



October 20, 2011

Re: Request for Proposal (RFP) No.PAL1011
Sponsorship of Emergency Roadway Patrol

Prospective Proposer:

The Metropolitan Transportation Authority ("MTA") is seeking to award an exclusive license to sponsor the Emergency Road Patrol at MTA Bridges and Tunnels ("B&T" legal name, Triborough Bridge and Tunnel Authority).

B&T's Emergency Road Patrol ("ERP") provides 24 hour/7 day a week free-of-charge assistance to motorist traveling on the nine (9) B&T facilities, which include the Robert F. Kennedy Bridge (formerly Triborough Bridge), Throgs Neck Bridge, Verrazano-Narrows Bridge, Bronx-Whitestone Bridge, Henry Hudson Bridge, Marine Parkway-Gil Hodges Memorial Bridge, Cross Bay Veterans Memorial Bridge, Brooklyn-Battery Tunnel and the Queens Midtown Tunnel. Over one million people in nearly one million vehicles use these toll facilities each day.

ERP's forty-one (41) vehicles significantly decrease motorist delays and increase motorist safety by providing quick emergency roadway assistance and removal of disabled vehicles on high volume, limited access B&T crossings and approach roadways in New York City.

Please read the following pages carefully for critical information and instructions on how to prepare your proposal submission. The Proposal Checklist is attached as Appendix VI. Submissions should be mailed or delivered in a sealed envelope to the attention of:

Metropolitan Transportation Authority
Real Estate Department
New York, NY 10017
Attn: Peter Lyons
RE: RFP PAL 1011

Submissions of proposals for this license must be received by 3 p.m. on Thursday, December 15, 2011

Please note that all contacts with the MTA relating to this RFP must be made through the designated Point of Contact. Contact with anyone else at MTA relating to this RFP may be a violation of the law and may result in the disqualification of the proposer. The Point of Contact for this RFP is Peter Lyons, Senior Real Estate Manager, who may be reached at (212) 878-7326.

Sincerely,

Peter Lyons



Metropolitan Transportation Authority

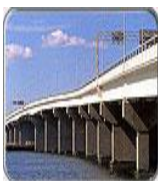
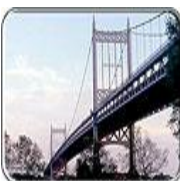
Request for Proposals

for

Sponsorship of MTA Bridges and Tunnels' Emergency Road Patrol



Submission Deadline: December 15, 2011 at 3 p.m.





Metropolitan Transportation Authority

****IMPORTANT****

EXCEPT AS EXPRESSLY AUTHORIZED BY THE PROJECT MANAGER OR AS OTHERWISE EXPRESSLY AUTHORIZED BY NEW YORK STATE FINANCE LAW §§ 139-J AND 139-K, DURING THE “RESTRICTED PERIOD” OF THIS SOLICITATION, NEITHER PROPOSERS, NOR ANY EMPLOYEE, AGENT OR CONSULTANT OR PERSON ACTING ON BEHALF OF PROPOSERS, MAY “CONTACT” BOARD MEMBERS, OFFICIALS, EMPLOYEES (OTHER THAN THE PROJECT MANAGER AND ANY OTHER PERSONS EXPRESSLY DECLARED BY MTA TO BE DESIGNATED POINTS OF CONTACT FOR THIS RFP) OR CONSULTANTS OF MTA OR ANY OF ITS AFFILIATES OR SUBSIDIARIES, THE STATE OF NEW YORK OR ANY OTHER GOVERNMENTAL ENTITY REGARDING THIS RFP AND RELATED MATTERS.

FAILURE TO OBSERVE THIS REQUIREMENT MAY RESULT IN THE PROPOSER’S DISQUALIFICATION FROM CONSIDERATION PURSUANT TO THIS RFP.

THE TERMS “CONTACT” AND “RESTRICTED PERIOD” ARE DEFINED IN NEW YORK STATE FINANCE LAW §§ 139-J AND 139-K AND THOSE “CONTACTS” THAT ARE CONSIDERED TO BE IMPERMISSIBLE ARE DESCRIBED THEREIN.

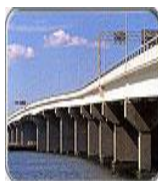
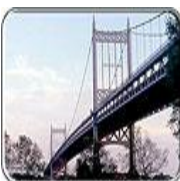


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REQUEST FOR PROPOSALS

I. INTRODUCTION

MTA, on behalf of MTA Bridges and Tunnels (“B&T”, legal name, Triborough Bridge and Tunnel Authority), is seeking proposals from parties who want to sponsor B&T’s Emergency Road Patrol (“ERP”). B&T will award one exclusive license to sponsor its ERP.

This sponsorship creates the unprecedented opportunity for a brand to be exposed to more than one million people, 24 hours a day, seven days a week, in the New York metropolitan area as it includes the placement of the sponsor’s logo and slogan on B&T’s ERP fleet (currently comprised of forty-one (41) vehicles). During rush hours the trucks are positioned at the approach of the B&T bridges and tunnels. During non-rush hours they patrol the facilities.

