

**REQUEST FOR QUALIFICATIONS
RFQ-10-12**

**ISLAMORADA, VILLAGE OF ISLANDS
86800 OVERSEAS HIGHWAY
ISLAMORADA, FLORIDA 33036**

December 14, 2010

**SUBJECT: Islamorada, Village of Islands
Design-Build-Operate-Finance ("DBOF") Wastewater System
Request for Qualifications (RFQ): RFQ-10-12**

To Whom It May Concern:

Islamorada, Village of Islands ("Village") is currently soliciting qualifications for Design-Build-Operate-Finance (DBOF) services for the design, construction, operation and finance of a new wastewater system, with a 20 to 25 year operations contract for the facilities constructed by the DBOF Entity.

Pre-Qualification Documents may be obtained from the Village Clerk at the Village Administration Center, 3rd Floor, 86800 Overseas Highway, Islamorada, Florida or by downloading in PDF format from DemandStar or the Village's website at www.islamorada.fl.us. If Pre-Qualification Documents are to be mailed, a separate payment of \$25.00 must be made for each set to cover shipping and handling. Payment in full must be received by the Village Clerk prior to the issuance of the Pre-Qualification Documents. All Respondents to this RFQ must register with the Village Clerk.

Qualified respondents are invited to submit written Statements of Qualifications for consideration in accordance with this RFQ to the Village Clerk. Original signed Pre-Qualification Documents, an electronic copy in Adobe Acrobat PDF format, and five (5) hard copies of your Pre-Qualification Documents must be received prior to **2:00 P.M.** on **February 1, 2011** by:

Village Clerk
Islamorada, Village of Islands
Village Administration Center, 3rd Floor
86800 Overseas Highway
Islamorada, Florida 33036
Email: beverly.raddatz@islamorada.fl.us
Phone: (305) 664-6412

The Pre-Qualifications Documents shall be enclosed in a sealed envelope and be plainly marked on the upper left hand corner with the name and address of the Respondent and bear the following title: Islamorada, Village of Islands, RFQ Design-Build-Operate-Finance (DBOF) Wastewater System.

The Village shall not accept responses received after the specified time and date.

A **mandatory** pre-submittal meeting and site visit(s) will be conducted on **January 5, 2011**. The meeting will begin at **10:00 AM** at Village Hall, 86800 Overseas Highway, Islamorada, Florida 33036 and will be followed by site visit(s), including the Village's existing North Plantation Key Wastewater Plant and Facility.

A handwritten signature in blue ink, reading "Bevaly Nailley", is displayed on a light blue rectangular background.

Village Clerk

PRE-QUALIFICATION DOCUMENTS SUBMITTAL AND SELECTION

1. All Pre-Qualification Documents, consisting of an original signed set, an electronic copy in Adobe Acrobat PDF format, and five (5) copies, must be received no later than **2:00 P.M. on February 1, 2011 ("Submittal Deadline")**.
2. Late Pre-Qualification Documents shall not be accepted after the specified time and date, and shall be returned unopened to the Respondent.
3. All submittals shall be directed to:

Village Clerk
Islamorada, Village of Islands
Village Administration Center, 3rd Floor
86800 Overseas Highway
Islamorada, Florida 33036

4. All costs of preparation of Pre-Qualification Documents will be borne by the Respondent.
5. Selection of qualified Respondents will be by the approved Village procedure in the attached specifications.
6. This RFQ does not constitute an offer of employment or contract for services.
7. The Village reserves the option to reject any or all Pre-Qualification Documents, wholly or in part, received by reason of this RFQ.
8. The Village reserves the option to retain all Pre-Qualification Documents, whether selected or rejected.
9. The Village reserves the right to pre-qualify firms pursuant to this RFQ who present qualifications which, in the sole judgment of the Village, best accomplish the desired results.
10. Selection will be made on the basis of the Pre-Qualification Documents as submitted. The Evaluation Committee may deem it necessary to interview applicants. The Village retains the right to interview applicants as part of the selection process.
11. Except for written requests for clarifications or addenda pursuant to section 2.4 of this RFP and communications made at a publicly noticed meeting, there shall be no communications between Respondents and any Village council members, officials, employees, consultants or the Evaluation Committee.

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1. NOTIFICATION OF PRE-QUALIFICATION REQUIREMENTS

Pursuant to this Request for Qualifications (RFQ), Islamorada, Village of Islands ("Village") is requesting competitive proposals for Design-Build-Operate-Finance (DBOF) services to design, construct, operate and finance a new wastewater system ("Project") in the Village. The Village's competitive selection process will proceed in two steps.

Step 1. Pre-qualification selection phase of Design-Build-Operate-Finance Entities (DBOF Entity).

Step 2. Technical proposal from the DBOF Entity pursuant to a Request for Proposals (RFP). Only those DBOF Entities that are successfully qualified in Step 1 will be allowed to participate in Step 2.

1.1. INTRODUCTION

The Village was incorporated as a municipality on December 31, 1997. Located in the Upper Florida Keys, the Village is approximately 18 linear miles in length with a land area of approximately 3,900 acres. The Village consists of four islands: Plantation Key, Windley Key, and Upper and Lower Matecumbe Keys, which are part of the Florida Keys' chain of islands. The Village boundaries extend from Mile Marker 90.939 to Mile Marker 72.658. The Village has an approximate population of 7,100.

The Project shall be located within the geographic boundaries of the Village and may also extend north to Key Largo. The location of bridges and developed properties is of primary importance in establishing the location of infrastructure (lift stations, pump stations, and treatment plant(s)).

The Village is an integral part of the Florida Keys and the Village shares in the responsibility for maintaining, protecting and restoring water quality in the Florida Keys. The Village's environment is of critical concern and the Village seeks a Project that will foster the preservation of its fragile native marine environment and its communities with assurance that all necessary precautions and safeguards will be taken to protect and enhance the fragile environment of the Village and the Florida Keys.

Legislation passed by the Florida Legislature (Chapter 99-395, Laws of Florida, and subsequent amendments thereto, and SB 550) mandated higher wastewater treatment and disposal standards for the entirety of the Florida Keys (collectively, the "Legislation"). These regulations generally require that all wastewater treatment systems in the Florida Keys be upgraded and/or replaced to meet Advanced Wastewater Treatment (AWT) or Best Available Technology (BAT) capabilities. The Legislation has established a mandatory compliance date of December 31, 2015.

Based on the State of Florida's mandate that all wastewater treatment systems in the Florida Keys be upgraded or replaced to meet stringent nutrient reduction requirements, the Village in 2005 adopted the Wastewater Master Plan (PLAN by E-Sciences; November 2005) ("Wastewater Management Plan"), which recommended a centralized wastewater treatment system for the Village. In addition, the Wastewater Management Plan identified eight (8) service areas consisting of North Plantation Key, Middle Plantation Key, South Plantation Key, Windley Key, North Upper Matecumbe Key, South Upper Matecumbe Key, North Lower Matecumbe Key (DC) and South Lower Matecumbe Key.

One of the first projects designed and constructed within the Wastewater Management Plan was the North Plantation Key Wastewater Treatment Plant and Collection System and the vacuum sewer collection for the North Plantation Key Service Area (NPK). NPK consists of a collection system and treatment plant. In order to finance the NPK Wastewater Treatment Plant and Collection System and the capital costs associated therewith, the Village issued obligation bonds which are outstanding. In 2005, the Village imposed special assessments against property within the North Plantation Key Assessment Area to fund a portion of the costs of construction of the NPK Wastewater Treatment Plant and Collection System ("NPK Key Assessment"). In 2009, the Village imposed a supplemental special assessment against parcels containing multifamily dwelling units and multiple single family dwelling units within the North Plantation Key Supplemental Assessment Area ("NPK Supplemental Assessment"). Both the NPK Assessment and the NPK Supplemental Assessment are currently in place. NPK is operational and currently services approximately 1,090 customers. Operation and maintenance of NPK is currently provided by a combination of Village staff and an outsourced operator. The Village has identified an area in NPK known as the South Coconut Palm line that will require corrective construction. The Village has hired CPH Engineering, Inc. to design the correction for the South Coconut Palm line.

The Wastewater Management Plan recommended implementation of the remaining collection, transmission and treatment facilities via multiple Design/Bid/Build (DBB) Projects. The Wastewater Management Plan recommended program carried an estimated cost of \$132 million.

In April 2009, the first design contract was awarded to Wade Trim, Inc. for the design of the collection system for the Middle Plantation Key Service Area. The design of Middle Plantation Key is approximately 60% complete.

In an effort to reduce overall Program costs and treatment plants, the Village Council directed staff to evaluate the alternative of pumping Village wastewater to the Key Largo Wastewater Treatment District for treatment and disposal. Thereafter, the Village Council gave staff direction to negotiate an interlocal agreement with the Key Largo Wastewater Treatment District, update the Wastewater Management Plan and schedule to reflect the alternative of sending all wastewater flows from all future constructed collection systems within the Village to the Key Largo Wastewater Treatment District. Also included in the Village Council directive was the alternative of decommissioning the existing NPK Wastewater Treatment and Collection System and

sending all wastewater flows from NPK to the Key Largo Wastewater Treatment District.

The Program Delivery Analysis Report prepared in January, 2010 serves as the update to the Wastewater Management Plan and includes the Key Largo Wastewater Treatment District option for wastewater treatment. The Village Council has elected to proceed with a DBOF procurement process for its wastewater system and directed the preparation of this RFQ for the design, build, operate and finance of a Village-wide wastewater collection and treatment system with the following directions:

- Utilizing a DBOF Entity to design and construct a Village-wide collection system that provides service availability (as this term is defined in the Florida Statutes) to all developed and developable properties in the Village;
- Working toward a final Interlocal Agreement with the Key Largo Wastewater Treatment District (KLWTD) for the treatment and disposal of Village generated wastewater flows at its new Key Largo Wastewater Treatment Facility;
- Implementation of a Design/Build/Operate/Finance (DBOF) Program delivery strategy, in lieu of DBB as a means of reducing immediate assessments to Village residents; and
- Require that DBOF Respondents provide alternative Proposals for constructing wastewater treatment and disposal facilities in the Village, in lieu of transferring flows to the KLWTD for treatment and disposal.

Under this effort, the VILLAGE wishes to establish alternative DBOF Proposals for the implementation of two different approaches to wastewater collection, transmission and treatment as follows:

1. Alternative No. 1 - KLWTD Treatment & Disposal: Design and construction of a vacuum sewer wastewater collection system with requisite vacuum pump stations, transmission force mains and transfer pump station facilities located in the public right-of-way which will transfer wastewater flows from the Village to the Key Largo Wastewater Treatment District Plant. Under this approach, the DBOF Entity (through the DBOF Agreement) assumes financial responsibility for all system components.

This proposal shall include alternatives for both: (a) Continued utilization and operation of the existing North Plantation Key (NPK) Wastewater Treatment Plant, including implementation of the construction of the CPH Engineering, Inc. design for the South Coconut Palm line within the NPK Wastewater Collection System; and (b) Decommissioning of the NPK Wastewater Treatment Plant.

The Proposal will be subject to the terms and conditions of the Interlocal Agreement or other agreement to be entered into between the Village and the Key Largo Wastewater Treatment District for the treatment of all Village

wastewater, including all costs and obligations associated with the Village's purchase of treatment capacity which is estimated to be between \$11.5 million and \$13.1 million. It is anticipated that the Key Largo Wastewater Treatment District will also require an initial down payment of \$1.5 million for capacity reservation in 2011.

2. Alternative No. 2 - Wastewater Treatment Facilities Located in Islamorada: Design and construction of a Village-wide collection and treatment system, including requisite vacuum pump stations, transmission force mains and transfer pump station facilities located on the public rights-of-way which will transfer wastewater flows to a treatment plant(s) to be located within the Village. Constructed treatment plant(s) shall include reuse system capabilities with adequate storage and a plan to implement reuse for nearby Village, commercial, and residential properties. Under this approach, the DBOF Entity (through the DBOF Agreement) assumes financial responsibility for all system components.

This proposal shall include continued utilization and operation of the existing NPK Wastewater Treatment Plant, including implementation of the construction of the CPH Engineering, Inc. design for the South Coconut Palm line within the NPK Wastewater Collection System. The NPK Wastewater Treatment Plant will also be modified to deliver reuse water to the existing reuse line to Founders Park.

3. Optional Approaches.

As part of Alternatives No. 1 and No. 2, a Respondent may propose optional technological approaches and methods with best technology available to providing wastewater systems for all or a part of the Village, including utilizing a step system or other acceptable and recognized wastewater collection and treatment systems. The Respondent is also encouraged to provide alternatives for increasing the Village's use of reuse water available from current or future wastewater facilities.

Regardless of the Alternative implemented, proposed treatment and collection systems shall be comprised of technologies that have demonstrated capacity to meet specified performance requirements and have been previously approved by the Florida Department of Environmental Protection.

As mandated by the State of Florida, the Village must complete construction with full operations of its wastewater collection and treatment system by December 31, 2015.

This RFQ is related to the procurement of Design-Build-Operate-Finance services for the proposed wastewater Program implementation. The Village seeks services of a Design-Build-Operate-Finance team that can provide design, construction, operation, and private financing for the Project. Based on the proposals submitted pursuant to this RFQ, professionals meeting the minimum qualifications will be screened and narrowed down prior to conducting possible interviews. If the Village determines to proceed with

contracting for these services, the selected firms or individuals may then be interviewed by an Evaluation Committee and/or the Village Council. It is the intent of the Village to select Design-Build-Operate-Finance teams which are most qualified and that understand the needs of the Village. After the RFQ process, it is the intent of the Village to invite a minimum of three (3) qualified individuals or firms to receive a project RFP.

1.2. GENERAL DESCRIPTION OF THE PROJECT

The Project entails the design, construction, operation and financing of a complete new Village-wide wastewater system and appurtenances as detailed in section 1.1.

The estimated construction budget for the Project is approximately \$132 million, excluding planning costs and DBOF contract management costs which may be incurred by the Village.

The detailed technical requirements, including the Design Criteria Package, for the Project are being developed and will be presented in the RFP. The presentation of any technical requirements in this RFQ is for general understanding only and is not necessarily indicative of the RFP requirements.

The Village is in the process of retaining a Design Criteria Professional to assist in evaluation of the Pre-Qualification Documents, and development of the preliminary engineering designs and specifications and the Design Criteria Package for the Request for Proposals.

The Village has developed a procurement strategy that includes the following steps.

- RFQ issuance
- Mandatory Pre-Submittal Meeting and Site Visits
- Addenda to the RFQ issuance (if necessary)
- Pre-Qualification Documents submission by DBOF Entities
- Interviews of DBOF Entities based upon Pre-Qualification Documents (if necessary)
- Village short-list of pre-qualified DBOF Entities
- RFP issuance to pre-qualified DBOF Entities
- Addenda to the RFP issuance (if necessary)
- Proprietary meetings with DBOF Entities to review proposal concepts
- Clarification addenda to the RFP issuance (if necessary)
- Technical and Price Proposal submission by DBOF Entities
- Proposal evaluation by Evaluation Committee based on criteria set forth in the RFP
- Formal approval of selected Proposer by Village Council.

Further details of the procurement process, including anticipated dates and deadlines are provided in Section 2 and Section 3 of this RFQ.

1.3. MINIMUM BONDING REQUIREMENTS

The minimum bonding capacity will equal the higher of the current estimated construction budget of \$132 million or 100% of the DBOF Entity's proposed construction budget. Prospective DBOF Entities that cannot provide evidence of the capacity for bonding at that amount will not be considered qualified even though they may be qualified otherwise.

1.4. NOTICE OF PRE-QUALIFICATION REQUIREMENTS

Notice is hereby given that the Village has determined that all respondents and proposers for the Project must be pre-qualified pursuant to this RFQ prior to submitting a Design-Build-Operate-Finance proposal on the Project. It is mandatory that all DBOF Entities who intend to submit a proposal, fully complete the Pre-Qualification Documents, provide all materials requested herein, and be approved by the Village to be on the short-list of qualified DBOF Entities eligible to respond to the RFP.

The Village intends to establish a procedure to pre-qualify DBOF Entities pursuant to the Village's Policy for Selection of Design-Build Firms and Section 287.055, Florida Statutes. The Village will establish an Evaluation Committee to evaluate and rank responses to this RFQ and develop a short list of qualified firms. The Evaluation Committee shall evaluate and rank the three (3) highest qualified DBOF Entities to be eligible to respond to the RFP, and provide recommendations to the Village Council. DBOF Entities will be required to meet minimum qualifications and will be evaluated and ranked for the purpose of developing a short-list of the three (3) highest qualified DBOF Entities to be eligible to respond to the RFP. All respondents to the RFP must comply with the requirements of this RFQ and must be on the short-list of qualified DBOF Entities.

During the evaluation process, the Evaluation Committee and the Village reserve the right, where it may serve the Village's best interests, to request additional information or clarifications from proposers, or to allow corrections of errors or omissions. During the evaluation process, the Evaluation Committee may, in its discretion, request any one or all DBOF Entity proposers to make oral presentations. The presentation team should include the key members of the DBOF Entity, which may include the Financier, the Engineer of Record, the General Contractor and the Operations Manager.

