
City of Sacramento Department of Utilities

REQUEST FOR PROPSALS

BUSINESS PLAN FOR THE SOLID WASTE DIVISION

Issued: August 8, 2011

Due Date: September 9, 2011 @ 3:00 p.m. P.D.T.

Submit to: City of Sacramento Solid Waste Division
2812 Meadowview Road, Building 1
Sacramento, CA 95832
Attn: Steve Harriman,
Integrated Waste General Manager

For Additional Information Contact:
Chris Thoma, Program Analyst
(916) 808-4833
E-Mail: cthoma@cityofsacramento.org



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Request For Proposals (RFP) Professional Services for Solid Waste Division Business Plan

August 8, 2011

1. INTRODUCTION

a. Purpose

The City of Sacramento (City) is seeking to engage a professional consulting firm to assist with the preparation of a Business Plan for the Solid Waste Division of the Department of Utilities.

Interested firms are invited to submit their proposals in accordance with the requirements of this RFP. Proposals shall be submitted in accordance with the format and information listed in Section 4. PROPOSAL SUBMITTAL REQUIREMENTS.

b. Proposal Submittal Deadline

Proposals shall be received no later than 3:00 p.m. on Friday, September 9 as described in Section 4 of this RFP.

c. Project Summary

In May 2011 the City Auditor's Office issued an audit report for the Department of Utilities, including the water, sewer, drainage, and solid waste operations. This Business Plan engagement was a recommendation of the DOU audit and includes the following three deliverables:

Task #1. Analysis of residential collection program alternatives for solid waste, yard waste, single-stream recyclables, and neighborhood cleanup.

Task #2. Analysis of commercial solid waste and recyclables collection program alternatives.

Task #3. Analysis of a managed competition process for potential privatization of residential collection and street sweeping operations.

d. Project Background

The Solid Waste Division currently provides a range of collection and recycling services to approximately 123,000 residential accounts and 820 commercial accounts located in the City of Sacramento. The basic services provided by the Division include the following:

- Weekly solid waste collection for all residential customers in 32, 64 and 96 gallon carts.
- Weekly containerized yard waste collection in 96 gallon carts for approximately 110,000 residential customers.
- Weekly “loose-in-the-street” yard waste collection for approximately 13,000 residential customers. (“Loose-in-the-street” refers to yard waste placed at the curb in a pile for collection, as opposed to containerized collection.)
- Weekly commingled curbside recycling collection for approximately 123,000 residential customers in primarily 96 gallon carts, although 64 and 32 gallon carts are distributed to those with space issues (i.e., cannot fit through alley gate). The monthly rate is the same for all three cart sizes.
- Commercial waste collection for approximately 820 customers utilizing 1) bins and front-load collection vehicles, and 2) 96 gallon carts and rear-load collection vehicles.
- Commercial recycling collection utilizing 1) bins and front-load collection vehicles, and 2) 96 gallon carts and rear-load collection vehicles.
- Commercial bin and roll-off box rentals for both waste and recycling collection.
- Household Hazardous Waste collection, drop-off, recycling and disposal.
- Citywide street-sweeping six times per year.
- The Neighborhood Cleanup Program has been suspended indefinitely. In its place, there is a bulky waste collection service for an extra fee.

The City of Sacramento collects an average of 529 tons per day (tpd) of solid waste, 175 tpd of mixed recyclables, and 364 tpd of yard waste from residential customers, and 35 tpd of solid waste and six tpd of mixed recyclables from commercial customers.

The monthly rate for the residential collection services ranges from \$30.22 to \$41.67, depending on the size of the garbage container provided (32, 64, or 96 gallon). See Attachment 5 "Solid Waste Rate Sheet" for more information.

The Division currently employs a total of 160 employees, including:

- 137 collection equipment operators;
- 11 field supervisors;
- 6 managers and superintendents; and
- 6 support staff

The Division dispatches 87 residential collection vehicles four days per week and nine commercial collection vehicles five days per week. Solid Waste field staff are dispatched from two locations: 1) north area - includes collection areas north of the American River and portions of downtown; and 2) south area - includes collection areas south of the American River. The north area has approximately 45,000 and the south area has approximately 78,000 residential accounts.

The transfer and disposal facilities currently used by the division include the following:

- Sacramento Recycling and Transfer Station (SRTS): Solid waste collected from south area routes and recyclables collected from north and south area routes;
- North Area Recovery Station: Solid waste collected from north area routes; and
- K&M Recycling and Elder Creek Transfer Station: All yard waste (from north and south area routes)

e. Project Budget

The project budget is \$75,000, including all related expenses for staffing, travel, reproduction, postage, computers and communications.

2. PROPOSAL EVALUATION AND SELECTION PROCESS

a. Selection Summary

After the submittal deadline has passed, a selection committee comprised of Department of Utilities staff will review each proposal received and rank them in accordance with the criteria provided in Attachment 1 – Proposal Rating Form, which include expertise, knowledge and experience of firm in subject matter, appropriate resources available to conduct work, knowledge of the City’s solid waste operations, hourly billing rates, estimate of total hours, and municipal client references.