B&T’s bridges and tunnels forge vital links among the city’s boroughs, ultimately shaping regional travel and economic patterns. Its facilities are:

- Bronx-Whitestone Bridge
- Brooklyn-Battery Tunnel
- Cross Bay Veterans Memorial Bridge
- Henry Hudson Bridge
- Marine Parkway-Gil Hodges Memorial Bridge
- Queens Midtown Tunnel
- Robert F. Kennedy Bridge (formerly Triborough Bridge)
- Throgs Neck Bridge
- Verrazano-Narrows Bridge

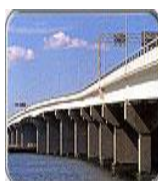
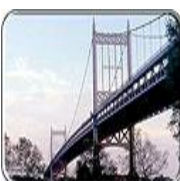
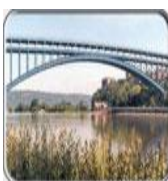
II. IMPORTANT DATES AND RFP INFORMATION

Question Submission Deadline: November 21, 2011

Questions may be submitted via email to:

MTARE@MTAHQ.org (please type “ERP Sponsorship “ in the subject line) or via regular mail to the following address:

Metropolitan Transportation Authority
Real Estate Department
347 Madison Avenue
New York, NY 10017
Attention: Emergency Roadside Patrol RFP





Pre-Proposal Conference

A pre-proposal conference will be held on Tuesday, November 15th at 10:00 a.m. at the MTA Bridges and Tunnels Randalls Island Fleet Garage on Randalls Island, New York, NY. MTA and B&T representatives will be available at this time to answer any questions regarding the operation of the ERP program. The directions to the location can be found on Exhibit C.

Inspection of Vehicles

Proposers must rely on their own independent assessment and investigation as the basis for the submission of any proposal. The primary opportunity for proposers to view the ERP vehicles will be after the pre-proposal conference on Tuesday, November 15th at 10:00 a.m. . After this opportunity, proposers will be able to view but not inspect the ERP vehicles in operation during non-rush hours at any of the following B&T facilities: include the Robert F. Kennedy Bridge Throgs Neck Bridge, Verrazano-Narrows Bridge, Bronx-Whitestone Bridge, Henry Hudson Bridge, Marine Parkway-Gil Hodges Memorial Bridge, Cross Bay Veterans Memorial Bridge, Brooklyn-Battery Tunnel and the Queens Midtown Tunnel.

If an additional inspection is desired, an appointment must be made through the Designated Point of Contact who will coordinate with MTA Bridges and Tunnels Director of Fleet Operations to arrange a site visit to inspect Emergency Response Patrol vehicles.

Directions to the conference and inspection can be found in Exhibit C.

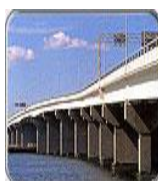
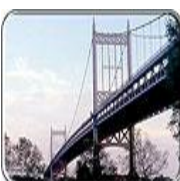
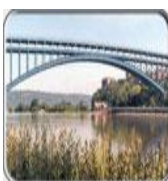
Proposal Due Date

Proposals must be received by the MTA at the below address no later than 3:00 P.M. EST on Thursday, December 15, 2011.

Proposals will be accepted at the following address:

**Metropolitan Transportation Authority
Real Estate Department
347 Madison Avenue, 8th Floor
New York, NY 10017
Attention: Emergency Road Patrol RFP**

Strict adherence to these deadlines is necessary, without exception.



Designated Point of Contact

All contacts with the MTA relating to this RFP must be made through the Designated Point of Contact. Contacts with anyone else at MTA relating to this RFP may be a violation of the law and may result in the disqualification of the proposer. The Designated Point of Contact for this RFP is:

Peter Lyons
Senior Real Estate Manager
Metropolitan Transportation Authority
347 Madison Avenue
New York, New York 10017
E-mail: plyons@mtahq.org

RFP Guidelines

1. Once submitted, a proposal becomes the property of the MTA and constitutes an offer by the proposer that may not be revoked until the 120th day after the stated Submission Deadline, and thereafter only in writing. No lease or license shall be deemed granted and no rights whatsoever shall accrue to the proposer or any other person against the MTA or any affiliate or subsidiary thereof, nor shall there be deemed to be a lease or license for any property unless and until a fully executed agreement is delivered to the proposer. The execution of an agreement by the MTA or an affiliate or subsidiary is usually subject to the approval of the MTA Board.
2. The MTA reserves the right, without liability, to:
 - a. Postpone the submission deadline;
 - b. Reject any and all proposals;
 - c. Negotiate all terms and conditions, including compensation and location, with any proposer.
 - d. Modify or withdraw this RFP or any property specified in it at any time and without explanation; or
 - e. Waive any requirement.
3. The information provided in this RFP invitation is summary in nature and has been prepared without audit or verification. No representations or warranties of any kind, either express or implied, are made with respect to such information by the MTA, its affiliates or subsidiaries, or by any officer, employee or agent thereof. Applicants must recognize that the properties are being offered on an “as-is” basis and that applicants’ proposals must rely solely on their own independent assessment.





4. Eligibility: MTA employees, or employees of any affiliate or subsidiary, are not eligible to propose. A proposal submitted by any such employee shall be disqualified.