The Evaluation Committee shall submit the short list rankings to the Village Council with recommendations for ranking and selection. The Village Council may conduct discussions with, and may require public presentations by the qualified firms regarding their qualifications, approach to the Project, and ability to furnish the services. The Village Council shall provide a final ranking and select in order of preference the three (3) firms deemed to be the most highly qualified. If less than three (3) firms submit qualifications, then the Village may rank and select fewer than three (3) firms. The Evaluation Committee and Village Council may consider such factors as: (a) experience, ability and capabilities of the DBOF Entity or individuals; (b) adequacy of personnel; (c) past record of the DBOF Entity or individuals; (d) financial strength and

capabilities of the DBOF Entity; (e) whether the firm is a certified minority business enterprise (f) the DBOF Entity's willingness to meet time and budget requirements; (d) location of the DBOF Entity; (e) the DBOF Entity' recent, current and projected workload; (f) the volume of work previously awarded to the DBOF Entity; and (g) any other factors appropriate for the Project.

For the purpose of this RFQ, the Pre-Qualification Documents include;

- Answers to questions contained in the Pre-Qualification Questionnaire
- Statement of Qualifications (SOQ) prepared according to the instructions in Section 4 of this RFQ
- Financial information, including current bonding and insurance capacity, notarized statement from surety, and the most recent reviewed or audited financial statements, with accompanying notes and supplemental information
- Resumes of key personnel
- Reference project information
- Certification forms

The Village will use these documents as the basis of evaluating and rating the DBOF Entities to determine the size and scope of contracts upon which each DBOF Entity is qualified to submit proposals.

The Village reserves the right to check other sources and information available. The Village's decision will be based on the evaluation criteria defined in Appendices A and B.

While it is the intent of the Pre-Qualification Documents and supporting information required therewith to assist the Village in determining the DBOF Entity responsibility prior to submittal of a proposal, neither the fact of pre-qualification, nor any pre-qualification rating, will preclude the Village from a post-qualification consideration and determination of whether a DBOF Entity has the qualifications, fitness, capacity, experience and financial strength to satisfactorily perform the Project, and has demonstrated the requisite capacity.

A DBOF Entity may be found not qualified for proposing on the Project until the DBOF Entity meets the Village's requirements. In addition, a DBOF Entity may be found not qualified for either: (1) Omission of requested information, or (2) Falsification of information.

1.5. IMPORTANT PROVISIONS OF THE FLORIDA LAW RELATED TO PRE-QUALIFICATION

The Village's adopted Policy for Selection of Design-Build Firms and applicable Florida Law authorizes the Village to utilize the design-build process for the design and construction of a public construction project. Section 287.055, Florida Statutes, allows the design-build method of procurement for construction projects in the Village. Section 287.055, Florida Statutes, further provides for competitive selection and evaluation by

the Village of Statements of Qualifications and performance data of firms, and selection of no fewer than three (3) firms deemed to be the most highly qualified to perform the required services.

The Village intends to contract with a qualified DBOF Firm for the design and construction of the Project that the Village determines to be in the best interest of the Village. The Village shall award the contract for the Project pursuant to the Request for Proposal in a manner consistent with the Florida statutory requirements for Design-Build Contracts and the successful proposer must be a qualified Design-Build Firm as defined by section 287.055, Florida Statutes.

1.6. PUBLIC RECORD

Upon ranking of DBOF Entities or ten (10) days after the opening of the Pre-Qualification Documents, whichever is earlier, any material submitted in response to this RFP will become a “public record” and shall be subject to public disclosure consistent with Chapter 119, Florida Statutes (Florida Public Records Law). RFQ Proposers must claim the applicable exemptions to disclosure provided by law in their response to this RFQ by identifying materials to be protected, and must state the reasons why such exclusion from public disclosure is necessary and legal. The Village reserves the right to make all final determination(s) of the applicability of the Florida Public Records Law.

1.7. VILLAGE RIGHTS

The Village reserves the right to supplement, amend, or otherwise modify this RFQ and to waive minor irregularities and omissions in the information contained in the Pre-Qualification Documents submitted, to make all final determinations, and to determine at any time that the Pre-Qualification procedures will not be applied to the Project.

The Village reserves the right to not award a contract as a result of this procurement process or to terminate the procurement process by written notice to the DBOF Entities for any reason whatsoever.

The Village reserves the right to change or alter the schedule of events associated with this procurement process upon notice to the DBOF Entities. The Village reserves the right to modify or amend the scope of services or the Project, including the deletion or addition of other facilities, under a single DBOF contract, or the addition/deletion of operation and maintenance services. By submitting Pre-Qualification Documents, the DBOF Entity agrees to be bound by any modifications made by the Village.

The Village shall not accept responses received after the Submittal Deadline, and shall not grant pre-qualification where the required information and materials are not provided. There is no appeal from a refusal for an incomplete or late application.

The Village reserves the right to investigate the DBOF Entities qualifications provided in the Pre-Qualification Documents by interviewing references, requesting additional information, or researching public information.

The Village shall rate the qualifications of DBOF Entities based on the criteria in this RFQ, and develop a short-list of the highest qualified DBOF Entities which will be allowed to submit proposals on the Project, and to exclude from the short-list those DBOF Entities which meet the minimum qualification requirements, but are not rated among the highest qualified DBOF Entities.

The Village reserves the right to adjust, increase, limit, suspend or rescind the pre-qualification rating based on subsequently obtained or learned information. DBOF Entities whose rating changes sufficient to disqualify them will be notified.

The Village may require DBOF Entities that will be using Sub-consultants who are not members of the DBOF Entity for this Project, to submit: (1) The qualification of certain Sub-consultants as specified in the Pre-Qualification Documents, and (2) Post-proposal qualification review. Such requirements, if enacted, will follow acceptance of the proposal, but before an award is made.

All Pre-Qualification Documents shall become the property of the Village and will not be returned to DBOF Entities, except submittals that are not received by the Submittal Deadline, which shall be rejected and returned unopened to those DBOF Entities.

Neither the Village, its staff, representatives, consultants or agents will be liable for any claims or damages resulting from the solicitation, collection, review, or evaluation of documents or submittals related to this RFQ.

2. INSTRUCTIONS AND OVERVIEW OF PRE-QUALIFICATION PROCESS

2.1. RFQ AND QUALIFICATIONS SUBMITTAL

This RFQ is the first step in the procurement process for the selection of a DBOF Entity to design and construct, finance, operate, maintain, repair and replace a centralized wastewater system for the Village. In order to be eligible to submit a Proposal in response to the forthcoming RFP, the necessary Pre-Qualification Documents must be submitted to the Village in response to this RFQ and the DBOF Entity must be pre-qualified. Only those persons or firms who have obtained an official copy of this RFQ from the Village and have registered with the Village Clerk will receive official addenda (if issued) to this RFQ.

Submission of responsive Pre-Qualification Documents is required for the DBOF Entity to affirmatively declare their intention to participate in the RFP and Proposal process. Qualifications submittals shall comply with the instructions in this RFQ. Only those DBOF Entities that have been pre-qualified **and** selected for the short-list of DBOF Entities shall be eligible to submit Proposals in response to the RFP.

By signing and submitting Pre-Qualification Documents, the DBOF Entity certifies that no principal (which includes shareholders, members, partners, officers, directors, or

executives) is presently debarred, proposed for debarment or declared ineligible to bid or participate in any federal, state or local government agency projects.

2.2. SUBMISSION REQUIREMENTS, DATE, AND DELIVERY

A Certification of the Pre-Qualification Documents must be signed under penalty of perjury in the manner designated on the certification page, by an individual who has the legal authority to bind the DBOF Entity on whose behalf that person is signing. The Certification form is in Appendix A, Section A-4.

If any information provided by a DBOF Entity becomes inaccurate, the DBOF Entity must immediately notify the Village and provide updated accurate information in writing, under penalty of perjury.

The submittal due date for the submission of the fully completed Pre-Qualification Documents is 2:00 PM on February 1, 2011.

A complete submission will be comprised of an electronic copy, in PDF format, on a compact disc (CD), one fully completed, signed, printed original with required attachments, and five (5) hard copies.

The Pre-Qualification Documents (CD and hard copies) must be submitted in a sealed envelope or box and plainly marked on the upper left hand corner with the name and address of the DBOF Entity and bear the following title: Islamorada, Village of Islands, RFQ for DBOF Wastewater Project, Deliver to Village Clerk, 86800 Overseas Highway, Village Administration center, 3rd Floor, Islamorada, Florida 33036.

All Pre-Qualification Documents submitted after the Submittal Deadline will not be accepted and will be rejected and returned to the DBOF Entity unopened. The delivery of Pre-Qualification Documents to the Village by the Submittal Deadline shall be the sole responsibility of the DBOF Entity.

Respondent may withdraw submitted Pre-Qualification Documents prior to the Submittal Deadline by delivering a written withdrawal request to the address above. Such withdrawal will not prejudice the Village against the DBOF Entity in regards to future opportunities to work with the Village.

2.3. PRE-SUBMITTAL MEETING AND SITE VISIT

DBOF Entities are required to attend a mandatory pre-submittal meeting and site visit. The purpose of the meeting is for the Village to provide DBOF Entities with a Project update and for the DBOF Entities to ask questions about the Project and this RFQ. Only written responses by the Village, in the form of an addendum to this RFQ, to requests for information made by DBOF Entities, shall be binding on the Village.

This will be the only opportunity for DBOF Entities to meet with the Village prior to the Pre-Qualification Documents Submittal Deadline. Except for written requests for

clarifications or addenda pursuant to section 2.4 of this RFP and communications made at a publicly noticed meeting, there shall be no communications between Respondents and any Village council members, officials, employees, consultants or the Evaluation Committee.

The **mandatory** pre-submittal meeting and site visit(s) will be conducted on **January 5, 2011**. The meeting will begin at **10:00 A.M.** at Village Hall, Third Floor, 86800 Overseas Highway, Islamorada, Florida 33036, and will be followed by site visit(s).

2.4. ADDENDA TO RFQ

During the period provided for preparation of Pre-Qualification Documents, the Village may issue addenda clarifying this RFQ. Such addenda will be numbered consecutively and distributed to all DBOF Entities that registered to receive the RFQ. It is recommended that prior to submitting the Pre-Qualification Documents, DBOF Entities contact the Village Clerk and verify the number and subject of the addenda that have been issued. The DBOF Entity shall be responsible for obtaining all addenda prior to submitting Pre-Qualification Documents. Submittal of Pre-Qualification Documents shall constitute certification that the DBOF Entity has received and reviewed all addenda. Additionally, the Pre-Qualification Questionnaire (Appendix A) requires that the DBOF Entity acknowledge all addenda by providing a list of addenda received.

2.5. COMMUNICATIONS PROTOCOL

All questions concerning this RFQ and the procurement process must be directed in writing to:

U.S. Mail: Myles Milander, Village Wastewater Utility Director
86800 Overseas Highway
Islamorada, Florida 33036

Email: myles.milander@islamorada.fl.us
Subject: RFQ for DBOF Wastewater Project

All communications to the Village Wastewater Utility Director must be submitted in writing no later than ten (10) days before the Submittal Deadline and are subject to distribution to all Respondents. Communications sent via electronic mail may be printed and made available to all Respondents. The Village shall respond to written requests for clarification or information in writing through issuance of addenda to this RFQ. No oral communications shall be binding on the Village and respondents should not rely upon any oral communications.

Except for written requests for clarifications or addenda pursuant to section 2.4 of this RFP and communications made at a publicly noticed meeting, there shall be no communications between Respondents and any Village officials, council members, employees, consultants or the Evaluation Committee.

2.6. EXPENSES OF THE DESIGN-BUILD-OPERATE-FINANCE ENTITIES

The Village accepts no liability for the costs and expenses incurred by the DBOF Entities in responding to this RFQ, the future RFP, subsequent negotiations, and all other activities associated with this procurement process. DBOF Entities shall prepare the required submittals at their own expense and with the understanding that the Village shall not provide reimbursement for the associated costs and expenses.

2.7. EVALUATION OF PRE-QUALIFICATIONS DOCUMENTS AND DESIGN-BUILD-OPERATE-FINANCE PROPOSALS

2.7.1. RFQ and Pre-Qualification Documents

This RFQ is the first step in the procurement process for the Project. In order for a DBOF Entity to be eligible to submit a proposal in response to the forthcoming RFP, the DBOF Entity must submit a response to this RFQ and must be pre-qualified and short-listed by the Village in accordance with the procedures set forth herein.

An Evaluation Committee, consisting of Village staff and consultants, will evaluate the responses to this RFQ contained in the Pre-Qualification Documents. The Evaluation Committee, at its sole discretion, shall have the right to seek written clarifications from any of the DBOF Entities in order to fully understand the nature of the submittals and evaluate the DBOF Entities. The Evaluation Committee shall evaluate the Pre-Qualification Documents submitted for completeness, disqualify any incomplete submittals, determine and recommend to the Village Council the pre-qualification rating of each DBOF Entity, and establish a short-list of qualified DBOF Entities.

The Village anticipates designating a short-list of the three (3) highest qualified DBOF Entities which will be eligible to receive the RFP document and continue in the selection process. However, the Village reserves the right to designate more, or less, than three DBOF Entities on the short-list. The ranking of DBOF Entities for the purpose of prequalification and establishing a short-list will not carry forward into the review of proposals submitted in response to the RFP.

2.7.2. RFP and Design-Build-Operate-Finance Proposals

The Village will issue the RFP to the short-list of qualified DBOF Entities eligible to submit proposals as the second step of the procurement process.

Proposals submitted in response to the RFP will be evaluated and DBOF Entities ranked by most highly qualified to perform the services requested in this RFQ. The RFP will set forth the evaluation criteria and weighting factors for all criteria. The evaluation criteria will include, but is not limited to, price, technical design, construction expertise, life cycle costs, skilled labor force availability, and safety record.

2.8. DEFINITION OF A DESIGN-BUILD-OPERATE-FINANCE ENTITY

A Design-Build Firm or Entity is defined by Section 287.055(2)(h), Florida Statutes, as a partnership, corporation, or other legal entity that: 1. Is certified under Section 489.119, Florida Statutes, to engage in contracting through a certified or registered general contractor or a certified or registered building contractor as the qualifying agent; or 2. Is certified under Section 471.023, Florida Statutes, to practice or to offer to practice engineering, certified under Section 481.219, Florida Statutes, to practice or to offer to practice architecture; or certified under Section 481.319, Florida Statutes, to practice or to offer to practice landscape architecture.

Although that definition allows wide latitude in the composition of the DBOF Entity, the Village requires the DBOF Entity to identify and list core members or organizations that will comprise the entity. Those core members or organizations must individually complete the appropriate scored question portion of the Pre-Qualification questionnaire and obtain a passing score to be considered qualified. The core members that must be listed in the DBOF Entity are:

- Project Manager
- Design and Construction Financier
- Design Professional
- Registered Surveyor and Mapper
- General Contractor
- Engineer of Record
- Structural Engineer
- Mechanical Engineer
- Electrical Engineer
- Mechanical Subcontractor
- Electrical and Instrumentation Subcontractors
- Operations Manager

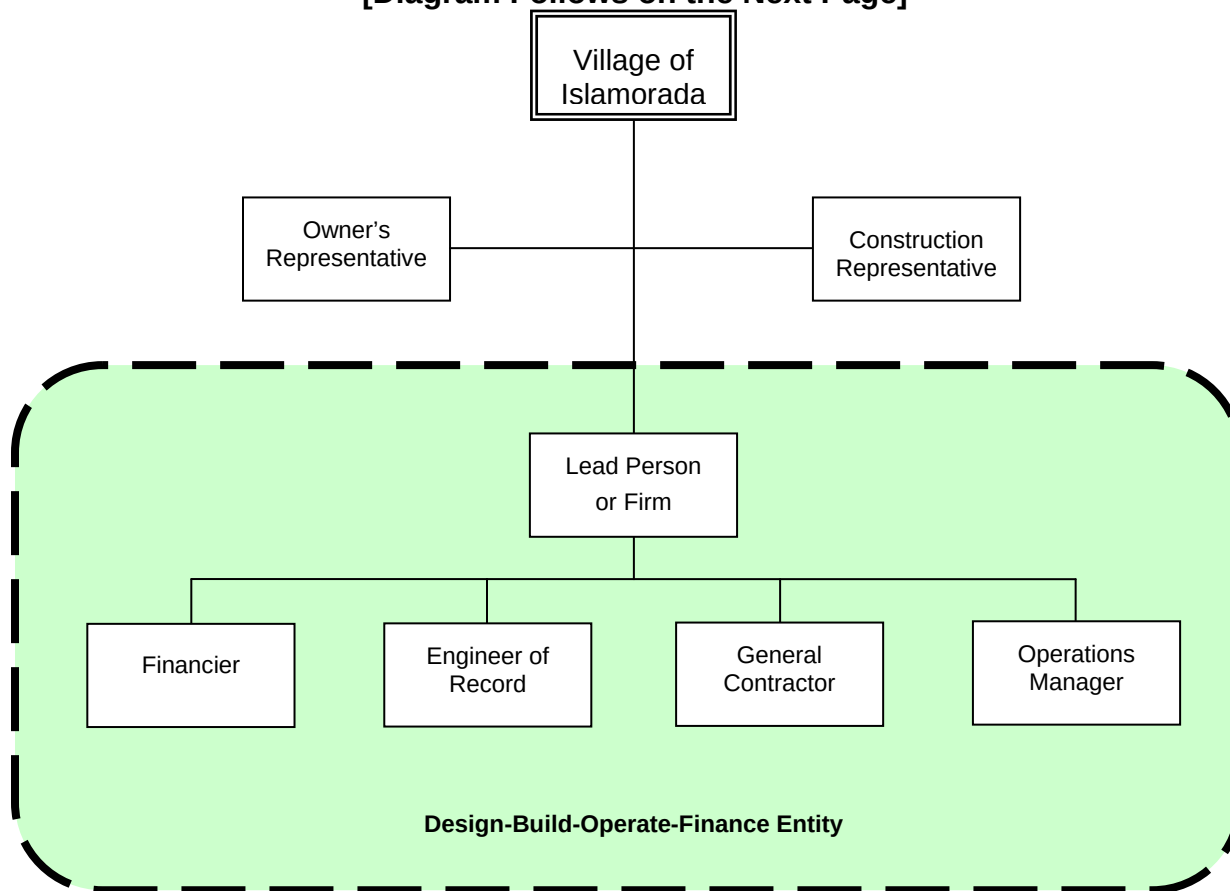
If the DBOF Entity is led by a single corporation or organization with the capability of performing several core design and construction services, operations and maintenance, and/or private financing (i.e. an integrated Design-Build company with licensed engineering staff and general contractor qualifications), then that organization must successfully qualify for each listed discipline.