A selection of the top ranked firm may be made based solely on the selection committee’s review and ranking of the proposals, without conducting any interviews.

Interviews may also be conducted with the top three ranked firms and considered in the selection. Interview evaluation factors, if applicable, will include an overall demonstration of the project manager’s ability to manage the project and the project team’s knowledge of regulatory requirements and ability to perform the required tasks, based on the depth and professionalism of the presentation. If interviews are held, the selection committee will select the top-ranked firm based on both the proposal rankings and the interview results, as indicated in Attachment 1 – Proposal Rating Form.

The City will enter into negotiations for a Professional Services Agreement with the top ranked firm. If negotiations are not successful in reaching agreement, the City may enter into negotiations with the next-ranked firm, and so on until an agreement is reached.

Emerging and Small Business Enterprise (ESBE) participation will be considered during proposal evaluation as indicated on Attachment 1.

Sacramento City Council Resolution No. 2010-346 calls for a boycott of the State of Arizona and businesses headquartered in Arizona. The boycott provisions prohibit employee travel to Arizona at City expense, and restrict the purchase of goods and services with Arizona headquartered businesses.

The issuance of this RFP shall not be interpreted as, and does not constitute, a representation by City that any specific firm or firms will be retained to perform any of the services described herein, and a firm shall not acquire any right or entitlement to be retained for such purpose by virtue of submitting a proposal in response to this RFQ.

The City reserves the right to issue additional RFP(s) for the performance of these services during the service period specified below. The City may select qualified firms that submit proposals in response to this RFP, and/or any

subsequent RFP, to perform all, some or any of the consulting services required by the City. The City also reserves the option to cancel or modify this RFP and the selection process at any time.

b. Selection Schedule

RFP Issued	08/08/2011
Non-mandatory Pre-RFP Meeting	08/24/2011
Last Day to Ask Questions	08/26/2011
Last Addendum Posting Date	09/02/2011
Proposals Due	09/09/2011
Selection Panel	Week of 09/12/2011
Interviews	Week of 09/19/2011
Contract negotiations & preparations	Week of 09/26/2011
Notice to proceed	Approx. 10/24/2011

A non-mandatory pre-proposal meeting will be held on **Wednesday, August 24 at 1:00 p.m.** at the City of Sacramento Solid Waste Division located at 2812 Meadowview Road, Building 1, Sacramento, CA 95832.

It is important that the firm selected be able to respond quickly during agreement negotiations and initiate work as soon as possible after the agreement(s) has been approved.

3. SCOPE OF WORK

This business plan project will include the following three Tasks:

Task 1. Residential Service Options

As noted above, the City Solid Waste Division currently provides weekly containerized collection service for solid waste, mixed recyclables, and yard waste utilizing side-loading collection vehicles, and weekly loose-in-the-street yard waste collection for certain customers utilizing a “claw” and a rear-loading collection vehicle. The current operation requires the daily use of five separate types of collection vehicles, resulting in high costs for fuel, vehicle maintenance and labor, high customer rates relative to neighboring jurisdictions, and significant green house gas emissions, pavement impacts, and traffic congestion from collection vehicles. In addition, there is currently a high degree of customer confusion regarding the rules and procedures for the two yard waste collection programs (containerized and loose-in-the-street). Finally, the City suspended the Neighborhood Cleanup Program several years ago in an effort to control costs, which has resulted in additional customer confusion and increased illegal dumping.

The primary objective of this task is to complete a comprehensive analysis of several program scenarios that meet the following objectives:

- Stabilize current residential customer rates for 3 to 4 years;
- Reduce carbon emissions, fuel consumption, pavement impacts, and mileage driven on City streets by collection vehicles;
- Provide convenient and easily understandable programs;
- Comply with waste diversion mandates and all applicable health and safety requirements; and
- Provide sufficient yard waste collection services for all City neighborhoods throughout the year.

The collection service options to be evaluated in this engagement include, but are not limited to, the following:

- Weekly vs. biweekly collection of mixed recyclables.
- Yard waste collection options:
 - i. Citywide containerized yard waste collection service.
 - ii. Citywide loose-in-the-street yard waste collection service.
 - iii. Hybrid yard waste service, including:
 - 1. Seasonal loose-in-the-street service
 - 2. Neighborhood based loose-in-the-street service
- Resuming the Neighborhood Clean-up collection program.

The deliverables for Task 1 include the following:

- Work with City staff and stakeholders to develop 3 to 5 comprehensive program scenarios for residential collection services.
- An analysis of costs, fuel consumption, mileage requirements, carbon footprint, customer convenience, pavement impacts, and potential obstacles for each identified program scenario.
- A local/regional comparison matrix of residential collection programs in neighboring jurisdictions, including services offered, collection

frequencies, customer rates, customer satisfaction, waste diversion performance, etc.