III. ABOUT MTA BRIDGES AND TUNNELS

Largest among the nation's bridge and tunnel toll authorities in terms of traffic volume, MTA Bridges and Tunnels, each day, serves over 900,000 vehicles and more than a million people in the New York metropolitan area. As a constituent agency of the Metropolitan Transportation Authority (MTA), its role is to operate seven bridges and two tunnels

IV. ABOUT EMERGENCY ROAD PATROL

ERP provides free motorist assistance 24 hours a day, 7 days a week and is currently comprised of forty-one (41) Emergency Tow Wreckers that consist of 23 Light/Medium Duty Wreckers, 10 Heavy Duty Wreckers and 8 Flat Bed Car Carriers. In 2010, this fleet provided aid to more than 12,600 motorists. Pictures of the different types of wreckers can be found in Exhibit B.

The average response time in 2010 was seven (7) minutes and the average interaction time between a disabled vehicle and an ERP vehicle was fifteen (15) to thirty (30) minutes.

Wreckers are defined as vehicles that are used to aid disabled vehicles on B&T's 7 bridges and 2 tunnels.

V. Term of Sponsorship

B&T is offering an exclusive license for a term of five (5) years with a five (5) year option to renew, at B&T's discretion, for a maximum term of ten (10) years.

VI. PROPOSAL OPPORTUNITY

The selected sponsor will be given the right to place its logo and short slogan on: 1) all of B&T's 41 ERP vehicles, 2) roadway signage on B&T's facilities, 3) uniforms of the ERP drivers, and 4) a web page on B&T's section at MTA.info. MTA will also distribute a public news release announcing the sponsorship.

- 1) Branding of ERP vehicles: The Sponsor will have the right to affix decals displaying its logo and company color(s), to each vehicle. The size, content, and location of the decals are subject to B&T prior approval.
- 2) Signage: A total of up to four (4) signs will be allowed to be erected on each of the facilities identifying the selected company as the sponsor of B&T's Emergency Road Patrol. The size of the signs to be determined by B&T. Signs on the bridges cannot exceed 4'x5'.





- 3) Uniforms: The outer uniform garments of the Maintenance Division drivers including rain, winter gear and safety vests will be available for branding. (Uniformed Law enforcement personnel operating vehicles during midnight tours, weekends and at tunnels **will not** be included in branding.) Logo size, color and location on the uniform shall be subject to B&T's prior approval.
- 4) MTA.info: Subject to MTA's prior approval, the sponsor will have the right to collaborate with MTA on development of one page on B&T's section of MTA.info, which will prove info on the sponsorship and a link to the sponsor's web site home page.
- 5) Press Release: A press release from MTA B&T informing the public of the commencement and details of the sponsorship.

Installation

B&T wants to and is able to handle production of all materials including installing logos and decals on equipment, signs and outer uniform garments at the cost of the sponsor.

Sponsor will be responsible for reimbursing B&T for the cost of all work, services, outer garment uniform branding and production for any and all materials and installations related to this sponsorship for the required production work.

Artwork

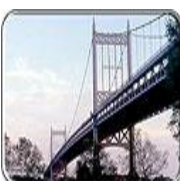
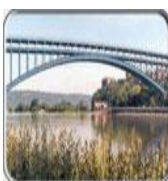
Sponsor will be responsible for providing the artwork to B&T for the required production work.

VII. PROPOSAL SUBMISSION AND SPONSORSHIP / RIGHTS FEES

The following proposer and sponsorship fee information must be provided:

A. Company Profile

1. Proposer must submit the most recent published Annual Report as well as any more recent quarterly reports.
2. Business Experience: Provide a narrative outlining the type of business service(s) offered including a brief history of proposer's company, especially as it relates to government agencies, academic institutions and transit systems, including any contracts, revenue levels, number of current accounts, years in business, and number and responsibilities of staff.



- Name and address of the company
- Name and title of client contact
- Telephone number of client contact
- Period of service
- Revenue level for each account (with brief description of service provided)

All proposers must complete the Sponsorship Fee Form found in Exhibit A.

MTA will also accept proposals that include innovative or non-traditional fee proposals.





C. Proposal Deposit

A Proposal Deposit is required in the form of a certified check payable to the Metropolitan Transportation Authority in an amount equal to Five Thousand Dollars (\$5,000).

All proposal deposits will be returned within 120 days after the RFP Proposal submission deadline unless the applicant's proposal is being considered for award.

If the Proposer(s) selected for an award fails to execute a license or sponsorship agreement on the basis of the terms submitted in the proposal, the amount of the deposit made by such proposer will be retained by MTA as liquidated damages. The proposal deposit of the Proposer to whom the license or sponsorship is awarded may be converted to the security deposit under the agreement. Additional security, above the amount of this deposit, may be required.

Upon submission, all proposals shall be considered an offer and may not be withdrawn until at least 120 days after the submission deadline. Any withdrawal before that date shall result in forfeiture of the Proposer's security deposit.