If the DBOF Entity chooses to use a core member organization with the capability of performing a combination of services (i.e. a subcontractor with a licensed engineer and design staff), then that member must successfully qualify as both the subconsultant and subcontractor for the listed discipline.

The following diagram illustrates the various members of a DBOF Entity that may be included and that if included as members of the DBOF Entity must complete the appropriate portions of the Pre-Qualification Questionnaire in Appendix A. The Pre-Qualification Questionnaire includes portions that must be completed for the DBOF

Entity as a whole, the Financier Member, the General Contractor Member, the Engineer of Record Member, the Operations Member, each listed Sub-Contractor Member, and each listed Sub-Consultant Member.

[Diagram Follows on the Next Page]



2.9.DETERMINING THE MINIMUM QUALIFICATIONS AND RANKING OF THE DESIGN-BUILD-OPERATE-FINANCE ENTITY

The DBOF Entity must meet the minimum qualifications established in Appendix A to be considered pre-qualified. Those DBOF Entities which meet the minimum qualifications shall be evaluated and ranked according to the criteria in Appendix B to determine a short-list of the highest qualified DBOF Entities which are eligible to respond to the RFP. The successful DBOF Entities are those which meet the minimum qualifications and are the highest ranked. The Village expects to short-list three (3) DBOF Entities for responding to the RFP. The three levels of evaluation are:

2.9.1. Essential Qualifications

This level is comprised of the minimum basic requirements that the DBOF Entity must possess. The questions will be answered by the Evaluation Committee based on information provided by the DBOF Entity and its Members in the Pre-Qualification Questionnaire and the SOQ. Each question and the pre-assigned score value is listed in Section A-5.1 of Appendix A. All questions must be answered correctly so that the aggregate score for all questions in this level is equal to 100% of the possible score. If the DBOF Entity passes this level, they may then proceed to the next level.

2.9.2. Scored Qualifications

This level is comprised of the minimum qualifications and experiences that the DBOF Entity should possess. The basis for evaluation is information provided by the DBOF Entity in the Pre-Qualification Questionnaire and the SOQ. Each question and the pre-assigned score value is listed in Section A-5.2 of Appendix A. The questions will be answered by the Evaluation Committee and the aggregate score for all questions in this level must be equal to or greater than 80% of the possible maximum score. If the DBOF Entity passes this level, consideration of their qualifications will proceed to the next level.

2.9.3. Evaluation and Ranking of Qualified Design-Build-Operate-Finance Entities

Each DBOF Entity which meets the minimum qualifications established in the scoring criteria in Appendix A will be evaluated and ranked according to the criteria and weighting in Appendix B, which are the following:

- | | |
|--|-----|
| • Pre-Qualification Questionnaire Score (Appendix A) | 10% |
| • Financial Qualifications | 20% |
| • Technical Approach and Team Expertise | 30% |
| • Design, Construction and Operational Experience | 30% |
| • Operations and Management Expertise | 10% |

The basis for evaluation and ranking is information provided by the DBOF Entity in the Pre-Qualification Questionnaire and the SOQ, interviews by the Evaluation Committee with the owners of previous projects that the DBOF Entity and its Members have been involved in, and interviews by the Evaluation Committee with each DBOF Entity.

The Evaluation Committee will short-list the three highest ranked DBOF Entities as those eligible to receive and respond to the RFP. Scores and rankings of DBOF Entities in response to this RFQ will not be carried forward to the RFP process.

2.10. REVIEW AND NOTIFICATION PROCESS

The completed Pre-Qualification Documents shall be submitted by the Submittal Deadline specified above. Once received, the review and notification process will be as follows:

- a. The Pre-Qualification Documents will be date and time stamped upon receipt.
- b. The Pre-Qualification Documents will be reviewed for completeness by Village staff within fifteen (15) working days from the Submittal Deadline. The DBOF Entity will be notified as to completeness. If the Pre-Qualification Documents are complete, they will proceed to be reviewed by the Evaluation Committee.
- c. The completed Pre-Qualification Documents will be reviewed by the Evaluation Committee, rated and ranked within sixty (60) working days from the Submittal Deadline.
- d. The Village Council shall consider and approve the ratings and ranking of the Evaluation Committee within ninety (90) working days of the Submittal Deadline.
- e. The DBOF Entity will be notified of their qualification rating within fifteen (15) working days from Council decision.

If the DBOF Entity meets the minimum qualifications for this Project and is included on the short-list of qualified DBOF Entities, they will be eligible to respond to the RFP and will be notified of the opportunity when the RFP documents are issued.

3. PROJECT SPECIFIC INFORMATION

3.1. VILLAGE'S GOALS AND OBJECTIVES

The primary purpose of the Project is to ensure that all wastewater is collected and treated to State of Florida mandated levels prior to disposal and to ensure safe and reliable wastewater collection and treatment for the community.

The overall objectives of the Project are:

1. Provide cost efficient and reliable wastewater service to Village residents and property owners and to improve the quality of service and water quality in the region;
2. Provide wastewater collection and treatment and effluent management within the Village adequate to meet both the short and long term needs of the Village residents;
3. Design, construct, operate and finance wastewater treatment plants or facilities that are capable of producing treated effluent at least in compliance with all regulatory requirements using technologies that have been previously approved by the Florida Department of Environmental Protection;

4. Design, construct, operate and finance wastewater systems or facilities that will have no discharge to the surface waters of the Florida Keys, but rather discharge solely to injection wells, and/or future reclaimed water system;
5. Design, construct and operate wastewater systems and facilities that will have no detectable odors at the property lines of the plants or facilities;
6. Minimize environmental impacts associated with the construction and operation of the wastewater systems;
7. Protect and improve the region's water resources, surface water and groundwater;
8. Minimize the short-term and long-term costs to the Village through innovative and cost-effective design, construction and operation of the wastewater system.
9. Develop a wastewater system that will comply with the State of Florida's mandates and requirements as set forth in applicable Legislation. Incorporate measures to minimize potential environmental impacts on the Village and surrounding areas. (Considerations include, but are not limited to, habitat conservation, marine protection, endangered species and habitat, air and water quality, greenhouse gas emissions, wetlands and estuary preservation or enhancement).
10. Project Costs. Create a predictable cost stream for the design, construction and operation of the Project.
11. Regulatory Compliance. Comply with applicable local, state, and federal permits, land uses, and other requirements.
12. Water Resources. Address water resource issues by mitigating the Project's impacts on water supply. Maintain the widest possible options for beneficial reuse of treated effluent with the goal of developing a sustainable water supply

The Village's reasons for utilizing the Design-Build-Operate-Finance delivery process include:

1. Schedule. The Village seeks to receive a delivered Project in an overall schedule equal to or less than would normally be required for a design-bid-build project and, in any event, a schedule which will have the wastewater system operational and acceptance achieved by the State of Florida's mandated date.
2. Cost. The Village expects that the Design-Build-Operate-Finance process will deliver a completed Project life-cycle cost that will be lower than would be possible under a typical design-bid-build process or a wastewater system constructed and operated by the Village.

3. Ingenuity. The Village expects to implement this procurement in a manner that encourages ingenuity in the design, construction, operation, management and finance (including efficient energy utilization) elements of the Project. Areas where the Village hopes to benefit from the DBOF Entity's ingenuity and innovation include without limitation, system or facility layout; energy efficiency; and design features resulting in operations and maintenance cost efficiencies and superior performance above the minimum requirements specified in the RFP.

4. Qualified DBOF Entity. The Village wishes to engage reputable, experienced, knowledgeable and a capable DBOF Entity to design, construct and operate the wastewater system and deliver a Project that meets the Village's needs.

5. Quality. Developing a high-quality wastewater system that incorporates sound engineering and design, high-quality materials and equipment, and high-quality workmanship is an important consideration for the Village. The Village's concept of quality also encompasses durability, reliability, ease and cost of operation and maintenance, and efficiency with an emphasis on life cycle costs.

6. Single Point of Responsibility. The DBOF Contract will require a single point of responsibility between the Village and the DBOF Entity, which will provide certain risk insulation for the Village as well as ease of contract administration. Consistent with this approach, the Village expects the DBOF Entity to assume certain risks including demonstration through acceptance tests and standards that the wastewater system meets certain performance requirements.

3.2. PROJECT SCHEDULE – INCLUDING DESIGN-BUILD-OPERATE-FINANCE SELECTION AND AWARD

3.2.1. Design-Build-Operate-Finance Estimated Procurement Milestones

Milestone	Date
Issue Final RFQ	December 14, 2010
Mandatory Pre-submittal Meeting & Site Visit(s)	January 5, 2011
Deadline for Questions Regarding RFQ	January 14, 2011
Issuance of RFQ Addenda (if necessary)	January 21, 2011
Deadline for Submittal of Pre-Qualification Documents	February 1, 2011
DBOF Entity Interviews (if necessary)	As Notified
Short-List DBOF Entities Rankings and Recommendations by Evaluation Committee	February 1 – March 17, 2011
Short-List DBOF Entities Rankings and Recommendations Approved by Village Council	March 24, 2011

3.3. PROJECT BUDGET, FUNDING, AND OWNERSHIP

The Village has developed preliminary Project cost estimates for construction as set forth in the Village's Program Delivery Analysis Report. According to planning level estimates, the construction costs for the Project are estimated at \$132 million. It is expected that the Design-Build-Operate-Finance proposals submitted as part of this procurement process will further define the Project construction costs and lead to final determinations of specific collection, transmission, and treatment and disposal technologies.

Due to the ongoing economic climate, public funds may not be available or sufficient to cover the capital costs of constructing the proposed Project. As a result, the Village has elected to utilize private sector funding as a means of financing the Project. As may be further specified in the RFP, the Village reserves the right to supplement the private sector financing with other available public funding sources, including grants, loans and assessments.

3.4. PROJECT TEAM AND CONSULTANTS

The Project team consists of Village staff, Village legal and administrative staff, and consultants from several financial, engineering and professional firms. The primary roles related to this Design-Build-Operate-Finance procurement process are the Design Criteria Professional, the financial consultant, the Village attorney, and the construction manager.

Wade Trim, Inc. will serve as the Village's Representative and will develop the preliminary design documents and design criteria.

The Village will contract additional consultants required to assist in the Design-Build-Operate-Finance selection process. These include a financial consultant to perform an evaluation of the financial capacity of each DBOF team and the Village attorney to review the DBOF advertisement documents and DBOF team submittals for completeness and legal compliance.

Wade Trim, Inc. will support the Village by providing Construction Management services throughout Project implementation.

3.5. ENVIRONMENTAL REVIEW AND PERMITTING

The environmental review process must comply with Florida Law, applicable Village land use policies, and the regulatory authority of a number of local, State, and Federal agencies. These agencies may include, but are not limited to, the following:

- Islamorada, Village of Islands, Village Council (Lead Agency)
- Islamorada, Village of Islands, Planning and Development Services Department
- Islamorada, Village of Islands, Fire Department

- State of Florida Department of Environmental Protection
- State of Florida Department of Community Affairs
- State of Florida Department of Health
- State of Florida Department of Transportation
- South Florida Water Management District
- US Army Corps of Engineers (USACE)
- US Fish and Wildlife Service (USFWS)

The DBOF Entity shall maintain the primary responsibility for obtaining all applicable State, Federal and Village permits and approvals for the Project. The DBOF Entity is expected to be responsible for obtaining local permits typically issued by the Village.

This RFQ document is intended to provide only an overview of the anticipated permit responsibilities and is not binding on the Village or DBOF Entity. The RFP document will provide more detailed information of the required permits and approvals.

3.6. SITE EVALUATIONS

3.6.1. Site Descriptions

The Village's existing North Plantation Key Wastewater Treatment Plant and Collection System is located on North Plantation Key. The facilities were constructed in 2005.

The proposed Project is Village-wide and must provide wastewater collection, transmission and treatment to all residents and property owners within the Village. The Village has not acquired the real property or sites needed to implement and construct the wastewater system. The DBOF Entity must acquire all property rights and interests required for the Project, including sites for the collection systems and pump stations, sites for any wastewater treatment plants and facilities, right-of-way easements and private property easements. The Village shall own all wastewater systems and facilities, as well as the underlying fee simple to all sites upon which the system and facilities will be located. More detailed information will be available to DBOF entities during the RFP process.

3.6.2. Geological Background

Geotechnical surveys for the construction of the wastewater system and any proposed treatment facilities should be completed by the DBOF Entity, along with topographic surveys. Any surveys in the Village's possession will be made available during the RFP stage and will be preliminary in nature. DBOF Entities shall be responsible for further analysis that may be necessary for the design of collection and treatment facilities.

3.6.3. Biological Background

The DBOF Entity shall be responsible to ascertain the existence of any endangered species or sensitive resources within the Village that may impact the development and construction of the Project.

3.6.4. Cultural and Archaeological Background

The DBOF Entity shall be responsible to ascertain the existence of any historic and archaeological properties or sites within the Village that may impact the development and construction of the Project.

3.7. GENERAL TREATMENT REQUIREMENTS

The Village is currently developing the collection and treatment facility performance criteria to be included in the RFP. The performance criteria will include the effluent discharge limits required by applicable law. Regardless of the Alternative implemented, proposed collection and treatment systems shall be comprised of processes that have demonstrated capacity to meet specified performance requirements required by Florida Law, and to be further specified on the RFP. Respondents should refer to the Available Reference Documents listed in section 3.8 for a detailed understanding and description of the Project scope.

3.8. AVAILABLE REFERENCE DOCUMENTS

Reference deliverables or documents which are especially pertinent to the current Project include, but are not limited to, those listed below. These documents will be made available to respondents as part of the RFP process.