- A local/regional comparison matrix of material collection data for solid waste, mixed recyclables, yard waste, and neighborhood cleanup programs, including pounds/household/month, set-out and participation rates, etc.
- Staffing and vehicle requirements for each program scenario.
- Impact of the Measure A vote in 2012 for each program scenario.
- Public education campaign recommendations for implementation of the selected program scenario, including case studies and funding analysis from local jurisdictions with successful public education and outreach programs.
- A PowerPoint presentation for use in community meetings, posting to the City website, and City Council meetings to present the results of the analyses and subsequent recommendations.

Task 2. Commercial Service Options

This task includes two primary issues regarding commercial waste collection and recycling services:

First, the Division currently provides waste collection and recycling services to approximately 820 commercial customers. The number of commercial customers serviced by the Division has fallen dramatically over the past several years, and the City's ability to compete with private waste haulers in the non-exclusive commercial waste collection system administered by the Sacramento Regional Solid Waste Authority is very limited.

The deliverables for this first issue include the following:

- An analysis of the advantages and disadvantages of the Division continuing commercial solid waste collection and recycling services vs. discontinuing commercial services and transitioning our customers to a private waste hauler(s).
- An analysis of the associated financial and staffing impacts of discontinuing commercial waste collection and recycling services.
- An analysis of the potential market value of the City's commercial assets if the City were to discontinue commercial collection services, including customer list, collection vehicles and bins.

Second, the City Council has expressed past interest in establishing exclusive franchise zones for commercial waste collection in all or a portion of the City service area. The objectives of exclusive franchising include a reduction in the number of commercial solid waste vehicles on City streets in order to mitigate traffic and carbon emissions, and a reduction in the number of waste collection bins in alley ways in the downtown and mid-town neighborhoods currently serviced by multiple public and private waste haulers.

The deliverables for this second issue include the following:

- A comparison matrix of the advantages and disadvantages of exclusive vs. non-exclusive commercial waste franchise systems.
- An analysis of the advantages and disadvantages of a hybrid system with an exclusive commercial franchise system in certain neighborhoods and a non-exclusive commercial franchise system in the remainder of the City.
- An analysis of how the Amended Service Agreement with the Sacramento Recycling and Transfer Station will impact the City's ability to establish an exclusive commercial franchise system through a competitive procurement process.
- A detailed task list and schedule of the required steps to execute exclusive commercial franchises in specific zones. For example 1) establish zone boundaries, 2) compile a comprehensive customer list with current service levels, 3) design a stakeholder outreach program, 4) administer a competitive procurement process and execute the franchise agreements, 5) design a rate escalation methodology and contract management function, 6) transition to exclusive system, etc.
- An analysis of the City's membership in the Sacramento Regional Solid Waste Authority if an exclusive commercial franchise system is selected for implementation.
- A PowerPoint presentation for use in community meetings, posting to the City website, and City Council meetings to present the results of the analyses and subsequent recommendations.

Task 3. Managed Competition for Residential Services and Street Sweeping Operations

The DOU audit recommended that an analysis of a managed competition process for residential collection operations be presented to the City Council. Accordingly, the objective of Task 3 is to prepare a report and recommendation with sufficient information and analysis to allow the City Council to make an informed policy decision.

The deliverables for Task 3 include the following:

- An analysis of which current City functions could potentially be included in a contract with a private waste hauler, including collection operations, fleet maintenance, customer service and billing, public education and outreach, cart maintenance and delivery, etc.
- An analysis of whether or not the City could participate as a competitor against private waste haulers in a managed competition process.
- Case studies of municipalities that have privatized residential waste collection operations, including successful and unsuccessful outcomes.
- Consideration of a ballot measure allowing City residents to decide if the City should proceed with a competitive process for residential solid waste operations.
- An analysis of the potential cost savings and corresponding customer rate impacts resulting from privatization of collection operations, including cost reductions in wages and benefits, vehicle purchase and maintenance, debt service, staffing levels, management ratios, tip-fees, etc.
- Detailed task list and schedule if directed by the City Council to proceed with a managed competition process, including development and evaluation of an RFP document, community outreach, contract negotiation and award, transition of operations, etc.
- An analysis of how the current overhead payments, in-lieu franchise fees, and other indirect costs would be addressed under a privatization scenario.
- An analysis of how current assets, including vehicles, facilities, etc. and how those would be transferred to a private hauler under a privatization scenario.
- An analysis of the transition of current employees from City employment to a private company, including case studies from other privatization efforts for municipal operations.
- An analysis of the whether or not the street sweeping operations should be included a competitive procurement process with residential collection services.

4. PROPOSAL SUBMITTAL REQUIREMENTS

Firms or individuals interested in submitting proposals for this project should respond to this RFP by submitting a written proposal providing all the information requested below. The proposal will be considered complete only if all of the items listed in this section are included in the submittal.