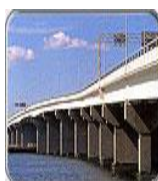
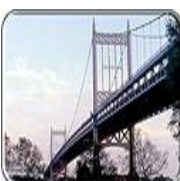
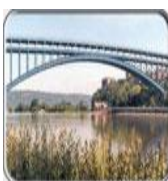
VIII. SELECTION CRITERIA

In its review of all proposals, MTA and B&T will take into consideration, but not be limited to, the following criteria in determining its final selections. Please note, the below criteria are not listed in order of importance or weighting.

1. Sponsorship Fee: The financial value of the total compensation
2. Financial Qualifications: The creditworthiness of the proposer will be evaluated to assure the MTA and B&T that the financial obligations under the License Agreement can be fulfilled.
3. Suitability of Sponsor: MTA and B&T will consider the appropriateness of a proposer's brand relative to B&T's ERP service objectives.
5. Business Experience: Proposer's demonstrated experience in similar activities.

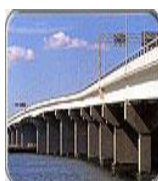
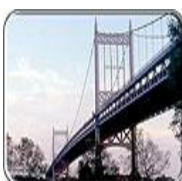
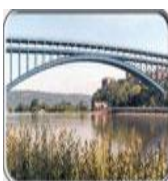
IX. STANDARD PROVISIONS FOR SPONSORSHIP AGREEMENTS

1. As-is: No representations are made as to the condition of the existing inventory of the ERP vehicles. The licensee shall be required to accept the inventory as-is.





2. Fees: All fees shall be payable in advance and shall be due on the dates stated in the executed agreement.
3. Insurance: The sponsor shall cause to maintain insurance coverage at levels satisfactory to MTA and B&T.
4. Termination: The MTA or its affiliate or subsidiary generally reserves the right to terminate the Agreement for “transportation or corporate purposes” on 60 days’ notice to the licensee.
5. Commissions: No commissions whatsoever for brokerage or any other fee or compensation shall be due or payable by the MTA or any affiliate or subsidiary.
6. Non-Discrimination: The MTA will not discriminate against any person on the basis of race, creed, color, national origin, sex, age, sexual orientation, handicap, or marital status in accepting, reviewing, and evaluating proposals.





Metropolitan Transportation Authority

EXHIBIT A

PROPOSED SPONSORSHIP FEE FORM

ERP SPONSORSHIP FEE OFFER

Annual Payment due on the first day of each contract year.

Year One: _____

Year One: _____

Year One: _____

Year Four: _____

Year Five: _____

1st Option Year: _____

2nd Option year: _____

3rd Option year: _____

4th Option year: _____

5th Option year: _____

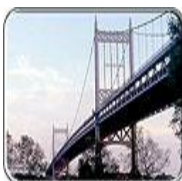




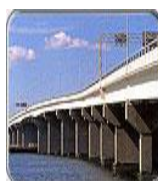
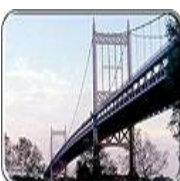
EXHIBIT B

Pictures of ERP Vehicles

Light Duty Wrecker



Medium Duty Wrecker



Heavy Duty Wrecker



Flatbed Car Carrier

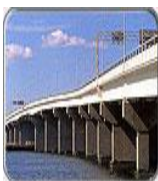
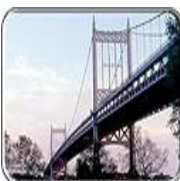




Exhibit C

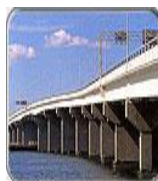
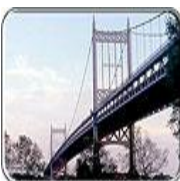
Directions to Pre-Proposal Conference and Site Inspection On November 15, 2011 at 10:00a.m.

Coming from Long Island and Queens: Take the Grand Central Parkway west to the RFK Bridge. Go over bridge and follow signs towards Manhattan, which will bear right. Stay in the right hand lane and after the toll take the exit ramp marked Randall's Island, Wards Island, Ichan Stadium. Stay on this road and you will pass the Parks Department and the Robert Moses Buildings on your right. You will see the bronze statue of a man, which will also be on your right. You then make a hard right where you see the two overhead doors with a blue sign marked Fleet Operations/Central Maintenance. Drive into the garage.

Coming from New Jersey: Go over the GWB and follow the signs to the Harlem River Drive, FDR Drive. Stay on the Harlem River Drive. When you see signs for 125th Street, RFK Bridge, Randall's Island get into the right lane and take the exit ramp for the bridge. Move to the left hand lane, pay toll and stay in your left lane. Go straight down ramp marked Randall's Island. At the bottom of the ramp there is a bronze statue of a man and a stop sign. After the stop sign make a very hard right hand turn. You will see two overhead doors with a blue sign marked Fleet Operations/Central Maintenance. Drive into the garage.

Coming from Brooklyn: Take the Brooklyn Battery Tunnel to the FDR Drive north. Stay on the FDR drive till you see the signs to the RFK Bridge. Stay to your left where the road splits. When you get to the toll plaza continue to stay to the left. After the toll take the ramp marked Randall's Island. At the bottom of the ramp there is a bronze statue of a man and a stop sign. After the stop sign make a very hard right hand turn. You will see two overhead doors with a blue sign marked Fleet Operations/Central Maintenance. Drive into the garage.

