, and we seek ways to reduce their toll.

The Robert Wood Johnson Foundation (RWJF) focuses on the pressing health and health care issues facing our country. As the nation's largest philanthropy devoted exclusively to improving the health and health care of all Americans, the Foundation works with a diverse group of organizations and individuals to identify solutions and achieve comprehensive, meaningful and timely change. As part of its mission, the Foundation seeks to reduce the personal, social and economic harm caused by the misuse of tobacco, alcohol and other drugs.

The Substance Abuse Policy Research Program (SAPRP) identifies and assesses policies intended to reduce the harm caused by substance use disorders; analyzes the development, feasibility, effectiveness and likely consequences of those policies; and helps ensure that the understanding gained through those analyses will be used by decision-makers in the public and private sectors. The program provides the opportunity for policy researchers from a variety of disciplines to apply their expertise to important, challenging problems in this field.

SAPRP projects focus on producing policy relevant information about ways to reduce the harm caused by the use of tobacco, alcohol and other drugs in the United States. The program seeks to encourage experts in public health, law, political science, medicine, sociology, criminal justice, economics and other behavioral and policy sciences to address issues related to substance abuse.

The program actively communicates research results to, policy-makers, media, researchers, program administrators, the public health community, the general public and other important stakeholders. In doing so, SAPRP plays an important role in the development of policies to improve the way society addresses the problems of use and misuse of addictive substances.

To date SAPRP has funded approximately 300 studies. SAPRP-funded research has been published in major peer-reviewed journals, reported on by national and local media, and included in testimonies before Congress and numerous state and city legislatures. Please visit the program's Web site at www.saprp.org for a complete list of funded studies and project abstracts.

THE PROGRAM

SAPRP makes grants for policy studies relating to alcohol, tobacco and other drugs.

The primary focus of SAPRP grants for this round of funding in the areas of alcohol and other drugs will be to reduce the harmful effects of substance use disorders and addictions by improving the quality of care for the millions of Americans who need treatment. To help achieve this objective, SAPRP will make grants of under \$100,000 and larger grants of between \$100,000 and \$400,000.

The primary focus of SAPRP grants for this round of funding in tobacco will be in three primary areas: (1) policies targeted at preventing or reducing tobacco use and exposure (e.g., comprehensive clean indoor air policies, tobacco tax increases, reduced co-pays for tobacco treatment); (2) health care system strategies that seek to increase consumer demand for and use of proven cessation products/services; and, (3) tracking and evaluating the tobacco control policy gains achieved over the past decade. As a guiding principle, at least two-thirds of all SAPRP's tobacco-related

grantmaking will be focused on these objectives and will be limited to grants of under \$100,000 only.

This document and the Frequently Asked Questions (FAQs) on funding guidelines on the SAPRP Web site (www.saprp.org) provide important guidance to prospective grantees. However, all SAPRP applicants are encouraged to learn more about the Foundation's Addiction Prevention and Treatment, and Tobacco Use and Exposure Interest Areas by visiting RWJF's Web site (www.rwjf.org).

Targeted Rapid Response (TRR) Grant—NEW

SAPRP will provide funding of up to \$30,000 to support time-sensitive policy analyses that will advance knowledge on key issues of substance abuse policy (alcohol, tobacco and other drugs). Organizations such as public interest groups, policy think tanks, non-governmental organizations and health and health care provider organizations may apply. These projects' findings must be timely and made widely available, so they can inform policy development or implementation.

Requirements for Funding

- Time-sensitive (findings needed within 6–9 months). Projects that will report findings within six months will receive the highest priority.
- Useful to specific audiences who work on the front lines of substance abuse policy and that inform policy broadly.
- Request may be up to \$30,000 for up to 12 months.

Proposals that are not time-sensitive should be submitted as an application for the under \$100,000 grants, and not as a TRR. If you are interested in a TRR Grant, you must discuss your topic with Andrea Williams, *deputy director*, and receive approval from her prior to submitting a proposal. To schedule a discussion, e-mail Andrea Williams at williamsa@leaders.ccl.org.

Alcohol and Other Drugs

Improving the quality of addiction treatment will represent at least two-thirds of SAPRP's future funding in alcohol and drugs.

Priority areas within this objective include:

1. Analyzing systems that provide treatment to the public.
2. Defining and measuring/tracking treatment quality.
3. Determining how the purchasing, regulatory and financing systems support quality treatment.
4. Determining how systems and the practices of providers support treatment quality.
5. Determining which factors and processes will increase the adoption of evidence-based treatment.
6. Understanding the role of the consumer and how consumers can improve treatment quality.

The remaining one-third of the funding will go to cutting edge policy research, and to the questions of how models of chronic disease prevention relate to drug and alcohol treatment, as well as how policies and systems support integration of evidence-based prevention into primary care services.