Four (4) copies of the proposal and one (1) CD with an electronic copy of entire submittal shall be submitted no later than 3:00 PM PDT, September 9, 2011 to:

City of Sacramento Solid Waste Division
2812 Meadowview Road
Sacramento, California 95832
Attention: Steve Harriman, Integrated Waste General Manager
RE: Proposal for Business plan for the Solid Waste Division

The proposal must be limited to ten (10) double-sided pages not including introductory letter, resumes, ESBE form (Attachment 2), Business Headquarters Confirmation Form (Attachment 3), and work samples. The submittal must be provided in a sealed envelope or box.

Please provide and present the following information in the order listed, in a clear and concise format:

1. **Firm Name.**
2. **Corporate office and local office address**, city, state, zip code, telephone number, fax number, and web site addresses.
3. **Brief history of the firm**, background, specialties, and ownership structure.
4. **Proposal contact person**, address, phone number, and e-mail address.
5. **Office location or locations** where the work will be accomplished by the proposing firm and subcontractors.
6. **Introductory letter** describing firm's basic understanding of the services identified. This letter should also contain an expression of the firm's interest in the work, a statement regarding the qualifications of the firm to do the work, and any summary information that may be useful or informative to the City.
7. **Organizational chart** for the proposed team identifying the project manager and task leads. The project manager should be the day-to-

day contact for the project and will be expected to communicate regularly with City staff.

- 8. Describe project team**, summarizing expertise and qualifications of key project team members, with a focus on skills related to tasks described in Section 3 – Scope of Work, as well as with solid waste services in general. Provide work samples demonstrating this experience, which may be originals or photocopies. Please include resumes and three client references, addresses and telephone numbers for key team members.
- 9. Rate schedule** with hourly billing rates for the term of the project. For consultant team proposals, the rate schedule should be comprised of agreed-upon common rates that will be used for each task proposed to be completed by the team.
- 10. Provide a detailed work plan** that describes how staff plans to proceed with the project, with information about each project task. How long is each task and subtask expected to take? Which key staff members will be assigned to each task and subtask? What is the corresponding budget for each task? The City understands that step-by-step plans require detailed research that can only be done after the project is awarded, but a detailed project plan, one that outline's an applicant's approach to each task, is needed for the City to completely consider each proposal.

The identification and utilization of specific key personnel, as well as clear project plans, through the life of the project are important factors in the City's consideration and selection of a firm/project team. Any changes in identified key personnel after the award of the agreement must be approved by the City in writing before the change is made. Include availability of team to begin work as soon as possible after the selected firm receives the notice to proceed.

- 11. Provide a disclosure** identifying any actual, apparent or potential conflict of interest that may result from the performance of any of the services described in Section 3 – Scope of Work. These may include any actual, apparent, direct or potential conflicts of interest that may exist with respect to the firm, employees or other persons relative to the provided service. City policy is described further in Section 8. CONFLICT OF INTEREST POLICY of this RFP.
- 12. Complete the form entitled “Items Requiring Response”** (see Attachment 2) and attach copies of Emerging Business Enterprise (EBE) or Small Business Enterprise (SBE) certifications.

13. Complete and return Business Headquarters Confirmation Form
(Attachment 3).

14. The firm selected to perform the requested services will be required to execute the “Declaration of Compliance, Equal Benefits Ordinance” form that is part of the City’s standard Professional Services Agreement, attached as Attachment 6. More information regarding the City’s Non-Discrimination In Employee Benefits Code, otherwise known as the Equal Benefits Ordinance (EBO), is provided in Exhibit E to the standard Professional Services Agreement.

For more information, including Contractor's Q&As, which includes general information on the most important questions about the EBO from the contractor's perspective, see the following website:

<http://www.cityofsacramento.org/generalservices/procurement/ordinances/>

For further questions on the EBO, please contact the Program Administrator at (916) 808-8796.

5. PROFESSIONAL SERVICES AGREEMENT

A copy of the City’s Professional Services Agreement that the selected firm will be required to sign is provided as Attachment 6. Please note that any firm selected will be required to comply with the standard provisions set forth in Exhibit D of the Agreement; section 11.A provides information on minimum scope and limits of insurance coverage, and section 11.B provides information on additional insured coverage.

The selected firm will be required to provide insurance certificate(s) for Commercial General Liability, Automobile Liability, Workers’ Compensation and Employer’s Liability, and Professional Liability Insurance for this project. Endorsement(s) signed by an authorized representative of the insurance carrier will also be needed for Commercial General Liability and Automobile Liability insurance, with language included in the endorsement(s) that the City, its officials, employees and volunteers shall be covered as additional insured.

If the insurance certificate(s) and endorsements are provided by an insurance broker, the insurance company will also need to provide verification of coverage.

The selected firm will be required to complete and submit a W-9 form and CA form 587 or 590.