Alternate Route from Brooklyn: Take the Brooklyn Queens Expressway heading towards the Grand Central Parkway. Stay left: BQE will merge into the GCP. Take to the RFK Bridge. Stay in right hand lane. Follow signs to Manhattan, Randall's Island. After the toll, cross over to your right and take the ramp to Randall's Island. Stay on this road and you will pass the Parks Department and the Robert Moses Buildings on your right. When you see the bronze statue of a man, which will also be on your right. You then make a hard right where you see the two overhead doors with a blue sign marked Fleet Operations/Central Maintenance. Drive into the garage.



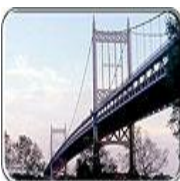


Metropolitan Transportation Authority

Coming from the Bronx: Take the Major Degan Expressway or the Bruckner Expressway. Follow the signs to the RFK Bridge. Once you are on the approach to the bridge you must get into your right hand lane and follow the signs to FDR Drive, Manhattan, Randall's Island. Now get into your left lane when approaching the toll plaza. After the toll take the ramp marked Randall's Island. At the bottom of the ramp there is a bronze statue of a man and a stop sign. After the stop sign make a very hard right hand turn. You will see two overhead doors with a blue sign marked Fleet Operations/Central Maintenance. Drive into the garage.

Coming from Manhattan(West side): Take the Westside Highway north to 96th Street. Get off and turn right on to 96th street, and continue till you get to entrance to the FDR Drive North. Stay on the FDR drive till you see the signs to the RFK Bridge. Stay to your left where the road splits. When you get to the toll plaza continue to stay to the left. After the toll take the ramp marked Randall's Island. At the bottom of the ramp there is a bronze statue of a man and a stop sign. After the stop sign make a very hard right hand turn. You will see two overhead doors with a blue sign marked Fleet Operations/Central Maintenance. Drive into the garage.

Coming from Manhattan (East side): Take the FDR Drive north. Stay on the FDR drive till you see the signs to the RFK Bridge. Stay to your left where the road splits. When you get to the toll plaza continue to stay to the left. After the toll take the ramp marked Randall's Island. At the bottom of the ramp there is a bronze statue of a man and a stop sign. After the stop sign make a very hard right hand turn. You will see two overhead doors with a blue sign marked Fleet Operations/Central Maintenance. Drive into the garage.





APPENDIX I

AFFIDAVIT OF NON-COLLUSION

State of _____)

SS:

County of _____)

The undersigned having been duly sworn states under penalty of perjury as follows:

Section 1:

By submission of this proposal, the undersigned person as an individual and on behalf of the entity, if any, which is submitting this proposal, states that to the best of his or her knowledge the following statements are true and complete:

- a. I am familiar with this proposal and the research, investigation, preparation, and other steps taken to prepare it.
- b. The compensation and other terms of this proposal have been arrived at independently without any agreement, collusion, consultation, or communications which were intended to restrict competition.
- c. Unless otherwise required by law, the compensation and other terms which have been quoted in this proposal have not been knowingly disclosed by the undersigned or any other individual and, prior to the proposal being opened, will not knowingly be directly or indirectly disclosed by the undersigned or any other individual, or by or on behalf of the proposer to any other proposer or to any competitor; and
- d. No attempt has been made or will be made by the undersigned or by any other individual or by or on behalf of the proposer to induce any other person, partnership, corporation, or other entity to submit or not to submit a proposal, for the purpose of restricting competition.

Section 2:

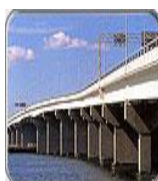
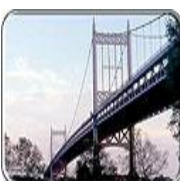
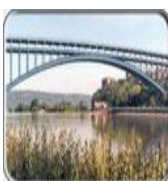
The statements set forth in paragraphs (a), (b), (c), and (d) in Section 1 are not true and complete (cross out any letter which refers to a paragraph which is true and complete). The following is my detailed explanation as to (i) why each such paragraph is not true and complete and (ii) why my explanation does not reflect an effort to restrain competition:

Signature _____

Print Name _____

Print Title _____

Print Name of Proposer Company _____





Metropolitan Transportation Authority

For joint ventures:

Signature _____

Print name of joint venturer _____

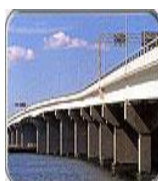
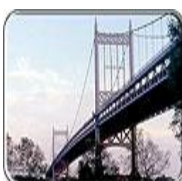
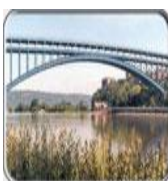
Print title _____

* Signature _____

* Print name of joint venturer _____

* Print title _____

** This signature line is for the second participant in a joint venture. This affidavit must be signed on behalf of each joint venturer. If there are three or more joint venturers, the additional joint venturers should sign and have notarized a photocopy of this affidavit.*





AFFIDAVIT OF NON-COLLUSION – ACKNOWLEDGEMENT BEFORE NOTARY PUBLIC

On _____, 2011, before me personally came and appeared

_____ who identified himself/herself as such person and swore the following under oath:

1. He/she resides at _____

2. (Check off applicable provision and complete if "A" or "C" has been checked off)

_____ A. *(For a proposer, which is a partnership or corporation)*
He/she is the _____ of _____, the above entity, which is a _____; that he/she signed this affidavit of non-collusion on behalf of himself or herself and said entity; and that he/she is duly authorized on behalf of said entity to sign this affidavit.