Volume 1 – Existing Program Technical Materials. Includes available Program technical materials developed to date, including:

- Islamorada Water Quality Subcommittee Final Report on the Septic Tank Effluent Pumping System (STEP) for Wastewater Removal, dated November 2, 2010;
- KLWTD South Transmission Main Design Drawings, prepared by CPH Engineers, September, 2009;
- FDOT Contract Plans, Financial Project ID 419849-1-52-01, SR No. 5 Southbound lanes from 270-ft South of Harbor View Drive to 689-ft North of MM 93, November, 2009;
- FDOT Contract Plans, Financial Project ID 419853-1-52-01, SR No. 5 From MM 97 to MM100, May 2009;

- As-Built Drawings for North Plantation Key Collection System and WWTP;
- Middle Plantation Key (MPK-2) Vacuum Sewer System 60% Design Drawings & Specifications, June 2010 prepared by Wade Trim, Inc.;
- Middle Plantation Key (MPK-2) Vacuum Sewer System, Basis of Design Report, October 2009, prepared by Wade Trim, Inc.;
- Middle Plantation Key (MPK-2) Vacuum Sewer System, Customer Septic Tank Locations Notebook, June 2010, prepared by Wade Trim, Inc.;
- Islamorada Vacuum Sewer System Supplier Agreement with AIRVAC, Inc., dated December 17, 2009;
- CPH Engineering, Inc. Redesign Contract Documents for North Plantation Key South Coconut Line;
- Draft Interlocal Agreement between the VILLAGE and Key Largo Wastewater Treatment District for wastewater treatment of VILLAGE wastewater;
- Islamorada Wastewater Master Plan prepared by E-Science, Inc., November 2005;
- Program Delivery Analysis Report, prepared by Hazen & Sawyer;
- Program Design Standards Manual, prepared by Hazen & Sawyer;
- Program Preliminary Vacuum Collection System Layouts for Village designated service areas;
- Program Vacuum Standards & Details (developed to date with Village Utilities);
- Interlocal Agreement between the VILLAGE and the Florida Keys Aqueduct Authority (FKAA) for wastewater billing and collection by FKAA with remittance to Village;
- Available Florida Department of Transportation (FDOT) Planned Work Schedule for U.S. Highway 1;
- Chapter 99-395 mandating deadline for implementation of wastewater treatment standards in the Florida Keys;
- Senate Bill 550
- Property Survey for the Middle Plantation Key Vacuum Pump Station Site located at the Monroe County Public Works Facility, prepared by David Massey Land Surveying, June 2009;
- Conceptual Site Layout & Engineering Report for the White Rhino Transfer Pump Station Site in Key Largo, Florida, prepared by Weiler Engineering, May 2010;

- Conceptual Site Layout & Engineering Report for Coral Shores High School Booster Pump Station, prepared by Weiler Engineering, May 2010;
- Survey, Habitat Analysis Report, and Vegetation Survey for the Gregg Property Vacuum Pump Station Site in South Plantation Key;
- Conceptual Wastewater Treatment Plant Site Layout for the Uhler Property Site @ South Plantation Key (prepared by Reiss Engineering; and
- Surveys & Environmental Assessments completed for the:
 - Coral Shores High School Booster Pump Station Site;
 - Properties North of the NPK WWTF;
 - Key Lime Property;
 - Janus Property; and
 - Tennis Court Property in Lower Matecumbe Key.
- Volume 2 - Design Criteria Package: The Design Criteria Package (DCP), as required to comply with Section 287.055, Florida Statutes, will be prepared by the Village's selected Design Criteria Professional and will be included in the RFP. The DCP will include conceptual drawings and specifications (Divisions 1 through 16) available from past program work efforts. In addition, the DCP will include a Professional Services requirement that the DBOF Entity provide the services of a successor Professional Engineer to perform Design Engineer of Record duties for the Project. Such duties will include, but not be limited to, upgrading and completion of existing design documents (drawings and specifications), development of new design documents, permitting, and subsequent administration of construction efforts by the contracting component of the successful DBOF Entity. In this role, the successor professional engineer shall be required to assume all professional and legal responsibility for design documents and adhere to professional guidelines established by Florida Administrative Rule 61G15-27.001.
- General: The DCP will address DBOF responsibilities related to:
 - o Development of topographic surveys
 - o Performance of alternative routing corridor studies within public right of way
 - o Required easements, if necessary
 - o Schedules and milestones implementation requirements for PROGRAM components
 - o Performance Criteria Standards for the installed Work

- o Hydraulic modeling requirements
- o Construction sequencing and phasing criteria
- o Maintenance of traffic requirements
- o Public Notification and Information participation requirements
- o Resident complaint resolution procedures
- o General design standards
- o Restoration criteria for above and below grade improvements
- o Coordination with existing encroachments into the public Right of Way
- o Coordination of conflicts with above and below grade utilities and improvements
- o Procurement of approvals / permits from all jurisdictional authorities, including fees
- o Startup and checkout criteria and Village acceptance
- o State of Florida mandated Operational Requirements

The documents listed in this RFQ are being referenced to respondents solely for informational purposes, and this list shall not be considered an appropriate or exhaustive list of all the information necessary for a respondent to meet the DBOF Entity's obligations for the Project. These documents do not represent the optimal and specific Project features that will be included in the RFP and Design-Build-Operate-Finance contract documents.

The recommendations, conclusions, findings, analyses, results or views expressed in the background documents may not have been approved or endorsed by the Village, and accordingly should not be construed as representing the policy of the Village.

The Village neither makes any representation or warranty with respect to, nor assumes any responsibility for the appropriateness, completeness or the accuracy of the background documents. Respondents are solely responsible for conducting their own independent research and due diligence for the preparation of SOQs and the subsequent delivery of services under contract. No information derived from any part of the background documents, the RFQ, the RFP, or from the Village or any of its agents, employees, contractors, advisors or consultants, shall relieve the DBOF Entity from any risk or from fulfilling all terms of the DBOF contract.

3.9. INTRODUCTION TO SCOPE OF SERVICES

The successful DBOF Entity will be expected to provide the Village with integrated Design-Build-Operate-Finance services to design, construct, finance and operate wastewater collection and treatment facilities which meet the requirements to be established in the forthcoming RFP, and in accordance with the contract documents. Further details of the scope of services will be developed in the RFP. The following services are indicative of those which are expected to be required.

- Design
 - o Survey
 - o Geotechnical Investigations
 - o Precise facility siting
 - o Design of collection, transmission and treatment facilities and appurtenances
 - o Design coordination with related interconnections and integrated uses with other current and planned Village facilities
 - o Compliance with all contractually required design reviews and approvals
 - o Obtaining final regulatory permit approvals for facility construction
 - o Design Review Meetings with Village's Owner's Representative, Village Staff, and Village Council
- Construction
 - o Construction of collection, transmission and treatment facilities and appurtenances
 - o Construction coordination with related interconnections and integrated uses with other current and planned Village facilities
 - o Compliance with all Village and industry construction standards
 - o Compliance with all applicable Federal, State and Village labor and wage requirements
 - o Compliance with all environmental mitigation requirements
 - o Completion of all required facility, equipment and plant acceptance tests
 - o Obtaining final regulatory permit approvals for facility operation
 - o Construction progress meetings with Village's Owner's Representative, Village Staff, And Village Council
- Finance
 - o Financing of the design, permitting, construction, commissioning, and startup of the Project, and coordination of such financing with the Village's various available public funding sources (grants, loans, assessments).
- Operation
 - o Operation and maintenance of the wastewater system and facilities

This RFQ document is intended to provide only an overview of the anticipated scope of services. The RFP document will provide more detailed information of the required design and construction services.

3.10. OVERALL RISK AND RESPONSIBILITY POSTURE

The following table contains a preliminary responsibility and risk matrix for the Project, which indicates which party the Village currently envisions as possessing the “lead” responsibility and risk. This preliminary risk matrix is not intended to describe all anticipated risks and responsibilities for the Project. As current Project development activities progress, the risk allocation will be expanded and revised as necessary, and to ensure the most efficient allocation of risk between the parties in the DBOF Contract.

Risk/Responsibility	Lead Responsible Party (Village or DBOF Entity)	Comments
Obtain local, State and Federal Regulatory Permits	DBOF Entity	Includes permits from biological and cultural resources, water quality, public safety, and land use agencies
Obtain property rights land acquisition, leases, and right-of-way easements	DBOF Entity	Includes areas identified for development in Project description and RFP
Obtain local construction related permits	DBOF Entity	Includes encroachment, building, and grading permits
Comply with permit conditions and mitigations	DBOF Entity	Conditions to be established in RFP and agreement
Comply with stormwater management	DBOF Entity	Compliance with the Project erosion control plan
Material Cost Escalation	DBOF Entity	Establish cost indexes on volatile materials
Unforeseen subsurface utilities or conditions in the right-of-way or sites	DBOF Entity	Including Village-owned facility sites
Unforeseen and unknown archeological resources encountered	DBOF Entity	Responsible for coordination and permits for archeological and historic resources
Unforeseen and unsuitable soil and groundwater conditions	DBOF Entity	Responsible for environmental or geotechnical studies to determine geological conditions
Zoning	DBOF Entity	
Surveys	DBOF Entity	

Design	DBOF Entity	
Construction	DBOF Entity	
Financing	DBOF Entity	The Village reserves the right to supplement the private sector financing with other available public funding sources, including grants, loans and assessments.
Utilities	DBOF Entity	
Decommissioning/Operation of NPK Wastewater Treatment and Collection System	DBOF Entity	

3.11. CONTRACT PRINCIPLES

The Village has not determined the specific form of the contract documents to be utilized for the Project agreement. The RFP will contain the preliminary contract principles that the Village expects to reflect in the DBOF Contract. The following are summaries of certain principles that are expected to be included. These principles should not be considered as all inclusive or definitive as to the form or substance of the actual contract provisions. The Village reserves the right to amend, modify or delete any of these principles in the RFP and the contract to be issued with or as an addendum to the RFP.

- The Village intends to maintain ownership of the sites and wastewater system and facilities.
- The Village shall have final approval of all location and sites for facilities, including wastewater treatment plants or facilities.
- The DBOF Entity shall be responsible for the all site maintenance of real property or property interests.
- The DBOF Entity shall be responsible for all geotechnical investigations and tests, including soil and groundwater conditions and compliance, in connection with the construction and operation of the wastewater system and facilities.
- The DBOF Entity shall be responsible for all submittals and reports required by governmental authorities in connection with operation of the system and facilities.
- The Village intends to retain responsibility for the setting of rates, assessments and charges to property owners, and the billing and collection of such rates, assessments and charges,
- The DBOF Entity shall be responsible for obtaining all required State and Federal regulatory permits and approvals.
- The DBOF Entity will be responsible for the final design of the facility and assume design risk.

- The DBOF Entity will be responsible to design, construct, start-up, and acceptance testing of all systems and facilities by a date specified in the contract.
- The Village will select the DBOF Entity based on the most advantageous Design-Build-Operate-Finance proposal.
- The DBOF Entity will be responsible to design, construct, start-up, and acceptance test the system and facilities for the agreed Design-Build-Operate-Finance price.
- The DBOF Entity will assume all obligations under any interlocal agreement and other agreements with the KLWTD.
- The DBOF Entity will operate, manage and maintain the wastewater system and facilities for the term of the DBOF Contract in compliance with all Federal and State standards, and in accordance with performance specifications to be included in the contract documents, including repairs and capital improvements, as necessary, and provide all monitoring and testing necessary to ensure compliance.
- The DBOF Entity will provide a financial structure that is sound and comprehensive, demonstrating that all sources of capital for design, construction, management, operation, maintenance and capital improvements are available. The DBOF Entity must ensure that capital resources are available for expansion of the wastewater system, if such expansion is required and directed by the Village.
- The proposed financing structure cannot utilize or impact the Village's borrowing capabilities or ratings.
- The DBOF Entity must submit and demonstrate a comprehensive quality control/quality assurance, risk management and loss prevention program for the design, construction, management, operation and maintenance of the Project.
- The DBOF Entity must pay applicable prevailing wages, if any, for all construction work.

4. STATEMENT OF QUALIFICATIONS

4.1. GENERAL INSTRUCTIONS

The Pre-Qualification Documents, including the Statement of Qualifications (SOQ), shall be submitted in accordance with the requirements of Section 2.2 of this RFQ. Respondents are urged to be complete, but concise, in their responses. Sales brochures are not desired unless directly related to the SOQ and referenced in the text. No interpretation or clarification of the meaning of any part of this RFQ will be made orally by the Village to any potential Respondent. Requests for interpretation or clarification by any Respondent must be made in writing as described in Section 2.5 of this RFQ. Any and all such interpretations and clarifications will be made in the form of written addenda to this RFQ. Receipt of all addenda shall be acknowledged by

Respondents. An acknowledgement form for receiving addenda will be provided as part of each addendum, if any, to this RFQ.

4.2. INFORMATION REQUIREMENTS OF QUALIFICATIONS SUBMITTAL

The Statement of Qualifications (SOQ) shall be separated into seven sections, plus attachments, separated by tabs, as follows:

SOQ Main Document

1. Transmittal Letter
2. Table of Contents
3. Respondent Team Composition
4. Technical Approach and Qualifications
5. Relevant Project Experience
6. Financial Qualifications
7. Operations and Management Qualifications
8. Comments on Project Concepts

SOQ Attachments

- A. Resumes of key staff
- B. Financial statements and documentation
- C. Pre-qualification Questionnaire (provided in Appendix A)
- D. Other supporting information

The format of the SOQ shall be as outlined above. Narrative pages are to be 8 ½ inches by 11 inches, and shall be bound into the volume. A minimum of 12-point font size is required for text. The SOQ must be in English. All pages shall be numbered and a clear and concise presentation of information is encouraged. Audio-visual materials including audio tapes, video tapes and CD ROM presentations will not be accepted. Additional information concerning the submittal requirements to this RFQ is set forth below.

4.3. TRANSMITTAL LETTER

The SOQ shall include a cover letter containing the name, title, address, telephone number, fax number, and e-mail address of the Respondent and the principal contact person. The cover letter shall also include a complete listing of all companies or entities that form the Respondent's team.

4.4. TABLE OF CONTENTS

A table of contents shall be included in the SOQ, itemizing the contents of the Respondent's submission.

4.5. RESPONDENT TEAM COMPOSITION

The SOQ shall indicate the type of firm or organization (corporation, company, partnership, joint venture, etc.) that will serve as the contracting party, and provide single-entity responsibility for the Project. A Project organization chart is required. Additionally, the SOQ shall identify the parties that will undertake the responsibilities for financing, design, construction, startup, testing, commissioning, operation and management of the facilities.

The proposed contractual relationships between the Respondent and all major partners and subcontractors (responsible for greater than 20-percent of the total project effort) relative to the various phases of the project (e.g., finance, design, construction, commissioning and operation) shall be outlined in the SOQ. Suppliers of proprietary or specialty equipment which are expected to be significant to the Design-Build-Operate-Finance proposal should also be identified.

The history, ownership, organization, and background of the Respondent shall be provided. If the Respondent is a joint venture, the required information shall be submitted for each member of the joint venture firm. The Respondent shall describe the history of the relationships among the team members, including a description of past working relationships.

4.6. TECHNICAL APPROACH AND QUALIFICATIONS

Respondents shall describe their technical and organizational approach in relation to the Project process, goals, and objectives. Respondents shall demonstrate their ability to undertake the Project by providing evidence of their technical experience and qualifications related to the design and construction of projects comparable to the Project. Respondents shall describe their expected approach to developing a Design-Build-Operate-Finance proposal, in response to a forthcoming RFP, by providing a discussion of their level of effort anticipated to develop a proposal and the corresponding extent of preliminary design documents the Respondent expects to be developed by the Village for inclusion in the RFP.

The Village reserves the right to conduct an investigation of the Respondent's technical qualifications by contacting project references or others, or accessing public information. Additional information may be requested during the technical qualifications review. As a minimum, the Respondent shall provide the following information to demonstrate technical qualifications.

4.6.1. Design and Construction Experience

The Respondent shall provide its individual member and collective design and construction experience, including Design-Build-Operate-Finance of wastewater collection, transmission and treatment facilities. Preference will be given to wastewater experience of the type and scale contemplated for this Project.

4.6.2 Operational and Management Experience

The Respondent shall provide its individual member and collective operations and management experience, including operations and management of wastewater collection, transmission and treatment facilities. Preference will be given to wastewater experience of the type and scale contemplated for this Project.

4.6.3 Key Project Staff

The Respondent shall describe their organizational and managerial approach and provide the relevant qualifications of all key staff assigned to this Project. The Respondent shall provide the qualifications of all key Project staff, including, but not limited to: Program/Project Manager, on-site Project Manager, Design Manager, Engineer(s) for each major design segment, and Construction Manager(s). This submission shall include the information for all key Project staff of the contracting firm, its parent (if applicable), partner firms, and major subcontractors. For all key personnel, provide full resumes containing the relevant qualifications as an attachment to the SOQ.

Respondents must recognize that its key assigned employees, along with subcontractors and their key employees included in the SOQ, shall be used as a basis for determining pre-qualified Respondents for eligibility to submit responses to the RFP. Any changes to Respondent teams, including major subcontractors and key employees, must have prior approval by the Village and generally will only be allowed for extenuating circumstances, such as corporate takeovers, buyouts, and other unforeseen changes. Respondents shall be evaluated based on the strength of “key project staff” as well as the depth of experienced staff resources available within the Respondent structure. Respondents may strengthen their teams prior to submission of their Proposals by adding additional experienced personnel and subcontractor members.

4.7. RELEVANT PROJECT EXPERIENCE AND REFERENCES

In addition to providing technical qualifications and experience, the Respondent shall provide up to ten (10) directly relevant projects completed within the past ten (10) years that the Respondent has been involved with as a financier, designer, builder, and operator. A brief description of these selected projects shall be provided, including the history of operation, current status, and a description of the Respondent team’s specific involvement in these projects. Although these projects may be located in the United States or abroad, emphasis shall be on experience in the United States. In the projects presented, the Respondent must demonstrate qualifications and experience consistent with the development and implementation of the Project. Respondents are required to complete the Reference Project Forms in the Pre-Qualification Questionnaire in Appendix A which provide detailed information about the relevant project experiences described in this section.

4.8. FINANCIAL QUALIFICATIONS

The SOQ shall include the following subsections related to financial qualification:

- Project Guarantor
- Financial Information
- Security Requirements

Required information related to submittal of financial qualifications is set forth below.

4.8.1. Project Guarantor

Respondents are required to identify a Project Guarantor that shall irrevocably, absolutely, and unconditionally guarantee all of the Respondent's payment and performance obligations under the DBOF Contract, including but not limited to, designing, constructing, acceptance testing, and warranty for the Project, and operations. The Guarantor shall be the Respondent, its parent DBOF Entity, or a substantially capitalized affiliate of the Respondent or its parent DBOF Entity. The Guarantor shall have sufficient financial capability to assure the Village that it is capable of performing its obligations under a Guaranty Agreement for this Project (i.e., financial capability at least commensurate with the Project). The Respondent is required to complete the Pre-Qualification Questionnaire in Appendix A, which clearly identifies the Guarantor. This identification also includes a statement that the Guarantor shall fully guarantee all obligations of the Project.

Respondents shall demonstrate to the Village that their Guarantor has the capability and legal commitment to guarantee the financial obligations of the Respondent for the Project. If a sole purpose subsidiary (e.g. joint venture) is created as the contracting party, the parent DBOF Entity or one or more of the partner firms, shall be the Guarantor to guarantee performance of the subsidiary's obligations. A subsidiary with limited capitalization and without the full parent DBOF Entity or partner guarantee shall not be allowed as the contracting party. If a subsidiary has been formed solely to respond to this RFQ, the reasons for this action shall be fully disclosed.