6. ADDENDA AND SUPPLEMENTS TO REQUEST FOR QUALIFICATIONS

The City of Sacramento reserves the right to cancel, postpone, extent or revise this RFQ or the RFQ process at any time. If it becomes necessary to revise any part of this RFQ, an Addendum to the RFQ will be provided on the City's Bid website at <http://www.cityofsacramento.org/generalservices/procurement/bids/>.

7. BUSINESS OPERATIONS TAX CERTIFICATE (B.O.T.C.)

Sacramento City Code Chapter 3.08 requires any person or firm conducting business within or with the City of Sacramento to pay a Business Operations Tax and have a current Business Operations Tax Certificate.

To obtain information about the Business Operations Tax Certificate, contact the City of Sacramento Revenue Division, 915 I Street, Sacramento, CA 95814, or telephone 916-808-8500.

8. CONFLICT OF INTEREST POLICY

a. **Generally.** *Under the California Political Reform Act, Government Code §§ 81000 et seq., designated employees of the City are required to comply with the City's Conflict of Interest Code. The term "designated employees" is a term of art and includes individuals working for contractors providing services or performing work for the City, if such individuals are considered to be "consultants" under the Political Reform Act. The term "consultant" generally includes individuals who make, or participate in making, governmental decisions or who serve in a staff capacity. Individuals who perform work that is solely clerical, ministerial, manual or secretarial are not "consultants."*

The City's Conflict of Interest Code requires individuals who qualify as "consultants" to file the following statements of economic interests with the City:

- (1) An "assuming office" statement of economic interests to be filed within 30 days after execution of the agreement between the City and the contractor;*
- (2) Annual statements of economic interests while the agreement remains in effect, to be filed not later than April 30 of each year; and*
- (3) A "leaving office" statement of economic interests to be filed within 30 days of completion of the contract.*

The above statements of economic interests are public records subject to public disclosure under the California Public Records Act.

The City's Conflict of Interest Code also requires individuals who qualify as "consultants" under the Political Reform Act to comply with the conflict of interest provisions of the Political Reform Act, which generally prohibit individuals from

making or participating in the making of decisions that will have a material financial effect on their economic interests.

b. Conflict of Interest Statements. *The standard agreement attached to this RFQ indicates whether or not the individual(s) who will provide services or perform work pursuant to the agreement will be considered “consultants” within the meaning of the Political Reform Act and the City’s Conflict of Interest Code. The submission of a proposal in response to this RFQ constitutes the proposer’s acknowledgement and agreement that, if the proposer is awarded the agreement by City, the individuals who will provide services or perform work pursuant to the agreement will not have a conflict of interest under the City’s Conflict of Interest Code.*

In addition, if the proposer is awarded the agreement by City, such proposer shall cause the following to occur within 30 days after execution of the agreement:

(1) The proposer shall identify the individuals who will provide services or perform work under the agreement as “consultants” within the meaning of the Political Reform Act and the City’s Conflict of Interest Code;

(2) The proposer shall cause these individuals to file with the City Representative identified in the agreement the “assuming office” statements of economic interests required by the City’s Conflict of Interest Code.

Thereafter, throughout the term of the agreement, the proposer shall cause these individuals to file with the City Representative annual statements of economic interests, and “leaving office” statements of economic interests, as required by the City’s Conflict of Interest Code. The City may withhold all or a portion of any payment due under the agreement until all required statements are filed.

9. MISCELLANEOUS

All material submitted shall become the property of the City of Sacramento, may be reviewed and evaluated as part of this RFQ process by any persons at the discretion of the City of Sacramento, and may be publicly disclosed as required by law.

Attachment 1

Proposal Rating Form

Business Plan for the Solid Waste Division

Firm: _____

Date of Review: _____

Proposal Review Date

Interview Review Date

Selection Committee Member: _____

Proposal Rating Criteria	Score	x	Weight	=	Points
Expertise, knowledge and experience of firm in subject matter		x	35%	=	
Appropriate resources available to conduct work		x	25%	=	
Knowledge of the City's solid waste operations		x	20%	=	
References		x	15%	=	
ESBE Certified (City or State) (Put a score of "5" if ESBE certified; "0" of not)		x	5%	=	

Total Points for Proposal: _____

Interview Rating Criteria	Score	x	Weight	=	Points
Interview		x	95%	=	
SBE Certified (City or State) (Put a score of "5" if ESBE certified; "0" of not)		x	5%	=	

Total Points for Interview: _____

Please evaluate the proposals and interviews using the following cumulative point system:

- 5 = Outstanding
- 4 = Very Good
- 3 = Good
- 2 = Average
- 1 = Poor
- 0 = Not Addressed or Unacceptable

Attachment 2

“Items Requiring Response” Form

NOTE: *Firms submitting proposals must provide responses to the following items.*

1. **SMALL BUSINESS ENTERPRISE (SBE) CERTIFICATION**

Is the firm submitting the RFP certified by the City of Sacramento or the State of California Department of General Services (DGS) as a small business enterprise? Check the appropriate block below:

<input type="checkbox"/> YES	the firm submitting the Statement of Qualifications is certified by the City of Sacramento or the State of California DGS as a small business enterprise.
<input type="checkbox"/> NO	the firm submitting the Statement of Qualifications is not certified by the City of Sacramento or the State of California DGS as a small business enterprise.