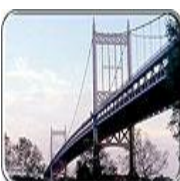
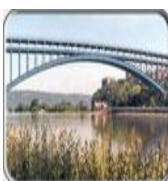
_____ B. *(For a proposer, which is a sole proprietorship)*
He/she is the sole proprietor of the above-unincorporated business and he/she signed this affidavit on behalf of himself/herself.

_____ C. *(For a proposer, which is a joint venture)*
He/she is the _____ of _____, a _____ and one of the joint venturers in the above joint venture; that he/she signed this affidavit of non-collusion on behalf of himself or herself, and said joint venturer and venture; and that he/she is authorized to do so;

He/she is the _____ of _____, a _____ and one of the joint venturers in the above joint venture; that he/she signed this affidavit of non-collusion on behalf of himself or herself, and said joint venturer and venture; and that he/she is authorized to do so;

3. The statements set forth in the above Affidavit of Non-Collusion are true and complete to the best of his or her knowledge.

(Notary public's signature and seal)



APPENDIX II

STATEMENT CONCERNING CRIMINAL INVESTIGATIONS OR CONVICTIONS

The following questions pertaining to criminal activity must be answered by the proposer. In the event of a "Yes" answer to any of the questions, please provide a brief explanation and background statement. MTA reserves the right to inquire further with respect thereto.

The proposer shall furnish to MTA all relevant documents or information relating hereto as requested by the MTA. Failure to answer any of these questions will be deemed by MTA to be a representation by the proposer of a "No" answer and will result in a follow up investigation by MTA to determine why the question was not answered. While a "Yes" answer to any such question will not automatically result in a negative finding on the question of the proposer's responsibility, it would merit further inquiry by MTA.

a. Has your firm, or any principal, director, officer, partner or managerial employee thereof or any shareholder owning ten percent (10%) or more of the stock of the corporation, been convicted in the State of New York, or any other jurisdiction, of any crime?

(Check "Yes" or "No," as appropriate)

YES ☐ NO ☐

b. Is your firm, or any principal, director, officer, partner or managerial employee thereof or any shareholder owning ten percent (10%) or more of the stock of the corporation, either independently or in connection with the business of the firm or any other firm which is related by common ownership, control or otherwise, under indictment in the State of New York or any other jurisdiction for the commission of a crime which has not been terminated in favor of the firm, principal, director, officer, partner, shareholder or managerial employee?

(Check "Yes" or "No," as appropriate)

YES ☐ NO ☐

c. Is your firm currently disqualified from selling to or submitting bids or proposals to or receiving awards from or entering into any contracts with any government entity or public authority for goods, work or services, or have any contracts made with any government entity or public authority during the preceding five years been cancelled or terminated by such authority by reason of any principal, director, officer, partner, shareholder or managerial employee of your firm refusing to testify or to answer any relevant question concerning a transaction or contract with a government entity or public authority when called before a grand jury or other





Metropolitan Transportation Authority

agency which is empowered to compel the attendance of witnesses and examine them under oath, upon being advised that neither his nor her statement nor any information or evidence derived from such statement will be used against that person in any subsequent criminal proceeding?.

(Check "Yes" or "No," as appropriate)

YES ____ NO

- d. Is your firm or any principal, director, officer, partner or managerial employee thereof, or any shareholder owning ten percent (10%) or more of the stock of the corporation the subject of any pending investigation by any grand jury, commission, committee or other entity or agency or authority in the State of New York or any other jurisdiction in connection with the commission of a crime?

(Check "Yes" or "No," as appropriate)

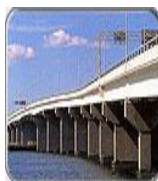
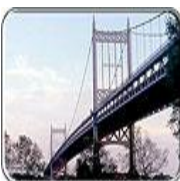
YES ____ NO

Name of Proposer: _____

Authorized Signature: _____

Title: _____

Date: _____



APPENDIX III

CREDIT AUTHORIZATION FORM

By executing this credit Authorization Form, the undersigned authorizes the Metropolitan Transportation Authority or its designated agent or contractor to conduct a credit and a reference investigation of the proposer and its Affiliates. This authorization includes commercial and financial institutions, credit reporting agencies and present and former customers, including without limitation, the entities described in the Company Profile.