Although the Village may accept multiple Guarantors, the Village will not pre-qualify a Respondent that proposes to divide the financial responsibility for separate contract services among multiple Guarantors. If more than one Guarantor is proposed, each firm shall be jointly and severally obligated and shall independently execute the Guaranty Agreement. If the Guarantor is a joint venture, each firm shall be jointly and severally obligated and shall independently execute the Guaranty Agreement.

4.8.2. Financial Information

The Respondent shall provide full disclosure of its financial position and, if applicable, the financial position of the Guarantor guaranteeing the Respondent's obligations under the DBOF contract to be executed. Financial information submitted as part of the SOQ

shall include all key team members of the DBOF Entity, as set forth in the organizational chart in section 2.8 of this RFQ.

4.8.2.1. Financial Statements

The SOQ attachments must include copies of the most recent three (3) years of audited annual reports filed with the Securities and Exchange Commission (the SEC) on Form 10-K, and supporting documents, and all quarterly reports filed with the SEC on Form 10-Q since the last 10-K was filed. If 10-K or 10-Q reports reference other reports that describe the respondent's financial condition, copies of such reports shall be provided to the Village as part of the SOQ.

If not required to make periodic filings with the SEC, Respondent shall submit the following in the SOQ attachments: (a) audited financial statements for the past three (3) fiscal years, including income statements, balance sheets, and statements of changes in financial position; (b) copies of the latest quarterly financial reports for the prior three (3) months; and (c) a statement regarding any material changes in the mode of conducting business, bankruptcy proceedings, and mergers or acquisitions for the past three (3) years, as well as any disclosure of any potential mergers or acquisitions.

4.8.2.2. Direct and Indirect Fixed or Contingent Obligations

Respondents must also provide detailed information concerning all direct and indirect fixed or contingent liabilities undertaken by the Respondent, its parents, affiliates, and subsidiaries in connection with other projects, whether by way of financial or performance commitments, open letters of credit, guarantees, or otherwise that may limit or affect its financial exposure for this Project.

4.8.2.3. Credit Ratings

If the Respondent or proposed Guarantor have short-term or long-term obligations rated by Moody's Investor's Service, Standard & Poor's Corporation, Fitch IBCA, or Duff & Phelps, such ratings shall be provided.

4.8.2.4. Litigation

The Respondent shall disclose any outstanding or pending litigation that could potentially impact its financial condition if judgment is brought against the Respondent.

4.8.2.5. Insurance

Respondents shall specify the limits of liability insurance, including workers' compensation and professional errors and emissions insurance, and builder's risk

insurance, that they normally provide for a Project of this scope and size, including the amount of any deductibles or self-insured retentions. The Respondent shall provide evidence of coverage, or evidence of the ability to secure insurance coverage, at those limits.

4.8.2.6. Financial Information Requirements Relating to Responses Submitted by Consortia, Joint Ventures, or Partnerships

If the SOQ is being submitted by a consortium, a joint venture, or a partnership, the SOQ shall identify all parties and relationships. Each member of such consortium, joint venture, or partnership shall provide full disclosure information regarding their financial strength as specified in this section for individual respondents.

4.8.3. Security Requirements

Respondents are required to furnish evidence of their ability and intention to provide the Performance Bond, Payment Bond, and insurance coverage necessary for a Project of this scope and size. The Pre-Qualification Questionnaire in Appendix A requires Respondent to affirm their ability to appropriate bonds and insurance, including notarized letters of commitment from sureties or banks.

4.9. PRE-QUALIFICATION QUESTIONNAIRE

Respondents are required to complete and submit the Pre-Qualification Questionnaire which is included as Appendix A of this RFQ. The Pre-Qualification Questionnaire will be scored based on the scoring criteria provided in Appendix A and will be used to determine whether a DBOF Entity meets the minimum qualifications necessary for prequalification. Only those DBOF Entities which meet the minimum qualifications will be ranked for consideration on the short-list of DBOF Entities which will receive the RFP.

Respondents must complete and submit the Pre-Qualification Questionnaire in Appendix A. An electronic version of the Pre-Qualification Questionnaire has been provided to Respondents on the CD-ROM included with this RFQ.

4.10. COMMENTS ON PROJECT CONCEPTS; ADDITIONAL QUALIFICATIONS INFORMATION

Respondents may, but are not required to, provide comments or additional information concerning the Village's Project approach, Project schedule or other elements of the Project described in this RFQ. Respondents may submit a Project schedule that reflects the Respondent's approach to the Project and that addresses the major milestones related to permitting, design, construction, commissioning, testing, start up, and commencement of operations.

Respondents who are considering the submittal of a Project proposal which contains alternatives to the fundamental assumptions in this RFQ in relation to collection or treatment technology, facility location, permit compliance, Project financing, or other Project elements may provide a description of the approach in their comments.

Comments or additional information provided by Respondents may not be evaluated as part of the SOQ, but will provide the Village with useful information that may be applied to the procurement process.

APPENDIX A: PRE-QUALIFICATION QUESTIONNAIRE AND MINIMUM QUALIFICATIONS

A-1. Information About the Design-Build-Operate-Finance Entity (DBOF Entity)

1. Type of Entity (check one):

- ☐ Partnership ☐ Limited Partnership ☐ Other Entity
☐ Corporation ☐ Sole Proprietor ☐ Limited Liability Company

List all partners, general partners, officers, members, managers, or entity members known at the time of submission that will participate in the Design-Build-Operate-Finance contract as member of the DBOF Entity; including, but not limited to, mechanical subcontractors. If DBOF Entity is a sole proprietor or partnership list the owners.

DBOF Entity or Firm(s)		
Discipline	Name	License

2. Primary Contact Information

DBOF Entity Name: _____

Primary Contact: _____

Address: _____ Phone: _____

_____ Fax: _____

3. Has the DBOF Entity provided, in the Statement of Qualifications, evidence that the DBOF Entity has completed, or demonstrated the experience, competency, capability, and capacity to complete, projects of similar size, scope, or complexity to the Project described in this RFQ?

☐ Yes ☐ No

4. Has the DBOF Entity provided, in the Statement of Qualifications, evidence that the proposed key personnel have sufficient experience and training to competently manage and complete the design and construction of the Project described in this RFQ?

☐ Yes ☐ No

5. Has the DBOF Entity provided, in the Statement of Qualifications, financial statements from the Project Guarantor that assure the Village that the DBOF Entity has the financial capacity to complete the Project described in this RFQ?

☐ Yes ☐ No

6. Identify the Project Guarantor who shall irrevocably, absolutely, and unconditionally guarantee all of the Respondent's obligations under the contract agreement including, but not limited to, designing, constructing, acceptance testing, warranty and operations for the Project.

Name of Company: _____

Names of Owners, Officers, Members, Managers Partners, or Executives:

7. Based on the DBOF Entity's evaluation of the Project described in this RFQ, list all licenses, registrations, and credentials required to design and construct the Project.

Discipline	License, Registration, or Credential

8. Has the DBOF Entity provided, in the form of a notarized letter of commitment from a surety or bank, evidence that establishes that the DBOF Entity has the capacity to obtain all required payment and performance bonding, liability insurance, and errors and omissions insurance?

☐ Yes ☐ No

9. Provide information concerning any debarment, disqualification, or removal of the DBOF Entity from a federal, state, or local government public works project.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

10. Provide information concerning any instance in which the DBOF Entity, its owners, officers, or managing employees submitted a bid or Design-Build-Operate-Finance proposal on a public works project and were found to be nonresponsive, or were found by the awarding body not be a responsible bidder.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

11. Provide information concerning any instance in which the DBOF Entity, or its owners, partners, officers, members, managers, or managing employees, defaulted on a construction contract.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

- 12.** If the DBOF Entity is a partnership or other association that is not a legal entity, has the DBOF Entity provided, in the Statement of Qualifications, a copy of the agreement creating the partnership or association and specifying that all partners or association members agree to be fully liable for the performance under the Design-Build-Operate-Finance contract?

☐ Yes
 ☐ No
 N/A

- 13.** Acknowledge all Addenda to this RFQ by providing the Addenda number and date received of each.

Addenda # and Description	Date Received

A-2. Information About Each Member of the Design-Build-Operate-Finance Entity

A-2.1. Information About the General Contractor Member of the Design-Build-Operate-Finance Entity

1a. Name of company: _____

1b. Date of company formation or incorporation: _____

1c. State of formation or incorporation: _____

1d. How many persons does your organization currently employ: _____

1e. If your organization is a corporation or limited liability company, please complete the following table:
Provide information for each officer, member or manager of the corporation or company or individual(s) with 20% or more of the corporate stock or membership interest.

Position	Name	Years with Co.	% Ownership
President			
Vice President			
Secretary			
Treasurer			
Member			
Manager			

1f. If your organization is a partnership, please complete the following table:
Provide information for each partner who owns 20% or more of the firm.

Position	Name	Years with Co.	% Ownership
President			
Vice President			
Secretary			
Treasurer			

- 1g.** If your organization is a sole proprietorship, please complete the following table:
Provide information for each member of the proprietorship.

Owner	Years of Owner

- 1h.** If your organization is a joint venture, please complete the following table:
Provide information for each member of the joint venture.

Joint Venture Member #1

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

Joint Venture Member #2

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

Joint Venture Member #3

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

- 1i. For each person listed in table 1e, 1f, 1g, or 1h identify every construction company that the person has been employed with at any time during the last five (5) years:

Name	Company	Position with Company	Date of Employment with Company

- 1j.** Provide the name, title, and license number, credential, or registration (if applicable) of each individual who is proposed as key personnel of the General Contractor Member to be assigned to manage the design or construction of the Project. Identify, by reference project number of the projects listed in Appendix A Section A-3, previous experience in which the individual was assigned similar responsibilities. For each individual listed, attach resumes which demonstrate evidence that the proposed key personnel have sufficient experience and training to competently manage and complete the design and construction of the Project described in this RFQ.

Name	Title or Position with Company	License Number, Credential, or Registration	Reference Project Experience

- 1k.** Provide information on the revocation or suspension of any license, credential, or registration of any owner, officer, partner, member, manager or other key personnel listed in Questions **1i** or **1j** above.

Year:		Name:	
Explanation:			

Year:		Name:	
Explanation:			

Year:		Name:	
Explanation:			

2. Has there been any change in ownership of the General Contractor Member at any time during the last three years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

☐ Yes ☐ No

If "yes," explain:

3. Is the General Contractor Member a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

4. Are any of the General Contract Member's corporate officers, partners, members, managers, or owners connected to any other construction companies?

NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

5. State the General Contractor Member's gross revenues for each of the last three (3) years:

2007: _____ 2008: _____ 2009: _____

6. List all State of Florida construction license numbers, classifications and expiration dates of the Florida contractor licenses held by the General Contractor Member:

Contractor License	Classification	Expiration Date

7. If any of the General Contractor Member's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individuals(s) listed on the State of Florida's records who meet(s) the experience and examination requirements for each license.

Contractor License Number	Name of Qualifying Individual

8. Has the General Contractor Member changed names or license number in the past five (5) years?

☐ Yes ☐ No

If "yes," explain:

9. Has any owner, partner or (for corporations) officer, or member or manager, of the General Contractor Member operated a construction company under any other name in the last five (5) years.

☐ Yes ☐ No

If "yes," explain:

- 10.** In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the General Contractor Member?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

- 11.** Has the General Contractor Member been required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which the Contractor worked at any time during the last three (3) years?

☐ Yes ☐ No

If "yes," state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

Year:		Rate:	
Explanation:			

Year:		Rate:	
Explanation:			

- 12.** During the last five (5) years, has the General Contractor Member ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?

☐ Yes ☐ No

If yes, indicate the date when your firm was denied coverage and the name of the company or companies that denied coverage; and the period during which you had no surety bond in place.

Date:		Surety:		Duration Period:	
Explanation:					

Date:		Surety:		Duration Period:	
Explanation:					

- 13.** Bonding capacity: Provide documentation from your surety identifying the following:

Bonding Co./Surety:		Surety Agent:	
Agent Address:		Telephone #:	

14. List all other sureties (name and full address) that have written bonds for your firm during the last five (5) years, including the dates during which each wrote the bonds:

Date	Surety	Address

15. Has any serious or willful violation of the Federal Occupational Safety and Health Act or applicable Florida law settled against the General Contractor Member of the Design-Build-Operate-Finance entity?

NOTE: If you have filed an appeal of a citation and the State for Federal Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

NOTE: The following questions (16a through 16f) refer to information concerning the General Contractor Member's workers' compensation experience history and worker safety program.

16a. Does the General Contractor Member have current workers' compensation insurance policies as required by Florida Law or is legally self-insured.

☐

Yes

☐

No

☐
☐

16b. Does the General Contractor Member require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

Yes

No

If "yes," identify how often the meetings are required.

Weekly

☐

Bi-Weekly

☐

Monthly

☐

Less Frequent

☐

16c. Has the General Contractor Member been issued a modification rate under Florida's workers' compensation insurance for each of the past three (3) premium years?

☐

Yes

☐

No

Provide modification rates for each of the last three (3) years. If your modification rate for any of these three (3) years is or was 1.00 or higher, provide explanation.

Year:		Rate:	
Explanation:			
Year:		Rate:	

Explanation:

Year:		Rate:	
Explanation:			

16d. What is the General Contractor Member's average total recordable injury/illness rate and average lost work rate for the most recent three (3) year period?

Period:	
Average Injury/Illness Rate:	
Average Lost Work Rate:	

16e. Is the General Contractor Member party to an alternative dispute resolution system?

☐ Yes ☐ No

If "yes," explain:

16f. Within the last five **(5)** years has there ever been a period when the General Contractor Member firm had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If "yes," explain the reason for the absence of workers' compensation insurance.

Year:		Period:	
Explanation:			

Year:		Period:	
--------------	--	----------------	--

Explanation:

17. Provide information concerning any debarment, disqualification, or removal of the General Contractor Member from a federal, state, or local government public works project.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

18. Provide information concerning any instance in which the General Contractor Member, its owners, partners, officers, members, managers, or managing employees submitted a bid or Design-Build-Operate-Finance proposal on a public works project and were found to be nonresponsive, or were found by the awarding body not be a responsible bidder.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

- 19.** Provide information concerning any instance in which the General Contractor Member, or its owners, partners, officers, members, managers, or managing employees, defaulted on a construction contract.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

- 20.** Has any applicable governmental agency cited and assessed penalties against the General Contractor Member or the owner of a project on which the General Contractor Member was the prime contractor or the DBOF Entity, in the past five (5) years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If "yes," describe each citation.

Explanation:

Explanation:

- 21.** Provide information concerning any violations of the Contractors' State License Laws, excluding alleged violations of federal or state law, including the payment of wages, benefits, or personal income tax withholding, or of Federal Insurance Contributions Act (FICA; 26 U.S.C. Sec. 3101 et seq.) withholding requirements settled against the General Contractor Member of the Design-Build-Operate-Finance entity.

Year:		Violation:	
Explanation:			

Year:		Violation:	
Explanation:			

- 22.** At the time of submitting this pre-qualification form, is the General Contractor Member ineligible to bid on or be awarded a public works contract, or perform as a sub-contractor on a public works contract pursuant to Florida Law?

☐ Yes ☐ No

If "yes," explain:

- 23.** At any time during the last five (5) years, has the General Contractor Member, or any of its owners or officers, been convicted of a crime involving the awarding of a

contract of a government construction project, or the bidding or performance of a government contract?

☐ Yes ☐ No

If "yes," explain:

- 24.** Has the General Contractor or any of its owners, officers, partners, members or managers ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

If "yes," explain:

- 25.** Has the General Contractor or any of its owners, officers, partners, members or managers ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," explain:

- 26.** Has the General Contractor or any of its owners, officers, partners, members or managers ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," explain:

- 27.** Provide information concerning any bankruptcy or receivership of the General Contractor Member of the Design-Build-Operate-Finance entity, including information concerning any work completed by a surety.

Year:		Period:	
Explanation:			

NOTE: The following question refers only to disputes between the Contractor and the owner of a project. You need not include information about disputes between the Contractor and a supplier, another contractor, or sub-contractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner.

- 28.** Provide information concerning all adverse claims, disputes, or lawsuits which have been filed in court or arbitration or have been settled between the owner of a public works project and the General Contractor Member of the Design-Build-Operate-Finance entity during the last five (5) years, in which the claim, settlement, or judgment exceeds fifty thousand dollars (\$50,000). Information shall also be provided concerning any work completed by a surety during this period.

Project:		Date:	
Owner:		Court:	
Nature:			
Description:			

Project:		Date:	
Owner:		Court:	
Nature:			
Description:			

29. At any time in the last five (5) years has the General Contractor Member been assessed and paid liquidated damages of more than \$50,000 after completion of a project under a construction contract with either a public or private owner?

☐ Yes ☐ No

If yes, identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		Address	
Completed:		Amount:	
Other:			

Owner:		Address	
Completed:		Amount:	
Other:			

A-2.2. Information About the Engineer of Record Member of the Design-Build-Operate-Finance Entity

1a. Name of company:

1b. Date of company formation or incorporation:

1c. State of formation or incorporation:

1d. How many persons does your organization currently employ:

1e. If your organization is a corporation or limited liability company, please complete the following table:

Provide information for each officer, member and manager of the corporation, company or individual(s) with 20% or more of the corporate stock or membership interest.