Tobacco Use and Exposure

Policy research that informs efforts on clean indoor air laws, tobacco cessation treatments and sustainability of policy gains will represent the majority of SAPRP's funding in tobacco.

1. Clear indoor air laws.

What are the health and economic impacts of clean indoor air laws, including immediate population-level health impacts (e.g., reduced heart attack rates)? Proposed studies should address key gaps in existing research and inform efforts to reduce tobacco use and exposure, especially among the populations that suffer from the highest levels of tobacco use and exposure to secondhand smoke, including low-income, blue-collar and minority populations.

2.Consumer demand for and use of evidence-based tobacco cessation treatments.

What policies and health care system and other strategies can increase consumer demand for and use of proven cessation products/services recommended by the USPHS clinical practice guidelines (e.g., behavioral and pharmacological treatments) and reduce current socioeconomic and racial/ethnic disparities in treatment use and population quit rates?

- a. Policies include: clean indoor air laws, tobacco tax increases, expansion of health coverage.
- b. Other strategies include: dedicated funds for quit lines and other treatment services, and cessation media campaigns.
- c. Studies in this category also might address the comparative and/or synergistic effects of those policies, systems changes and other strategies on treatment outcomes and health and economic impacts. Proposals that address the needs of populations that have the highest smoking prevalence and that receive the lowest amount of treatment for tobacco use (e.g., working class/blue-collar populations, those with least income and education, underserved racial/ethnic minority groups) would also be of interest.

3.Sustaining policy gains in tobacco control.

What changes in financing, policy and other factors are likely to threaten, solidify or extend policy gains? Scientific studies that forecast/model potential future changes or track the new strategies of the tobacco industry (e.g., promotion of potentially reduced exposure products [PREPs] or programs sponsored by the tobacco industry) are of special interest, as are those projecting the most strategic policy-related targets for future tobacco control investments (e.g., Master Settlement Agreement [MSA] funds, potential Department of Justice Settlement funds).

Cutting edge research in other tobacco policy areas, including studies that evaluate unanticipated policy changes and “natural experiments,” will be considered, although limited funding (no more than one-third) is available outside the three priority areas listed above.

ELIGIBILITY AND SELECTION CRITERIA

Preference will be given to applicants who are tax-exempt under Section 501(c)(3) of the Internal Revenue Code and are not private foundations as defined under Section 509(a).

SAPRP encourages collaborative or multidisciplinary policy research projects involving researchers and community organizations or policy-making organizations. Because this program focuses on the United States, studies of other countries' policies will be considered only to the extent they may directly affect U.S. policy.

Proposals must demonstrate the potential to produce new information directly relevant to policies intended to reduce the harm caused by use and abuse of addictive substances. All proposals will be assessed by a committee composed of RWJF staff, SAPRP national program office (NPO) staff and senior program consultants, supplemented by outside expert reviewers in the full proposal stage.

The committee will use the following criteria:

- Significance of the substance abuse policy being analyzed or evaluated.
- Timeliness for affecting policy development or implementation.
- Innovativeness.
- Quality and availability of the data to be used and the strength of the proposed methodology. (Depending on the focus of the project, primary data collection or secondary data analysis may be supported.)
- Applicant's expertise and qualifications for conducting proposed research.
- Reasonableness of work plan and budget for work scope proposed.

All applicants are expected to follow RWJF's current indirect cost policy.

All primary data collected under this program are subject to the Foundation's general requirement for producing data tapes for public use. We encourage potential applicants to visit the program's Web site at www.saprp.org and review the abstracts of funded projects and Suggestions for Strengthening Grant Proposals, which give an idea of the types of studies previously funded under this program.

USE OF GRANT FUNDS

Grant funds may be used for project staff salaries, consultant fees, data collection and analysis, supplies and other direct expenses, including a limited amount of equipment deemed essential to the proposed project. RWJF will support indirect costs at a rate of up to 12 percent. All applicants are expected to follow RWJF's current indirect cost policy (see RWJF Web site for full description of current policies). Grant funds may not be used to subsidize individuals for the cost of health care, to support clinical trials of unapproved drugs or devices, to construct or renovate facilities, for lobbying or as a substitute for funds currently being used to support similar activities.

Grant funding amounts and time periods are flexible and will be commensurate with the size and scope of the proposed activity. Projects that require substantial primary data collection, for example, would probably require more time and funding than would be involved in some other types of policy analyses. Total project awards will be funded up to a maximum of \$400,000 (including indirect costs) and may extend for a maximum of three years.

GRANT MONITORING

Grantees are expected to meet RWJF requirements for the submission of progress and financial reports. Principal investigators are expected to participate in annual SAPRP grantee meetings (typically held in the fall or winter), that include communications training sessions, and to give progress reports on their grants. At the close of each grant, principal investigators are expected to provide a written report on the project and its findings and respond to inquiries about publications and other products. In some instances, principal investigators and co-investigators will be asked to participate in media and policy briefings and other forums that will help communicate research results to a wide audience.