Signature and Notary Public Signature Required

Proposer_____

Authorized Signature_____ Date_____

Print Name and Title_____

Sworn to me this _____ day of _____, 2011

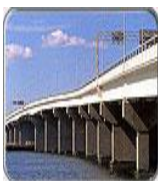
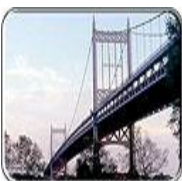
Notary Public's Signature_____

Notary Seal



APPENDIX IV

LOBBYING LAW DISCLOSURE STATEMENT



New York State Finance Law Sections 139-j and 139-k ("Lobbying Law") — Disclosure Statement

General Information

All procurements (which are defined to include essentially all real estate transactions) by the MTA in excess of \$15,000 annually, are subject to New York State's State Finance Law Sections 139-j and 139-k, effective January 1, 2006 ("Lobbying Law").

Pursuant to the Lobbying Law, all "contacts" (defined as oral, written or electronic communications with the MTA intended to influence a procurement) during a procurement must be made with one or more designated Point(s) of Contact only. Exceptions to this rule include written questions during the bid/proposal process, communications with regard to protests, contract negotiations and RFP conference participation. Nothing in the Lobbying Law inhibits any rights to make an appeal, protest or complaint under existing administrative or judicial procedures.

Violations of the policy regarding permissible contacts must be reported to the appropriate MTA officer and investigated accordingly. The first violation may result in a determination of non-responsibility and ineligibility for award to the violator and its subsidiaries, affiliates and related entities. The penalty for a second violation within four (4) years is ineligibility for bidding/proposing on a procurement and/or ineligibility from being awarded any contract for a period of four (4) years. The MTA will notify the New York State Office of General Services ("OGS") of any determinations of non-responsibility or debarments due to violations of the Lobbying Law. Violations found to be "knowing and willful" must be reported to the MTA Executive Director and OGS.

Moreover, the statutes require the MTA to obtain certain affirmations and certifications from bidders and proposers. This Disclosure Statement contains the forms with which to comply, together with additional information and instructions.

Instructions

New York State Finance Law §139-k(2) obligates the MTA to obtain specific information regarding prior non-responsibility determinations. This information must be collected in addition to the information that is separately obtained pursuant to New York State Finance Law §163(9). In accordance with New York State Finance Law §139-k, an offerer must be asked to disclose whether there has been a finding of non-responsibility made within the previous four (4) years by any governmental entity due to: (a) a violation of New York State Finance Law §139-j or (b) the intentional provision of false or incomplete information to a governmental entity.

As part of its responsibility determination, New York State Finance Law §139-k(3) mandates consideration of whether an offerer fails to timely disclose accurate or complete information regarding the above non-responsibility determination. In accordance with law, no procurement contract shall be awarded to any offerer that fails to timely disclose accurate or complete information under this section, unless the factual elements of the limited waiver provision can be satisfied on the written record.

Disclosure of Prior Non-responsibility Determinations

Name of Bidder/Proposer: _____

Address: _____

Name and Title of Person Submitting this Form: _____

Has any governmental entity¹ made a finding of non-responsibility regarding the Bidder/Proposer in the previous four years? ☐ Yes ☐ No

If yes: Was the basis for the finding of the Bidder's/Proposer's non-responsibility due to a violation of State Finance Law §139-j? ☐ Yes ☐ No

¹ A "governmental entity" is: (1) any department, board, bureau, commission, division, office, council, committee or officer of New York State, whether permanent or temporary; (2) each house of the New York State Legislature; (3) the unified court system; (4) any public authority, public benefit corporation or commission created by or existing pursuant to the public authorities law; (5) any public authority or public benefit corporation, at least one of whose members is appointed by the governor or who serves as a member by virtue of holding a civil office of the state; (6) a municipal agency, as that term is defined in paragraph (ii) of subdivision(s) of section one-c of the Legislative Law; or (7) a subsidiary or affiliate of such a public authority. (SFL §139-j, paragraph 1.a.)

New York State Finance Law Sections 139-j and 139-k ("Lobbying Law") — Disclosure Statement

Was the basis for the finding of Bidder's/Proposer's non-responsibility due to the intentional provision of false or incomplete information to a governmental entity? ☐ Yes ☐ No

If yes, please provide details regarding the finding of non-responsibility below.

Governmental Entity: _____

Year of Finding of Non-responsibility: _____

Basis of Finding of Non-Responsibility: _____

(Add additional pages as necessary)

Has any governmental entity terminated a procurement contract with the Bidder/Proposer due to the intentional provision of false or incomplete information? ☐ Yes ☐ No

Bidder's/Proposer's Affirmation and Certification

By signing below, the Bidder/Proposer:

- a) Affirms that the Bidder/Proposer understands and agrees to comply with the policy regarding permissible contacts in accordance with New York State Finance Law Sections 139-j and 139-k.
- b) Certifies that all information provided to the MTA with respect to New York State Finance Law §139-j and §139-k is complete, true and accurate.

By: _____ Date: _____
(Signature of Person Certifying)

Print Name and Title: _____ Title: _____

Bidder/Proposer or Contractor/Consultant (Full Legal Name): _____

Address of Bidder/Proposer or Contractor/Consultant: _____

Business Telephone Number: _____

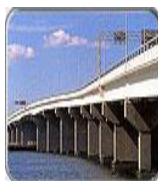
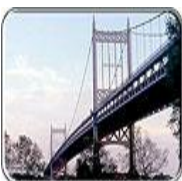
**MTA's Right
to Terminate**

The MTA reserves the right to terminate a Contract (including any lease, license, entry permit, or sale documents) in the event it is found that the certification filed by the Bidder/Proposer, in accordance with New York State Finance Law §139-k, was intentionally false or intentionally incomplete. Upon such finding, the MTA may exercise its termination right by providing written notification to the Bidder/Proposer in accordance with the written notification terms of the Contract.