If the response to the above is YES, provide the City of Sacramento Certification Number or State of California DGS Certification Number:

2. **EMERGING BUSINESS ENTERPRISE (EBE) CERTIFICATION**

Is the firm submitting the RFQ certified by the City of Sacramento as an *emerging* business enterprise? Check the appropriate block below:

<input type="checkbox"/> YES	the firm submitting the RFQ is certified by the City of Sacramento as an emerging business enterprise.
<input type="checkbox"/> NO	the firm submitting the RFQ is not certified by the City of Sacramento as an emerging business enterprise.

If the response to the above is YES, provide the City of Sacramento Certification Number:

NOTE: SBE/EBE FIVE PERCENT (5%) EVALUATION PREFERENCE

On February 9, 1999, the Sacramento City Council adopted an Emerging and Small Business Development program to provide enhanced opportunities for the participation of small business enterprises (SBEs) and emerging business enterprises (EBEs) in the City’s contracting and procurement activities. A firm that is certified as a SBE or as an EBE by the City of Sacramento or as an SBE by the State of California DGS, will receive a five percent (5%) evaluation preference for the purpose of determining the highest ranked firm. To receive this evaluation preference, a firm must be certified as a SBE or EBE at the time of proposal opening. Questions regarding eligibility for SBE/EBE certification should be addressed to the City of Sacramento Economic Development, Small Business Services at (916) 808-7223.

Attachment 3

Business Headquarters Confirmation Form

City of Sacramento Boycott of Arizona-Headquartered Businesses

On June 15, 2010, the Sacramento City Council adopted Resolution No. 2010-346 opposing two Arizona laws (SB 1070 and HB 2162) that will allow Arizona police to arrest individuals suspected of being unlawfully present in the United States and to charge immigrants with a state crime for not carrying immigration documents. Sacramento City Council Resolution No. 2010-346 also called for a boycott of the State of Arizona and businesses headquartered in Arizona until Arizona repeals or a court nullifies SB 1070 and HB 1262. Resolution No. 2010-346 provides, in pertinent part, that “where practicable and where there is no significant additional cost to the City, the City of Sacramento shall not enter into any new, amended, extended or supplemental contracts to purchase or procure goods or services from any business or entity that is headquartered in Arizona ...”

Pursuant to the provisions of Resolution No. 2010-346, the City may determine that a bid from a business or entity that is headquartered in Arizona is nonresponsive and the City may reject the bid on that basis.

Bidders that are headquartered in the United States shall certify in the space below the state where the bidder is headquartered:

Must be completed and returned with bid or proposal

State Where Bidder is Headquartered

Signature of Authorized Representative

Date

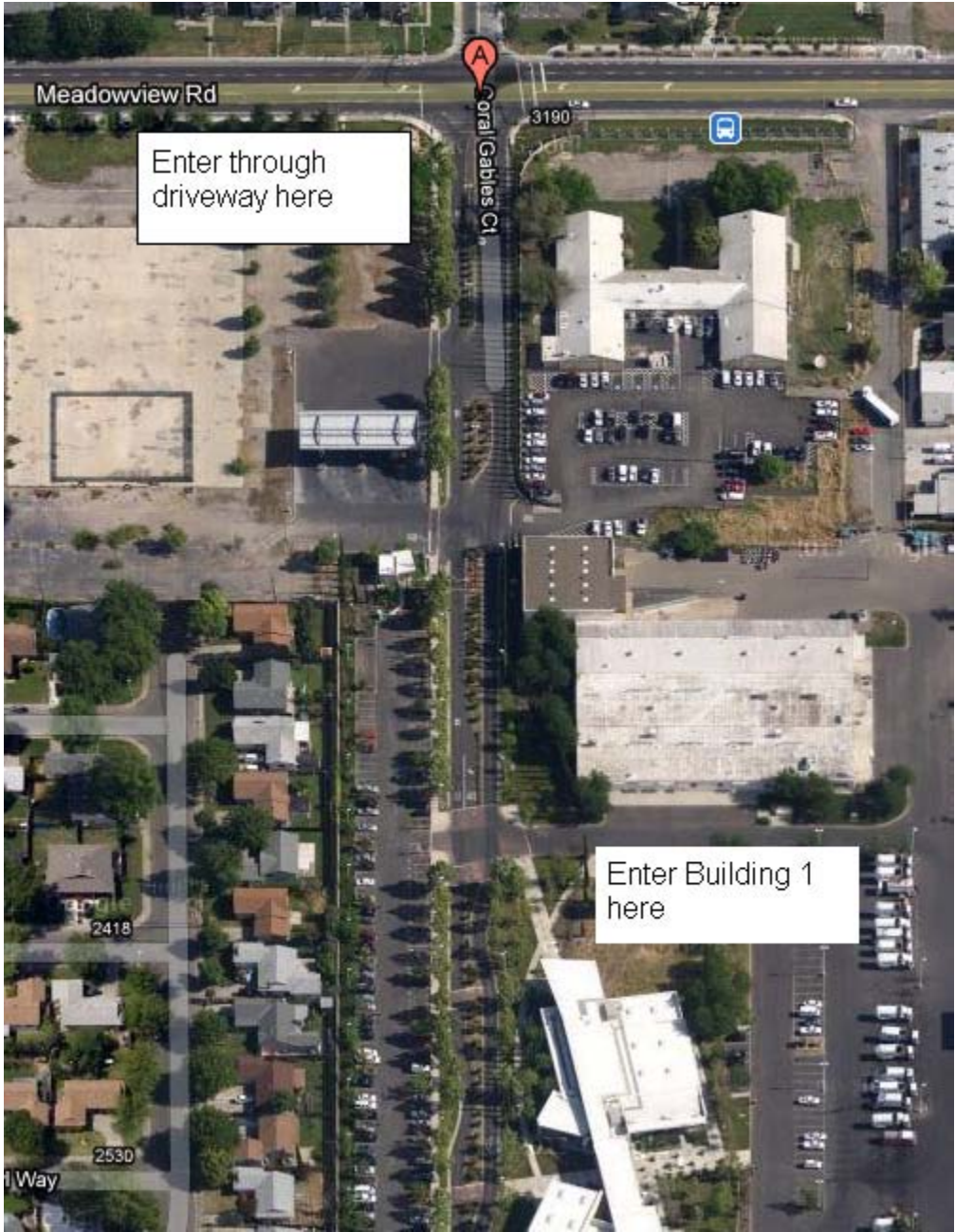
Print Name

Title

Attachment 4

Map for Delivery to Solid Waste Services

City of Sacramento, Solid Waste Services
2812 Meadowview Road, Building 1
Sacramento, CA 95832



Attachment 5

Solid Waste Rate Sheet

Description	Level of Service	FY12 Rates
I. GARDEN REFUSE COLLECTION (LAWN & GARDEN)		
<u>Residential Services - Non-Containerized</u>		
Single family residence	Weekly	\$13.71
Two family residence	Weekly	\$21.03
Multi-Family Residential Units		
3-5 units	Weekly	\$22.80
6-10 units	Weekly	\$30.49
11-25 units	Weekly	\$38.11
26-50 units	Weekly	\$45.74
<u>Residential Services - Containerized</u>		
Single family residence (1 to 4 units)	Weekly - Per unit	\$10.35
Additional can	Weekly - Per unit	\$5.70
<u>Non-Residential/Commercial Services - Non Containerized</u>		
Multi-Family Residential Units - 51 units and over	Weekly	\$53.36
2 cubic yards	Weekly	\$38.11
3 cubic yards	Weekly	\$45.74
4 cubic yards	Weekly	\$53.36
<u>Non-Residential/Commercial Services - Containerized</u>		
96 gal can	1 pickup/wk	\$10.35
<p>Note: Lawn and Garden loose in the street (non-containerized) collection is a periodic service. Service is generally provided on a weekly basis throughout the year, with exception of "leaf season" where fewer collections may occur.</p>		
II. STREET SWEEPING		
<u>Residential Services</u>		
Single family residence	Quarterly	\$1.34
Two family residence	Quarterly	\$2.12
Multi-Family Residential Units		
3-5 units	Quarterly	\$3.80
6-50 units	Quarterly	\$4.10
51 units and over	Quarterly	\$4.38
<u>Commercial Services</u>		
Per commercial premise	Quarterly	\$4.38

Attachment 5

Solid Waste Rate Sheet

Description	Level of Service	FY12 Rates
<u>Miscellaneous Services</u>		
AdHoc Street Sweeping Requests	Per hour	\$303.40

III. RECYCLING SERVICES

<u>Residential Services</u>		
Commingled 32, 64, or 96 gallon can	Weekly - Per Unit	\$5.13

Note: Disabled Service is provided to a qualified residence at same rates as non-disabled.

Non-Residential/Commercial (WITH GARBAGE SERVICES)

1 yard commingled bin	1 pickup/wk	\$32.20
2 yard commingled bin	1 pickup/wk	\$43.92
3 yard commingled bin	1 pickup/wk	\$58.55
4 yard commingled bin	1 pickup/wk	\$65.87
Multi-family/commercial commingled can	1 pickup/wk	\$14.64

Note: Bin service is available to residential customers at the Non-Residential/Commercial rates.