Position	Name	Years with Co.	% Ownership
President			
Vice President			
Secretary			
Treasurer			
Member			
Manager			

1f. If your organization is a partnership, please complete the following table:

Provide information for each partner who owns 20% or more of the firm.

Position	Name	Years with Co.	% Ownership
President			
Vice President			
Secretary			
Treasurer			

- 1g.** If your organization is a sole proprietorship, please complete the following table:
Provide information for each member of the proprietorship.

Owner	Years of Owner

- 1h.** If your organization is a joint venture, please complete the following table:
Provide information for each member of the joint venture.

Joint Venture Member #1

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

Joint Venture Member #2

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

Joint Venture Member #3

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

- 1i. For each person listed in table 1e, 1f, 1g, or 1h identify every construction company or engineering firm that the person has been employed with at any time during the last five (5) years:

Name	Company	Position with Company	Date of Employment with Company

- 1j.** Provide the name, title, and license number, credential, or registration (if applicable) of each individual who is proposed as key personnel of the Engineer Member to be assigned to manage the design or construction of the Project. Identify, by reference project number of the projects listed in Appendix A Section A-3, previous experience in which the individual was assigned similar responsibilities. For each individual listed, attach resumes which demonstrate evidence that the proposed key personnel have sufficient experience and training to competently manage and complete the design and construction of the Project described in this RFQ.

Name	Title or Position with Company	License Number, Credential, or Registration	Reference Project Experience

- 1k.** Provide information on the revocation or suspension of any license, credential, or registration of any owner, officer, partner, member, manager or other key personnel listed in Questions **1i** or **1j** above.

Year:		Name:	
Explanation:			

Year:		Name:	
Explanation:			

Year:		Name:	
Explanation:			

2. Has there been any change in ownership of the Engineer Member at any time during the last three (3) years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

☐ Yes ☐ No

If "yes," explain:

3. Is the Engineer Member a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, officer, member or manager of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

4. Are any of the Engineer Member's corporate officers, partners, owners, members or managers connected to any other construction companies?

NOTE: Include information about other firms if an owner, partner, officer, member or manager of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

5. Has the Engineer Member changed names or license number in the past five (5) years?

☐

Yes

☐

No

If "yes," explain:

6. Has any owner, partner or (for corporations) officer, member or manager of the Engineer Member operated an engineering firm under any other name in the last five (5) years.

☐

Yes

☐

No

If "yes," explain:

7. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Engineer Member?

☐

Yes

☐

No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	

8. Has any serious or willful violation of the Federal Occupational Safety and Health Act or applicable Florida law, settled against the Engineer Member of the Design-Build-Operate-Finance entity?

NOTE: If you have filed an appeal of a citation and the State for Federal Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

NOTE: The following questions (9a through 9b) refer to information concerning the General Contractor Member's workers' compensation experience history and worker safety program.

9a. Does the Engineer Member have current workers' compensation insurance policies as required by Florida Law or is legally self-insured.

☐ Yes ☐ No

9b. Within the last five **(5)** years has there ever been a period when the Engineer Member firm had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If "yes," explain the reason for the absence of workers' compensation insurance.

Year:		Period:	
Explanation:			

Year:		Period:	
Explanation:			

10. Provide information concerning any debarment, disqualification, or removal of the Engineer Member from a federal, state, or local government public works project.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

11. Provide information concerning any instance in which the Engineer Member, its owners, partners, officers, members, managers or managing employees submitted a bid or Design-Build-Operate-Finance proposal on a public works project and were found to be nonresponsive, or were found by the awarding body not be a responsible bidder.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

- 12.** Provide information concerning any instance in which the Engineer Member, or its owners, partners, officers, members, managers or managing employees, defaulted on a construction contract, professional services contract, or Design-Build-Operate-Finance contract.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

13. Provide information concerning any bankruptcy or receivership of the Engineer Member of the Design-Build-Operate-Finance entity, including information concerning any work completed by a surety.

Year:		Period:	
Explanation:			

NOTE: The following question refers only to disputes between the Engineer and the owner of a project. You need not include information about disputes between the Contractor and a supplier, another consultant, contractor, or sub-contractor. You need not include information about “pass-through” disputes in which the actual dispute is between another company and a project owner.

14. Provide information concerning all adverse claims, disputes, or lawsuits which have been filed in court or arbitration or have been settled between the owner of a public works project and the Engineer Member of the Design-Build-Operate-Finance entity during the last five (5) years, in which the claim, settlement, or judgment exceeds fifty thousand dollars (\$50,000). Information shall also be provided concerning any work completed by a surety during this period.

Project:		Date:	
Owner:		Court:	
Nature:			
Description:			

Project:		Date:	
Owner:		Court:	

Nature:

Description:

- 15.** At any time during the last five (5) years, has the Engineer Member, or any of its owners, partners, officers, members or managers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

☐ Yes ☐ No

If "yes," explain:

- 16.** Has the Engineer Member or any of its owners, partners, officers, members or managers, ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

If "yes," explain:

- 17.** Has the Engineer Member or any of its owners, partners, officers, members or managers ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," explain:

- 18.** Has the Engineer Member or any of its owners, officers, partners, members or managers ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," explain:

NOTE: Complete the following questions in Section A-2.3 for each Sub-Contractor Member of the Design-Build-Operate-Finance Entity listed in Section A-1 above.

A-2.3. Information About the Sub-Contractor Members of the Design-Build-Operate-Finance Entity

1a. Name of company:

1b. Date of company formation or incorporation:

1c. State of formation or incorporation: _____

1d. How many persons does your organization currently employ:

1e. Complete the following table. Identify the type of company organization (e.g. corporation, limited liability company, partnership, sole proprietor, etc.) and provide information for each officer, partner, member, manager or individual(s) with 20% or more ownership.

Position	Name	Years with Co.	% Ownership

1f. For each person listed in the above table 1e identify every construction company that the person has been employed with at any time during the last five (5) years:

Name	Company	Position with Company	Date of Employment with Company

Name	Title or Position with Company	License Number, Credential, or Registration	Reference Project Experience

1h. Provide information on the revocation or suspension of any license, credential, or registration of any owner, officer, partner, member, manager or other key personnel listed in Questions **1f** or **1g** above.

Year:		Name:	
Explanation:			

Year:		Name:	
Explanation:			

Year:		Name:	
Explanation:			

2. Has there been any change in ownership of the Sub-Contractor Member at any time during the last three (3) years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

☐ Yes ☐ No

If "yes," explain:

3. Is the Sub-Contractor Member a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

4. Are any of the Sub-Contractor Member's corporate owners, officers, partners, members, or managers connected to any other construction companies?

NOTE: Include information about other firms if an owner, partner, officer, member or manager of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

5. State the Sub-Contractor Member's gross revenues for each of the last three (3) years:

2007: _____ 2008: _____ 2009: _____

6. List all State of Florida construction license numbers, classifications and expiration dates of the Florida contractor licenses held by the Sub-Contractor Member:

Contractor License	Classification	Expiration Date

7. If any of the Sub-Contractor Member's license(s) are held in the name of a corporation, company or partnership, list below the names of the qualifying individuals(s) listed on State records who meet(s) the experience and examination requirements for each license.

Contractor License Number	Name of Qualifying Individual

8. Has the Sub-Contractor Member changed names or license number in the past five (5) years?

☐ Yes ☐ No

If "yes," explain:

9. Has any owner, partner or (for corporations) officer, member or manager of the Sub-Contractor Member operated a construction company under any other name in the last five (5) years.

☐ Yes ☐ No

If "yes," explain:

10. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Sub-Contractor Member?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

11. Has the Sub-Contractor Member been required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which the Contractor worked at any time during the last three (3) years?

☐ Yes ☐ No

If "yes," state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

Year:		Rate:	
Explanation:			

Year:		Rate:	
--------------	--	--------------	--

Explanation:

12. During the last five (5) years, has the Sub-Contractor Member ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?

☐ Yes ☐ No

If yes, indicate the date when your firm was denied coverage and the name of the company or companies that denied coverage; and the period during which you had no surety bond in place.

Date:		Surety:		Duration Period:	
Explanation:					

Date:		Surety:		Duration Period:	
Explanation:					

13. Bonding capacity: Provide documentation from your surety identifying the following:

Bonding Co./Surety:		Surety Agent:	
Agent Address:		Telephone #:	

- 14.** List all other sureties (name and full address) that have written bonds for your firm during the last five (5) years, including the dates during which each wrote the bonds:

Date	Surety	Address

- 15.** Has any serious or willful violation of the Federal Occupational Safety and Health Act or applicable Florida law, settled against the Sub-Contractor Member of the Design-Build-Operate-Finance entity?

NOTE: If you have filed an appeal of a citation and the State for Federal Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

NOTE: The following questions (16a through 16d) refer to information concerning the Sub-Contractor Member's workers' compensation experience history and worker safety program.

16a. Does the Sub-Contractor Member have current workers' compensation insurance policies as required by Florida Law or is legally self-insured.

☐ Yes ☐ No

16b. Does the Sub-Contractor Member require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

☐ Yes ☐ No

If "yes," identify how often the meetings are required.

Weekly

☐

Bi-Weekly

☐

Monthly

☐

Less Frequent

☐

16c. Has the Sub-Contractor Member been issued a modification rate under Florida's workers' compensation insurance for each of the past three (3) premium years?

☐ Yes ☐ No

Provide modification rate for each of the last three (3) years. If your modification rate for any of these three (3) years is or was 1.00 or higher, provide explanation.

NOTE: Score based on highest modification rate in any single Year

Year:		Rate:	
Explanation:			

Year:		Rate:	
Explanation:			

Year:		Rate:	
Explanation:			

16d. Within the last five **(5)** years has there ever been a period when the Sub-Contractor Member firm had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If "yes," explain the reason for the absence of workers' compensation insurance.

Year:		Period:	
Explanation:			

Year:		Period:	
Explanation:			

17. Provide information concerning any debarment, disqualification, or removal of the Sub-Contractor Member from a federal, state, or local government public works project.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

18. Provide information concerning any instance in which the Sub-Contractor Member, its owners, officers, partners, members, managers or managing employees submitted a bid or Design-Build-Operate-Finance proposal on a public works project and were found to be nonresponsive, or were found by the awarding body not be a responsible bidder.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

19. Provide information concerning any instance in which the Sub-Contractor Member, or its owners, officers, partners, members, managers or managing employees, defaulted on a construction contract.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

20. Has applicable governmental agency cited and assessed penalties against the Sub-Contractor Member or the owner of a project on which the Sub-Contractor Member was the prime contractor or the DBOF Entity, in the past five (5) years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If "yes," describe each citation.

Explanation:

Explanation:

21. Provide information concerning any violations of the Contractors' State License Law, excluding alleged violations of federal or state law, including the payment of wages, benefits, or personal income tax withholding, or of Federal Insurance Contributions Act (FICA; 26 U.S.C. Sec. 3101 et seq.) withholding requirements settled against the Sub-Contractor Member of the Design-Build-Operate-Finance entity.

Year:		Violation:	
Explanation:			

Year:		Violation:	
Explanation:			

22. At the time of submitting this pre-qualification form, is the Sub-Contractor Member ineligible to bid on or be awarded a public works contract, or perform as a sub-contractor on a public works contract?

☐ Yes ☐ No

If "yes," explain:

23. At any time during the last five (5) years, has the Sub-Contractor Member, or any of its owners, officers, partners, members or managers, been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

☐ Yes ☐ No

If "yes," explain:

24. Has the Sub-Contractor Member or any of its owners, officers, partners, members or managers ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

If "yes," explain:

25. Has the Sub-Contractor Member or any of its owners, officers, partners, members or managers ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," explain:

26. Has the Sub-Contractor Member or any of its owners, officers, partners, members or managers ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," explain:

27. Provide information concerning any bankruptcy or receivership of the Sub-Contractor Member of the Design-Build-Operate-Finance entity, including information concerning any work completed by a surety.

Year:		Period:	
Explanation:			

NOTE: The following question refers only to disputes between the Sub-Contractor and the owner of a project. You need not include information about disputes between the Contractor and a supplier, another contractor, or sub-contractor. You need not include information about "pass-through" disputes in which the actual dispute is between another contractor or sub-contractor and a project owner.

28. Provide information concerning all adverse claims, disputes, or lawsuits which have been filed in court or arbitration or have been settled between the owner of a public works project and the Sub-Contractor Member of the Design-Build-Operate-Finance entity during the last five (5) years, in which the claim, settlement, or judgment exceeds fifty thousand dollars (\$50,000). Information shall also be provided concerning any work completed by a surety during this period.

Project:		Date:	
Owner:		Court:	

Nature:
Description:

Project:		Date:	
Owner:		Court:	
Nature:			
Description:			

29. At any time in the last five (5) years has the General Contractor Member been assessed and paid liquidated damages of more than \$50,000 after completion of a project under a construction contract with either a public or private owner?

☐ Yes ☐ No

If yes, identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		Address:	
Completed:		Amount:	
Other:			

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Owner:		Address	
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Completed:		Amount:	
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Other:	
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NOTE: Complete the following questions in Section A-2.4 for each Engineering or Professional Sub-Consultant Member of the Design-Build-Operate-Finance Entity listed in Section A-1 above.

A-2.4. Information About the Sub-Consultant Members of the Design-Build-Operate-Finance Entity

1a. Name of company: _____

1b. Date of company formation or incorporation: _____

1c. State of formation or incorporation: _____

1d. How many persons does your organization currently employ: _____

1e. Complete the following table. Identify the type of company organization (e.g. corporation, limited liability company, partnership, sole proprietor, etc.) and provide information for each officer, partner, member, manager or individual(s) with 20% or more ownership.

Position	Name	Years with Co.	% Ownership

1f. For each person listed above in table 1e identify every construction company or engineering firm that the person has been employed with at any time during the last five (5) years:

Name	Company	Position with Company	Date of Employment with Company

Name	Title or Position with Company	License Number, Credential, or Registration	Reference Project Experience

1h. Provide information on the revocation or suspension of any license, credential, or registration of any owner, officer, partner, member, manager or other key personnel listed in Questions **1f** or **1g** above.

Year:		Name:	
Explanation:			

Year:		Name:	
Explanation:			

Year:		Name:	
Explanation:			

2. Has there been any change in ownership of the Sub-Consultant Member at any time during the last three (3) years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

☐ Yes ☐ No

If "yes," explain:

3. Is the Sub-Consultant Member a subsidiary, parent, holding company or affiliate of another engineering firm?

NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, officer, member or manager of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

4. Are any of the Sub-Consultant Member's corporate officers, partners, owners, members or managers connected to any other engineering firms?

NOTE: Include information about other firms if an owner, partner, officer, member or manager of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

5. Has the Sub-Consultant Member firm changed names or license number in the past five (5) years?

☐ Yes ☐ No

If "yes," explain:

6. Has any owner, partner or (for corporations) officer, member or manager of the Sub-Consultant Member operated an engineering firm under any other name in the last five (5) years?

☐ Yes ☐ No

If "yes," explain:

7. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Sub-Consultant Member?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	

8. Has any serious or willful violation of the Federal Occupational Safety and Health Act or applicable Florida law, settled against the Sub-Consultant Member of the Design-Build-Operate-Finance entity?

NOTE: If you have filed an appeal of a citation and the State for Federal Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

NOTE: The following questions (9a through 9b) refer to information concerning the Sub-Consultant Member's workers' compensation experience history and worker safety program.

9a. Does the Sub-Consultant Member have current workers' compensation insurance policies as required by Florida Law or is legally self-insured.

☐ Yes ☐ No

9b. Within the last five **(5)** years has there ever been a period when the Sub-Consultant Member firm had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If "yes," explain the reason for the absence of workers' compensation insurance.

Year:		Period:	
Explanation:			

Year:		Period:	
Explanation:			

10. Provide information concerning any debarment, disqualification, or removal of the Sub-Consultant Member from a federal, state, or local government public works project.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

11. Provide information concerning any instance in which the Sub-Consultant Member, its owners, officers, partners, members, managers or managing employees submitted a bid or Design-Build-Operate-Finance proposal on a public works project and were found to be nonresponsive, or were found by the awarding body not be a responsible bidder.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

- 12.** Provide information concerning any instance in which the Sub-Consultant Member, or its owners, officers, partners, members, managers or managing employees, defaulted on a construction contract, professional services contract, or Design-Build-Operate-Finance contract.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

- 13.** Provide information concerning any bankruptcy or receivership of the Sub-Consultant Member of the Design-Build-Operate-Finance entity, including information concerning any work completed by a surety.

Year:		Period:	
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Explanation:

NOTE: The following question refers only to disputes between the Sub-Consultant and the owner of a project. You need not include information about disputes between the Sub-Consultant and a supplier, another consultant, contractor, or sub-contractor. You need not include information about “pass-through” disputes in which the actual dispute is between another company and a project owner.

- 14.** Provide information concerning all adverse claims, disputes, or lawsuits which have been filed in court or arbitration or have been settled between the owner of a public works project and the Sub-Consultant Member of the Design-Build-Operate-Finance entity during the last five years, in which the claim, settlement, or judgment exceeds fifty thousand dollars (\$50,000). Information shall also be provided concerning any work completed by a surety during this period.