APPENDIX V

REQUEST FOR TAXPAYER IDENTIFICATION AND CERTIFICATION

W-9



W-9

**Request for Taxpayer
Identification Number and Certification**

**Give form to the
requester. Do not
send to the IRS.**

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)

Business name, if different from above

Check appropriate box: ☐ Individual/Sole proprietor ☐ Corporation ☐ Partnership
☐ Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ▶
☐ Other (see instructions) ▶

☐ Exempt
payee

Address (number, street, and apt. or suite no.)

Requester's name and address (optional)

City, state, and ZIP code

List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number

or

Employer identification number

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

**Sign
Here**

Signature of
U.S. person ▶

Date ▶

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,

- The U.S. grantor or other owner of a grantor trust and not the trust, and
- The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
3. The IRS tells the requester that you furnished an incorrect TIN,

4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or

5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

Also see *Special rules for partnerships* on page 1.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name" line.

Limited liability company (LLC). Check the "Limited liability company" box only and enter the appropriate code for the tax classification ("D" for disregarded entity, "C" for corporation, "P" for partnership) in the space provided.

For a single-member LLC (including a foreign LLC with a domestic owner) that is disregarded as an entity separate from its owner under Regulations section 301.7701-3, enter the owner's name on the "Name" line. Enter the LLC's name on the "Business name" line.

For an LLC classified as a partnership or a corporation, enter the LLC's name on the "Name" line and any business, trade, or DBA name on the "Business name" line.

Other entities. Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name" line.

Note. You are requested to check the appropriate box for your status (individual/sole proprietor, corporation, etc.).

Exempt Payee

If you are exempt from backup withholding, enter your name as described above and check the appropriate box for your status, then check the "Exempt payee" box in the line following the business name, sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following payees are exempt from backup withholding:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
 2. The United States or any of its agencies or instrumentalities,
 3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
 4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
 5. An international organization or any of its agencies or instrumentalities.
- Other payees that may be exempt from backup withholding include:
6. A corporation,
 7. A foreign central bank of issue,
 8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States,
 9. A futures commission merchant registered with the Commodity Futures Trading Commission,
 10. A real estate investment trust,
 11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
 12. A common trust fund operated by a bank under section 584(a),
 13. A financial institution,
 14. A middleman known in the investment community as a nominee or custodian, or
 15. A trust exempt from tax under section 664 or described in section 4947.

The chart below shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 15.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 9
Broker transactions	Exempt payees 1 through 13. Also, a person registered under the Investment Advisers Act of 1940 who regularly acts as a broker
Barter exchange transactions and patronage dividends	Exempt payees 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 7

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation (including gross proceeds paid to an attorney under section 6045(f), even if the attorney is a corporation) and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, and payments for services paid by a federal executive agency.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited liability company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting www.irs.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, and 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). Exempt payees, see *Exempt Payee* on page 2.

Signature requirements. Complete the certification as indicated in 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor ²
4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹
b. So-called trust account that is not a legal or valid trust under state law	The actual owner ¹
5. Sole proprietorship or disregarded entity owned by an individual	The owner ³
For this type of account:	Give name and EIN of:
6. Disregarded entity not owned by an individual	The owner
7. A valid trust, estate, or pension trust	Legal entity ⁴
8. Corporate or LLC electing corporate status on Form 8832	The corporation
9. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
10. Partnership or multi-member LLC	The partnership
11. A broker or registered nominee	The broker or nominee
12. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name and you may also enter your business or "DBA" name on the second name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

Call the IRS at 1-800-829-1040 if you think your identity has been used inappropriately for tax purposes.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS personal property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: spam@uce.gov or contact them at www.consumer.gov/idtheft or 1-877-IDTHEFT(438-4338).

Visit the IRS website at www.irs.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons who must file information returns with the IRS to report interest, dividends, and certain other income paid to you, mortgage interest you paid, the acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA, or Archer MSA or HSA. The IRS uses the numbers for identification purposes and to help verify the accuracy of your tax return. The IRS may also provide this information to the Department of Justice for civil and criminal litigation, and to cities, states, the District of Columbia, and U.S. possessions to carry out their tax laws. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You must provide your TIN whether or not you are required to file a tax return. Payers must generally withhold 28% of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to a payer. Certain penalties may also apply.



APPENDIX VI

Proposal Checklist

- ☐ Sponsorship Fee Form (including Option Period)
- ☐ Company Profile
- ☐ Affidavit of Non-Collusion
- ☐ Statement Concerning Criminal Investigations Or Convictions
- ☐ Credit Authorization Form
- ☐ IRS Form W9
- ☐ A proposal deposit in the amount of five thousand dollars (\$5,000.00) in the form of a certified check payable to the Metropolitan Transportation Authority.