HOW TO APPLY

There are two stages in the application process: submission of a brief proposal and, *if invited*, submission of a full proposal at a later date.

All brief and full proposals must be submitted through the RWJF Grantmaking Online system. To access the Grantmaking Online system please visit the SAPRP Web site at www.saprp.org.

A limited number of applicants who have submitted brief proposals will be requested to submit more detailed, full proposals for which instructions will be provided. RWJF does not provide written critiques of proposals submitted.

For information on the program, eligibility criteria and application process please contact the NPO:

Phone: (336) 286-4548

E-mail: saprp@leaders.ccl.org

For more information on funded projects and frequently asked questions, please visit the SAPRP Web site at www.saprp.org.

PROGRAM DIRECTION

Direction and technical assistance for this program are provided by the Center for Creative Leadership, which serves as the national program office.

Substance Abuse Policy Research Program

Center for Creative Leadership

P.O. Box 26300

Greensboro, NC 27438-6300

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E-mail: saprp@leaders.ccl.org

www.saprp.org

Physical Address for Express Mail Delivery:

One Leadership Place

Greensboro, NC 27410

Responsible staff members at the NPO are:

- David G. Altman, Ph.D., *program director*
- Marjorie A. Gutman, Ph.D., *co-director*
- Andrea E. Williams, M.Ed., *deputy director*
- Susan James Frye, M.M., *grants administrator*
- Michele Collins, M.P.H., *review coordinator*
- Prabhu Ponske, M.A., LL.B., *communications director*

Additional program direction is provided by the following senior program consultants:

- Lawrence Brown, Jr., M.D., M.P.H., *senior vice president, Division of Medical Services, Evaluation and Research, Addiction Research and Treatment Corporation, Brooklyn, NY*
- R. Lorraine Collins, Ph.D., *senior research scientist, Research Institute on Addictions, State University of New York, Buffalo*
- Michael Eriksen, Sc.D., *professor and director, Institute of Public Health, Georgia State University*
- Arthur Evans, *director, Office of Behavioral Health and Mental Retardation Services, Philadelphia, PA*

- Richard Frank, Ph.D., *professor, Department of Health Care Policy, Harvard Medical School*
- Dennis McCarty, Ph.D., *professor, Department of Public Health and Preventive Medicine, Oregon Health and Science University*
- Lorraine T. Midanik, Ph.D., *acting dean and professor, School of Social Welfare, University of California, Berkeley*
- Robert R. Rabin, J.D., Ph.D., *A. Calder Mackay Professor of Law, Stanford Law School*
- Stephen D. Sugarman, J.D., *Roger J. Traynor Professor of Law, University of California, Berkeley*

Responsible staff members at the Robert Wood Johnson Foundation are:

- Victor A. Capoccia, Ph.D., *senior program officer*
- C. Tracy Orleans, Ph.D., *senior scientist, senior program officer, and RWJF Distinguished Fellow*
- Joseph F. Marx, *senior communications officer*
- Gloria Fitzgerald, *grants administrator*

NOTES

TIMETABLE

November 14, 2006 (3 p.m. ET)

Deadline for receipt of brief proposals submitted online (for either Large grants of \$100,000–\$400,000 or Small grants of under \$100,000).

Mid February 2007

Applicants will be notified if selected to submit a full proposal (in either category).

April 3, 2007 (3 p.m. ET)

Deadline for receipt of full proposals submitted online.

May 8, 2007

Deadline for receipt of Round 2 brief proposals submitted online.

Early June 2007

Notification of awards.

August 2007–October 2007

Initiation of projects.

ABOUT RWJF

The Robert Wood Johnson Foundation® focuses on the pressing health and health care issues facing our country. As the nation's largest philanthropy devoted exclusively to improving the health and health care of all Americans, the Foundation works with a diverse group of organizations and individuals to identify solutions and achieve comprehensive, meaningful and timely change. The Foundation seeks to:

- assure that all Americans have access to quality health care at reasonable cost;
- improve the quality of care and support for people with chronic health conditions;
- promote healthy communities and lifestyles;
- reduce the personal, social and economic harm caused by substance abuse—tobacco, alcohol and illicit drugs.

For more than 30 years the Foundation has brought experience, commitment and a rigorous, balanced approach to the problems that affect the health and health care of those it serves. When it comes to helping Americans lead healthier lives and get the care they need, the Foundation expects to make a difference in your lifetime.

For more information, visit www.rwjf.org.

Sign up to receive e-mail alerts on upcoming calls for proposals at: <http://www.rwjf.org/services/>.



Robert Wood Johnson
Foundation

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