Project:		Date:	
Owner:		Court:	
Nature:			
Description:			

Project:		Date:	
Owner:		Court:	
Nature:			
Description:			

15. At any time during the last five (5) years, has the Sub-Consultant Member, or any of its owners, officers, partners, members or managers been convicted of a crime involving the awarding of a contract of a government construction project, or performance of a government contract?

☐ Yes ☐ No

If "yes," explain:

16. Has the Sub-Consultant Member or any of its owners, officers, partners, members or managers ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

If "yes," explain:

17. Has the Sub-Consultant Member or any of its owners, officers, partners, members or managers ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," explain:

18. Has the Sub-Consultant Member or any of its owners, officers, partners, members or managers ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐

Yes

☐

No

If "yes," explain:

NOTE: Complete the following questions in Section A-2.5 for each Engineering or Professional Operations Member of the Design-Build-Operate-Finance Entity listed in Section A-1 above.

A-2.5. Information About the Operations Member of the Design-Build-Operate-Finance Entity

1a. Name of company:

1b. Date of company formation or incorporation:

1c. State of formation or incorporation: _____

1d. How many persons does your organization currently employ:

1e. Complete the following table. Identify the type of company organization (e.g. corporation, limited liability company, partnership, sole proprietor, etc.) and provide information for each officer, partner, member, manager or individual(s) with 20% or more ownership.

Position	Name	Years with Co.	% Ownership

1f. For each person listed above in table 1e identify every construction company or engineering firm that the person has been employed with at any time during the last five (5) years:

Name	Company	Position with Company	Date of Employment with Company

Name	Title or Position with Company	License Number, Credential, or Registration	Reference Project Experience

1h. Provide information on the revocation or suspension of any license, credential, or registration of any owner, officer, partner, member, manager or other key personnel listed in Questions **1f** or **1g** above.

Year:		Name:	
Explanation:			

Year:		Name:	
Explanation:			

Year:		Name:	
Explanation:			

2. Has there been any change in ownership of the Operations Member at any time during the last three (3) years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

☐ Yes ☐ No

If "yes," explain:

3. Is the Operations Member a subsidiary, parent, holding company or affiliate of another engineering firm?

NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, officer, member or manager of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

4. Are any of the Operations Member's corporate officers, partners, owners, members or managers connected to any other engineering firms?

NOTE: Include information about other firms if an owner, partner, officer, member or manager of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

5. Has the Operations Member firm changed names or license number in the past five (5) years?

☐ Yes ☐ No

If "yes," explain:

6. Has any owner, partner or (for corporations) officer, member or manager of the Operations Member operated an engineering firm under any other name in the last five (5) years?

☐ Yes ☐ No

If "yes," explain:

7. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Operations Member?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	

8. Has any serious or willful violation of the Federal Occupational Safety and Health Act or applicable Florida law, settled against the Operations Member of the Design-Build-Operate-Finance entity?

NOTE: If you have filed an appeal of a citation and the State for Federal Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

NOTE: The following questions (9a through 9b) refer to information concerning the Operations Member's workers' compensation experience history and worker safety program.

9a. Does the Operations Member have current workers' compensation insurance policies as required by Florida Law or is legally self-insured.

☐ Yes ☐ No

9b. Within the last five **(5)** years has there ever been a period when the Operations Member firm had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If "yes," explain the reason for the absence of workers' compensation insurance.

Year:		Period:	
Explanation:			

Year:		Period:	
Explanation:			

10. Provide information concerning any debarment, disqualification, or removal of the Operations Member from a federal, state, or local government public works project.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
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Basis:

11. Provide information concerning any instance in which the Operations Member, its owners, officers, partners, members, managers or managing employees submitted a bid or Design-Build-Operate-Finance proposal on a public works project and were found to be nonresponsive, or were found by the awarding body not be a responsible bidder.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

12. Provide information concerning any instance in which the Operations Member, or its owners, officers, partners, members, managers or managing employees, defaulted on a construction contract, professional services contract, or Design-Build-Operate-Finance contract.

Year:		Owner:		Project:	
Basis:					

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Year:		Owner:		Project:	
Basis:					

13. Provide information concerning any bankruptcy or receivership of the Operations Member of the Design-Build-Operate-Finance entity, including information concerning any work completed by a surety.

Year:		Period:	
Explanation:			

NOTE: The following question refers only to disputes between the Operations and the owner of a project. You need not include information about disputes between the Operations and a supplier, another consultant, contractor, or sub-contractor. You need not include information about “pass-through” disputes in which the actual dispute is between another company and a project owner.

14. Provide information concerning all adverse claims, disputes, or lawsuits which have been filed in court or arbitration or have been settled between the owner of a public works project and the Operations Member of the Design-Build-Operate-Finance entity during the last five years, in which the claim, settlement, or judgment exceeds fifty thousand dollars (\$50,000). Information shall also be provided concerning any work completed by a surety during this period.

Project:		Date:	
Owner:		Court:	
Nature:			
Description:			

Project:		Date:	
Owner:		Court:	
Nature:			
Description:			

15. At any time during the last five (5) years, has the Operations Member, or any of its owners, officers, partners, members or managers been convicted of a crime involving the awarding of a contract of a government construction project, or performance of a government contract?

☐ Yes ☐ No

If "yes," explain:

16. Has the Operations Member or any of its owners, officers, partners, members or managers ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

If "yes," explain:

17. Has the Operations Member or any of its owners, officers, partners, members or managers ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," explain:

18. Has the Operations Member or any of its owners, officers, partners, members or managers ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," explain:

A-3. Reference Projects

DBOF Entity shall provide information about its and its members, six (6) most recently completed public works projects and the General Contractor Member's three (3) largest completed DBOF projects, D/B projects and DBO Projects within the last five (5) years. The DBOF projects may be public or private and located anywhere in the United States. Names and references must be **current** and **verifiable**.

Project Number _____:

Project Name: _____
Responsible Member: _____
Location: _____
Owner: _____
Owner Contact Name: _____
Owner Contact Name: _____
Owner Contact Phone: _____
Architect or Engineer: _____
Architect or Engineer Contact
Name: _____
Architect or Engineer Phone: _____
Construction Manager Name: _____
Construction Manager Phone: _____

Description of Project, Scope of Work Performed:

Total Value of Construction (including change
orders): _____
Original Scheduled Completion
Date: _____
Time Extensions Granted (number of
days): _____
Actual Date of
Completion: _____

A-4. Certification

Each member or organization of the DBOF Entity listed in Section A-1, Question 1, shall provide certification to the truth and accuracy of the information provided in Appendix A regarding the individual member or organization of the DBOF Entity. The Certification must be signed by the officer, partner, owner, member, manager or other principal of the firm who is authorized and responsible for providing their company's information in Appendix A.

Certification

I, the undersigned, on behalf of the Design-Build-Operate-Finance Entity, certify and declare that I have read all the foregoing answers to this Pre-Qualification Document and know their contents. The matters stated in the Questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of Florida, that the foregoing is correct.

(Name of Entity)

(Printed name)

(Signature)

(Dated)

A-5. Minimum Qualifications and Scoring Criteria

The Minimum Qualifications of the Design-Build-Operate-Finance Entity and each of its Members are determined by: 1) confirming the correct answers to the Essential Qualifications and 2) adding the point value of all of the answers to the Scored Qualifications. The tables in this section give the scoring criteria for the Essential Qualifications and Scored Qualifications parts. These tables are not to be completed by the DBOF Entity, but are for reference only. The Village Evaluation Committee will utilize these tables for determining the qualifications of each DBOF Entity. The Village reserves the right to modify or amend the Scoring Worksheets and criteria.

The Scoring Worksheets list each Minimum Qualification and the Scoring Criteria. The requirements and questions are abbreviated to capture the essence of the item. The abbreviation does not change the actual requirement or question as written in Section A-1: Information About the Design-Build-Operate-Finance Entity or Section A-2: Information About Each Member of the Design-Build-Operate-Finance Entity.

A-5.1. Essential Qualifications

A-5.1.1. Essential Qualifications for the Design-Build-Operate-Finance Entity

Question #	Requirement / Question	Yes	No	Score
1	Is DBOF Entity Guarantor's financial statement attached? <i>Yes = 0; No = 1</i>			
2	Has the DBOF Entity submitted a notarized surety statement to verify the capacity to obtain all required bonding and insurance? <i>Yes = 0; No = 1</i>			
3	Is the DBOF Entity a legal entity, or, if not, has it provided a partnership agreement? <i>Yes = 0; No = 1</i>			
4	Has the DBOF Entity been debarred, disqualified, or removed from a public project in the last five (5) years? <i>Yes = 1; No = 0</i>			
5	Has the DBOF Entity defaulted on a construction project in the last five (5) years? <i>Yes = 1; No = 0</i>			
6	Has the DBOF Entity submitted at least six (6) total reference projects for the DBOF Entity or its primary members? <i>Yes = 0; No = 1</i>			

7	Have all of the DBOF Entity's members signed a Certification (Appendix A, Section A-4)? Yes = 0; No = 1			
8	Has the DBOF Entity acknowledged all addenda to this RFQ? Yes = 0; No = 1			
9	Have all members of the DBOF Entity met the Minimum Qualifications? Yes = 0; No = 1			
Total Score for Design-Build-Operate-Finance Entity Essential Qualifications (Disqualified if score is greater than "0")				

A-5.1.2. Essential Qualifications for the General Contractor Member of the Design-Build-Operate-Finance Entity

Question #	Requirement / Question	Yes	No	Score
1	Does General Contractor have current Florida contractor's license? Yes = 0; No = 1			
2	Does General Contractor have liability insurance? Yes = 0; No = 1			
3	Does General Contractor have workers' compensation insurance? Yes = 0; No = 1			
4	Does General Contractor have an acceptable safety record as defined in Federal and Florida Law? Yes = 0; No = 1			
5	Has General Contractor license been revoked in the last five (5) years? Yes = 1; No = 0			
6	Has General Contractor defaulted on a construction project in the last five (5) years? Yes = 1; No = 0			
7	Is General Contractor ineligible to bid on public works projects? Yes = 1; No = 0			
8	Has General Contractor been debarred, disqualified, or removed from a public project in the last five (5) years? Yes = 1; No = 0			
Total Score for General Contractor Essential Qualifications (Disqualified if score is greater than "0")				

A-5.1.3. Essential Qualifications for the Engineer of Record Member of the Design-Build-Operate-Finance Entity

Question #	Requirement / Question	Yes	No	Score
1	Does Engineer have current Florida license? Yes = 0; No = 1			
2	Does Engineer have professional liability insurance? Yes = 0; No = 1			
3	Does Engineer have workers' compensation insurance? Yes = 0; No = 1			
4	Has Engineer license been revoked in last five (5) years? Yes = 1; No = 0			
5	Has Engineer defaulted on a construction project or contract in the last five (5) years? Yes = 1; No = 0			
6	Has Engineer been debarred, disqualified, or removed from a public project in the last five (5) years? Yes = 1; No = 0			
Total Score for Engineer of Record Essential Qualifications (Disqualified if score is greater than "0")				

A-5.1.4. Essential Qualifications for each Sub-Contractor Member of the Design-Build-Operate-Finance Entity (Note: Each Sub-Contractor Member will be scored for Essential Qualifications according to the following criteria.)

Question #	Requirement / Question	Yes	No	Score
1	Does Sub-Contractor have current Florida contractor's license? Yes = 0; No = 1			
2	Does Sub-Contractor have liability insurance? Yes = 0; No = 1			
3	Does Sub-Contractor have workers' compensation insurance? Yes = 0; No = 1			
4	Does Sub-Contractor have an acceptable safety record as defined in Federal and Florida Law? Yes = 0; No = 1			
5	Has Sub-Contractor license been revoked in the last five (5) years?			

	Yes = 1; No = 0			
6	Has Sub-Contractor defaulted on a construction project in the last five (5) years? Yes = 1; No = 0			
7	Is Sub-Contractor ineligible to bid on public works projects? Yes = 1; No = 0			
8	Has Sub-Contractor been debarred, disqualified, or removed from a public project in the last five (5) years? Yes = 1; No = 0			
Total Score for Sub-Contractor Essential Qualifications (Disqualified if score is greater than "0")				

A-5.1.5. Essential Qualifications for each Sub-Consultant Member of the Design- Build-Operate-Finance Entity (Note: Each Sub-Consultant Member will be

scored for Essential Qualifications according to the following criteria.)

Question #	Requirement / Question	Yes	No	Score
1	Does Sub-Consultant have current Florida license? Yes = 0; No = 1			
2	Does Sub-Consultant have professional liability insurance? Yes = 0; No = 1			
3	Does Sub-Consultant have workers' compensation insurance? Yes = 0; No = 1			
4	Has Sub-Consultant license been revoked in last five (5) years? Yes = 1; No = 0			
5	Has Sub-Consultant defaulted on a construction project or contract in the last five (5) years? Yes = 1; No = 0			
6	Has Sub-Consultant been debarred, disqualified, or removed from a public project in the last five (5) years? Yes = 1; No = 0			
Total Score for Sub-Consultant Essential Qualifications (Disqualified if score is greater than "0")				

A-5.1.6. Essential Qualifications for each Operations Member of the Design-Build-Operate-Finance Entity (Note: Each Operations Member will be scored for Essential Qualifications according to the following criteria.)

Question #	Requirement / Question	Yes	No	Score
1	Does Operations Member have current Florida contractor's license? <i>Yes = 0; No = 1</i>			
2	Does Operations Member have liability insurance? <i>Yes = 0; No = 1</i>			
3	Does Operations Member have workers' compensation insurance? <i>Yes = 0; No = 1</i>			
4	Does Operations Member have an acceptable safety record as defined in Federal and Florida Law? <i>Yes = 0; No = 1</i>			
5	Has Operations Member license been revoked in the last five (5) years? <i>Yes = 1; No = 0</i>			
6	Has Operations Member defaulted on a construction project in the last five (5) years? <i>Yes = 1; No = 0</i>			
7	Is Operations Member ineligible to bid on public works projects? <i>Yes = 1; No = 0</i>			
8	Has Operations Member been debarred, disqualified, or removed from a public project in the last five (5) years? <i>Yes = 1; No = 0</i>			
Total Score for Sub-Contractor Essential Qualifications (Disqualified if score is greater than "0")				

A-5.2. Scored Qualifications for Each Member of the Design-Build-Operate-Finance Entity

A-5.2.1. Scored Qualifications for the General Contractor Member of the Design-Build-Operate-Finance Entity

Question #	Requirement / Question	Yes	No	Instance /Total	Score
1	How many years General Contractor been in business in Florida? <i>3 yrs or less = 2 pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs or more = 5 pts</i>				
2	Is General Contractor currently in a bankruptcy? <i>Yes = 0 pts; No = 3 pts</i>				
3	Has General Contractor been in bankruptcy during the last five (5) years? <i>Yes = 0 pts; No = 3 pts</i>				
4	How many projects has General Contractor paid liquidated damages of \$50,000 in last five (5) years? <i>0 to 1 project = 5 pts; 2 projects = 3 pts; more than 2 = 0 pts</i>				
5	Has General Contractor been prevented from bidding on public works projects in last five (5) years? <i>Yes = subtract 5 pts; No = 5 pts</i>				
6	Has General Contractor been denied award of a public works projects in last five (5) years? <i>Yes = 0 pts; No = 5 pts</i>				
7	Has a claim over \$50,000 been files against General Contractor in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>				
8	Has General Contractor filed a claim of more than \$50,000 against owner in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>				
9	Has any insurance carrier refused to renew General Contractor policy? <i>5 pts for 0 or 1 instance; 3 pts for 2</i>				

	<i>instances; 0 pts for more than 2 instances</i>				
10	Has General Contractor paid more than 1% for a performance & payment bond? <i>5 pts for up to 1%; 3 pts for no higher than 1.10%; 0 pts for any other answer</i>				
11	Has a surety ever denied General Contractor bond coverage in last five (5) years? <i>Yes = 0; No = 5</i>				
12	Has Federal OSHA or applicable Florida law cited General Contractor for serious, willful or repeated safety violations in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
13	Has Federal OSHA or applicable Florida law cited General Contractor in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
14	Has EPA, FDEP or similar agency assessed penalties against General Contractor? <i>5 pts for 0 to 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
15	Does General Contractor require weekly safety meetings? <i>Yes, weekly = 3; any other answer = 0</i>				
16	What is the highest modification rate issued to the General Contractor in last three (3) years? <i>5 pts for 0.95 or less; 3 pts if between 0.96 and 1.00; 0 pts for any other answer</i>				
17	Has General Contractor been without workmen's compensation insurance in last five (5) years? <i>5 pts for 0 to 1 instance; 0 pts for any other answer</i>				
18	Has General Contractor failed to comply with state prevailing wage laws? <i>5 pts for 0 to 2 instances; 3 pts for 3 instances;</i>				

	<i>0 pts for any other answer</i>				
19	Has General Contractor failed to comply with federal prevailing wage laws? <i>5 pts for 0 to 2 instances; 3 pts for 3 instances; 0 pts for any other answer</i>				
20	Has General Contractor been convicted of a crime related to public contract laws? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
21	Has General Contractor been found guilty of a crime against a public agency? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
22	Has General Contractor been convicted of a crime related to construction laws? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
23	Has General Contractor been convicted of a crime related to fraud, theft, or dishonesty? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
Total Score for General Contractor Scored Qualifications Minimum Qualifying Score is 95 (119 possible max)					

A-5.2.2. Scored Qualifications for the Engineer of Record Member of the Design-Build-Operate-Finance Entity

Question #	Requirement / Question	Yes	No	Instance /Total	Score
1	How many years has Engineer been in business in Florida? <i>3 yrs or less = 2 pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs or more = 5 pts</i>				
2	Is Engineer a debtor in a bankruptcy case? <i>Yes = 0; No = 3</i>				
3	Was Engineer in bankruptcy in last five (5) years? <i>Yes = 0; No = 3</i>				
4	Has Federal OSHA or applicable Florida law cited Engineer for serious, willful or repeated safety violations in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2</i>				

	<i>instances; 0 pts for any other answer</i>				
5	Has Federal OSHA or applicable Florida law cited Engineer in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
6	Has a claim in excess of \$50,000 been filed against Engineer? <i>5 pts for 0 to 2 instances; 3 pts for 3 instances; 0 pts for any other answer</i>				
7	Has Engineer filed a claim in excess of \$50,000 against any owner? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
8	Has any insurance carrier refused to renew a policy for the Engineer? <i>5 pts for 0 to 2 instances; 3 pts for 3 instances; 0 pts for any other answer</i>				
9	Has Engineer been convicted of a crime related to public contract laws? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
10	Has Engineer been found guilty of a crime against a public agency? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
11	Has Engineer been convicted of a crime related to construction laws? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
12	Has Engineer been convicted of a crime related to fraud, theft, or dishonesty? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
Total Score for Engineer of Record Scored Qualifications Minimum Qualifying Score is 45 (56 possible max)					

A-5.2.3. Scored Qualifications for the Sub-Contractor Member of the Design-Build- Operate-Finance Entity

Question #	Requirement / Question	Yes	No	Instance /Total	Score
1	How many years Sub-Contractor been in business in Florida? <i>3 yrs or less = 2 pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs or more = 5 pts</i>				
2	Is Sub-Contractor currently in a bankruptcy? <i>Yes = 0 pts; No = 3 pts</i>				
3	Has Sub-Contractor been in bankruptcy during the last five (5) years? <i>Yes = 0 pts; No = 3 pts</i>				
4	How many projects has Sub-Contractor paid liquidated damages of \$50,000 in last five (5) years? <i>0 to 1 project = 5 pts; 2 projects = 3 pts; more than 2 projects = 0 pts</i>				
5	Has Sub-Contractor been prevented from bidding on public works projects in last five (5) years? <i>Yes = subtract 5 pts; No = 5 pts</i>				
6	Has Sub-Contractor been denied award of a public works projects in last five (5) years? <i>Yes = 0; No = 5</i>				
7	Has Sub-Contractor been party to a claim of more than \$50,000 with an owner in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>				
8	Has any insurance carrier refused to renew Sub-Contractor policy? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>				
9	Has Federal OSHA or applicable Florida law cited Sub-Contractor for serious, willful or repeated safety violations in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances;</i>				

	<i>0 pts for any other answer</i>				
10	Does Sub-Contractor require weekly safety meetings? <i>Yes, weekly = 3; any other answer = 0</i>				
11	What is highest modification rate issued to Sub-Contractor in the last three (3) years? <i>5 pts for 0.95 or less; 3 pts if between 0.96 and 1.00; 0 pts for any other answer</i>				
12	Has Sub-Contractor been without workmen's compensation insurance in last five (5) years? <i>5 pts for 0 to 1 instance; 0 pts for any other answer</i>				
13	Has Sub-Contractor failed to comply with state prevailing wage laws? <i>5 pts for 0 to 2 instances; 3 pts for 3 instances; 0 pts for any other answer</i>				
14	Has Sub-Contractor failed to comply with federal prevailing wage laws? <i>5 pts for 0 to 2 instances; 3 pts for 3 instances; 0 pts for any other answer</i>				
15	Has Sub-Contractor been convicted of a crime related to public contract laws? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
16	Has Sub-Contractor been found guilty of a crime against a public agency? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
17	Has Sub-Contractor been convicted of a crime related to construction laws? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
18	Has Sub-Contractor been convicted of a crime related to fraud, theft, or dishonesty? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
Total Score for Sub-Contractor Scored Qualifications Minimum Qualifying Score is 71 (89 possible max)					

A-5.2.4. Scored Qualifications for the Sub-Consultant Member of the Design-Build-Operate-Finance Entity

Question #	Requirement / Question	Yes	No	Instance /Total	Score
1	How many years has Sub-Consultant been in business in Florida? <i>3 yrs or less = 2 pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs or more = 5 pts</i>				
2	Is Sub-Consultant a debtor in a bankruptcy case? <i>Yes = 0; No = 3</i>				
3	Was Sub-Consultant in bankruptcy in last five (5) years? <i>Yes = 0; No = 3</i>				
4	Has Federal OSHA or applicable Florida law cited Sub-Consultant for serious, willful or repeated safety violations in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
5	Has Sub-Consultant been party to a claim of more than \$50,000 with an owner in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
6	Has any insurance carrier refused to renew a policy for the Sub-Consultant? <i>5 pts for 0 to 2 instances; 3 pts for 3 instances; 0 pts for any other answer</i>				
7	Has Sub-Consultant been convicted of a crime related to public contract laws? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
8	Has Sub-Consultant been found guilty of a crime against a public agency? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
9	Has Sub-Consultant been convicted of a crime related to construction laws? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
10	Has Sub-Consultant been convicted of a				

	crime related to fraud, theft, or dishonesty? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
Total Score for Sub-Consultant Scored Qualifications Minimum Qualifying Score is 37 (46 possible max)					

A-5.2.5. Scored Qualifications for the Operations Member of the Design-Build-Operate-Finance Entity

Question #	Requirement / Question	Yes	No	Instance /Total	Score
1	How many years Operations Member been in business in Florida? <i>3 yrs or less = 2 pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs or more = 5 pts</i>				
2	Is Operations Member currently in a bankruptcy? <i>Yes = 0 pts; No = 3 pts</i>				
3	Has Operations Member been in bankruptcy during the last five (5) years? <i>Yes = 0 pts; No = 3 pts</i>				
4	How many projects has Operations Member paid liquidated damages of \$50,000 in last five (5) years? <i>0 to 1 project = 5 pts; 2 projects = 3 pts; more than 2 = 0 pts</i>				
5	Has Operations Member been prevented from bidding on public works projects in last five (5) years? <i>Yes = subtract 5 pts; No = 5 pts</i>				
6	Has Operations Member been denied award of a public works projects in last five (5) years? <i>Yes = 0 pts; No = 5 pts</i>				
7	Has a claim over \$50,000 been files against Operations Member in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>				
8	Has Operations Member filed a claim of more than \$50,000 against owner in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>				
9	Has any insurance carrier refused to renew				

	Operations Member policy? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>				
10	Has Operations Member paid more than 1% for a performance & payment bond? <i>5 pts for up to 1%; 3 pts for no higher than 1.10%; 0 pts for any other answer</i>				
11	Has a surety ever denied Operations Member bond coverage in last five (5) years? <i>Yes = 0; No = 5</i>				
12	Has Federal OSHA or applicable Florida law cited Operations Member for serious, willful or repeated safety violations in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
13	Has Federal OSHA or applicable Florida law cited Operations Member in last five (5) years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
14	Has , FDEP or similar agency assessed penalties against Operations Member? <i>5 pts for 0 to 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
15	Does Operations Member require weekly safety meetings? <i>Yes, weekly = 3; any other answer = 0</i>				
16	What is the highest modification rate issued to the Operations Member in last three (3) years? <i>5 pts for 0.95 or less; 3 pts if between 0.96 and 1.00; 0 pts for any other answer</i>				
17	Has Operations Member been without workmen's compensation insurance in last five (5) years? <i>5 pts for 0 to 1 instance; 0 pts for any other answer</i>				
18	Has Operations Member failed to comply with state prevailing wage laws? <i>5 pts for 0 to 2 instances; 3 pts for 3</i>				

	<i>instances; 0 pts for any other answer</i>				
19	Has Operations Member failed to comply with federal prevailing wage laws? <i>5 pts for 0 to 2 instances; 3 pts for 3 instances; 0 pts for any other answer</i>				
20	Has Operations Member been convicted of a crime related to public contract laws? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
21	Has Operations Member been found guilty of a crime against a public agency? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
22	Has Operations Member been convicted of a crime related to construction laws? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
23	Has Operations Member been convicted of a crime related to fraud, theft, or dishonesty? <i>5 pts for 0 instances; 3 pts for 1 instance; 0 pts for any other answer</i>				
Total Score for Operations Member Scored Qualifications Minimum Qualifying Score is 95 (119 possible max)					

A-5.3. Scoring Summary of Minimum Qualifications

Essential Qualifications	Section Score
Essential Qualifications for the DBOF Entity	
Essential Qualifications for the General Contractor Member of the DBOF Entity	
Essential Qualifications for the Engineer of Record Member of the DBOF Entity	
Essential Qualifications for each Sub-Contractor Member of the DBOF Entity	
Sub-Contractor Name:	
Sub-Contractor Name:	

Sub-Contractor Name:	
Sub-Contractor Name:	
Sub-Contractor Name:	
Essential Qualifications for each Sub-Consultant Member of the DBOF Entity	
Sub-Consultant Name:	
Sub-Consultant Name:	
Sub-Consultant Name:	
Sub-Consultant Name:	
Sub-Consultant Name:	
Essential Qualifications for the Operations Member of the DBOF Entity	
Total Score for the DBOF Entity	

Disqualified? (Disqualified if total score is greater than 0) ☐ **Yes** ☐ **No**

Scored Qualifications	Section Score	
A. Scored Qualifications for the General Contractor Member of the DBOF Entity (Minimum qualifying score is 95 points)		
B. Scored Qualifications for the Engineer of Record Member of the DBOF Entity (Minimum qualifying score is 45 points)		
C. Scored Qualifications Average for all Sub-Contractor Members of the DBOF Entity (Minimum qualifying score is 71 points)		
Sub-Contractor Name: _____ (Minimum qualifying score is 71 points)		
Sub-Contractor Name: _____ (Minimum qualifying score is 71 points)		
Sub-Contractor Name: _____ (Minimum qualifying score is 71 points)		
Sub-Contractor Name: _____ (Minimum qualifying score is 71 points)		
Sub-Contractor Name: _____ (Minimum qualifying score is 71 points)		
D. Scored Qualifications Average for all Sub-Consultant Members of the DBOF Entity (Minimum qualifying score is 37 points)		
Sub-Consultant Name: _____ (Minimum qualifying score is 37 points)		
Sub-Consultant Name: _____ (Minimum qualifying score is 37 points)		
Sub-Consultant Name: _____ (Minimum qualifying score is 37 points)		
Sub-Consultant Name: _____		

(Minimum qualifying score is 37 points)		
Sub-Consultant Name: _____		
(Minimum qualifying score is 37 points)		
E. Scored Qualifications for Operations Member of the DBOF Entity (Minimum qualifying score is 95 points)		
Total Score for the DBOF Entity (A+B+C+D+E)		

Does Design-Build-Operate-Finance Entity Meet Minimum Qualifications?

☐ Yes ☐ No

(Each member of the Design-Build-Operate-Finance Entity must meet the minimum qualifying score.)

APPENDIX B: EVALUATION AND RANKING OF QUALIFIED DESIGN-BUILD-OPERATE-FINANCE ENTITIES

B-1. Introduction

Those Design-Build-Operate-Finance (DBOF) Entities which meet the minimum qualifications established in Appendix A will be evaluated and ranked based on the information provided in the Pre-Qualification Questionnaire (see Appendix A) and the Statement of Qualifications (SOQ). An Evaluation Committee, consisting of Village staff and consultants, will evaluate the DBOF Entities according to the criteria defined in this Appendix B. The Evaluation Committee, at its sole discretion, shall have the right to seek written clarifications from any of the DBOF Entities in order to fully understand the nature of the submittals for evaluation. The Village, the Evaluation Committee and the Village Council shall have the sole and final authority to evaluate the pre-qualification documents submitted, to determine the minimum qualifications of each DBOF Entity, and to establish a short-list of DBOF Entities qualified to receive and respond to the Request for Proposals (RFP).

The Village anticipates designating a pre-qualified short-list of the three highest qualified DBOF Entities to receive the RFP document and continue in the selection process. However, the Village reserves the right to designate more, or less, than three DBOF Entities on the short-list. The evaluation and ranking of DBOF Entities for the purpose of pre-qualification and establishing a short-list will not carry forward into the review of proposals submitted in response to the RFP.

B-2. Evaluation and Ranking Criteria

The evaluation and ranking DBOF Entities will be based on the following criteria and weighting. The specific qualifications or capabilities to be evaluated are described below.

Evaluation and Ranking Criteria	Ranking Weight
Pre-Qualification Questionnaire Score (Appendix A.1 - A.4)	10%
Financial Qualifications	20%
Technical Approach and Team Expertise	30%
Design and Construction Experience	30%
Operations and Management	10%

B-2.1. Pre-Qualification Questionnaire Score (Appendix A)

The total score received by the DBOF Entity for the Scored Qualifications in Appendix A will be carried forward to Appendix B. The total score will be weighted to account for 10% of the DBOF Entities evaluation and ranking.

B-2.2. Financial Qualifications

The financial capacity and financial terms proposed by the DBOF Entity will be evaluated. This evaluation will include, but is not limited to, the following:

- Ability to meet the project financing, guaranty, bonding limits and insurance requirements.
- Credit worthiness and rating of the financier, contractor, designer, operator, and other Entity members
- Overall financial qualifications and strength of DBOF Entity
- Overall financial qualifications and strength of Project Guarantor

B-2.3. Technical Approach and Team Expertise

The overall technical qualifications of the DBOF Entity will be evaluated with consideration of the individual expertise of key personnel proposed for the Project. The evaluation will include, but is not limited to, the following.

- Organizational structure of DBOF Entity and key members
- Construction management plan related to schedule, budget, and quality control
- Management structure of DBOF Entity and key members
- Qualifications of key personnel proposed
- Design approach or philosophy
- Resources available to the DBOF Entity and the Project
- Financial qualifications and strength of DBOF Entity and key members
- Demonstrated ingenuity or innovation in past design and construction which increased Project quality or reduced life-cycle costs
- Design and construction experience or expertise with principles, techniques, and materials which enhance the environmental quality of the Project throughout its lifecycle
- Demonstrated knowledge of, or successful experience, with Florida's and the Florida Keys' water quality related regulatory agencies, such as the State and Regional Water Boards, the Department of Environmental Protection, and the Department of Public Health
- Awards or special recognition for excellence and achievement in design and construction industry
- Advanced certifications or licenses beyond the minimum requirements

B-2.4. Understanding of Process, Goals, and Objectives

The Project Specific Information provided in Section 3 of this RFQ details the history of this Project, outlines the Village's goals and objectives, and summarizes the process for completing the necessary permitting, funding, and Design-Build-Operate-Finance contract procurement in order to begin design and construction. The DBOF Entity will be evaluated on its understanding of these issues as they relate to Project procurement and delivery. The evaluation will include, but is not limited to, the following key elements of the Project process, goals, and objectives.

- Environmental Impact Report process and mitigations
- Village permit process
- Prevailing Wage and Labor Compliance requirements
- Community relations
- Design-Build-Operate-Finance procurement process

B-2.5. Design and Construction Experience

Previous design and construction experience on projects of similar size and scope, as well as, similar projects which utilized Design-Build-Operate-Finance contracting will be considered in the overall evaluation and ranking. The previous experience of the DBOF Entity Members, and the experience of their key personnel assigned to this Project, will be evaluated.

The evaluation will include, but is not limited to, the following.

- Design and construction experience and past performance on similar projects
 - o Ability to meet schedule and budget on Design-Build-Operate-Finance contracts
 - o Design-Build-Operate-Finance contracting experience on projects of similar scope and budget
 - o Design and construction of wastewater collection, transmission and treatment facilities of similar size and budget
 - o Design of wastewater collection, transmission and treatment facilities of the same technology
 - o Design of wastewater treatment facilities with advanced treatment requirements)
 - o Project experience related to projects owned and contracted by public agencies in Florida
- Interviews with the owners of reference projects provided in Appendix A. The Village and the Evaluation Committee reserve the right to also interview owners of other projects which members of the DBOF Entity were involved in, but were not provided as reference projects in Appendix A.

B-2.6. Operations and Management Experience

Previous operations and management experience on wastewater facilities of similar size and scope, as well as, similar projects which utilized Design-Build-Operate-Finance contracting will be considered in the overall evaluation and ranking. The previous experience of the DBOF Entity Members, and the experience of their key personnel assigned to this Project, will be evaluated. The evaluation will include, but is not limited to, the following.

B-3. Interviews with Design-Build-Operate-Finance Entities

The Evaluation Committee may conduct interviews with each DBOF Entity that meets the minimum qualifications. The interview results will be considered in combination with the evaluation of the Pre-Qualification Questionnaire and the SOQ.

The Evaluation Committee reserves the right to waive the interviews if, in the opinion of the Evaluation Committee, the interviews are not necessary for establishing a short-list of DBOF Entities.

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