Non-Residential/Commercial (WITHOUT GARBAGE SERVICES)

1 yard commingled bin	1 pickup/wk	\$40.99
2 yard commingled bin	1 pickup/wk	\$54.15
3 yard commingled bin	1 pickup/wk	\$67.33
4 yard commingled bin	1 pickup/wk	\$76.12
Multi-family/commercial commingled can	1 pickup/wk	\$14.64

IV. GARBAGE COLLECTION SERVICE

Residential Services

Auto-lift 96 gallon	Weekly - Per unit	\$21.49
Additional Auto-lift 96 gallon	Weekly - Per unit	\$18.13
Auto-lift 64 gallon	Weekly - Per unit	\$17.66
Additional Auto-lift 64 gallon	Weekly - Per unit	\$15.34
Auto-lift 32 gallon	Weekly - Per unit	\$13.40
Additional Auto-lift 32 gallon	Weekly - Per unit	\$13.25
Extra bag (5 - 6 bags)	Per pickup	\$12.55
Extra bag (3 - 4 bags)	Per pickup	\$9.75
Extra bag (1 - 2 bags)	Per pickup	\$8.36

Note: Disabled Service provided to qualified residence at same rates as non-disabled.

Collection on special call (autolift) 96-gallon	Per pickup	\$36.95
Collection on special call additional 96-gallon can or extra bags (5 - 6 bags)	Per pickup	\$19.53
Collection on special call (autolift) 64-gallon	Per pickup	\$33.48
Collection on special call additional 64-gallon can or extra bags (3 - 4 bags)	Per pickup	\$17.07

Attachment 5

Solid Waste Rate Sheet

Description	Level of Service	FY12 Rates
Collection on special call (autolift) 32-gallon	Per pickup	\$29.98
Collection on special call additional 32-gallon can or extra bags (1 - 2 bags)	Per pickup	\$15.34
Dormant Service (Fee to stop garbage & recycling charges temporarily)	One-time	\$69.72
Dormant Service Fee (vacant residences)	Monthly	\$6.48
Manual Service 32 gal or less non-curb*	1 pickup/wk	\$32.30
	2 pickups/wk	\$63.99
	3 pickups/wk	\$84.01
	Additional can/pickup	\$15.62
Manual Service 33-40 gal non-curb*	1 pickup/wk	\$35.22
	2 pickups/wk	\$70.50
	3 pickups/wk	\$94.06
	Additional can/pickup	\$20.24
Manual Service 32 gal or less curbside	1 pickup/wk	\$22.12
	2 pickups/wk	\$44.28
	3 pickups/wk	\$65.82
	Additional can/pickup	\$11.21
Manual Service 33-40 gal curbside	1 pickup/wk	\$24.47
	2 pickups/wk	\$49.01
	3 pickups/wk	\$73.47
	Additional can/pickup	\$14.41
Manual Service Collection on special call - 40 gal or less	per pickup	\$43.72
	Each additional can	\$16.51
* "Non-curb" is defined as container placement more than 6 feet from curb.		
<u>Multi-Family (5 units and above)/Commercial Services</u>		
Auto-lift 96 gallon	per pickup/wk	\$30.16
Additional Auto-lift 96 gallon	per pickup/wk	\$23.20
Auto-lift 64 gallon	per pickup/wk	\$27.14
Additional Auto-lift 64 gallon	per pickup/wk	\$21.16
Auto-lift 32 gallon	per pickup/wk	\$23.20
Additional Auto-lift 32 gallon	per pickup/wk	\$20.29
Automated collection on special call		
96-gallon automated can	per pickup	\$39.50
64-gallon automated can	per pickup	\$34.35
32-gallon automated can	per pickup	\$29.73
	Each additional can	\$25.38
1 yd bin loose	per pickup/wk	\$74.39
1 yd bin compacted	per pickup/wk	\$154.31
2 yd bin loose	per pickup/wk	\$97.36
2 yd bin compacted	per pickup/wk	\$196.18
3 yd bin loose	per pickup/wk	\$120.33
3 yd bin compacted	per pickup/wk	\$173.06

Attachment 5

Solid Waste Rate Sheet

Description	Level of Service	FY12 Rates
4 yd bin loose	per pickup/wk	\$143.33
4 yd bin compacted	per pickup/wk	\$212.23
5 yd bin loose	per pickup/wk	\$166.32
5 yd bin compacted	per pickup/wk	\$225.97
6 yd bin loose	per pickup/wk	\$189.28
6 yd bin compacted	per pickup/wk	\$290.20
8 yd bin loose	per pickup/wk	\$268.23
8 yd bin compacted	per pickup/wk	\$458.95
10 yd roll-off box loose	per pickup/wk	\$442.36
10 yd roll-off box compacted	per pickup/wk	\$627.64
20 yd roll-off box loose	per pickup/wk	\$539.29
20 yd roll-off box compacted	per pickup/wk	\$1,045.21
25 yd roll-off box compacted	per pickup/wk	\$1,237.31
30 yd roll-off box loose	per pickup/wk	\$972.01
30 yd roll-off box compacted	per pickup/wk	\$1,425.23
35 yd roll-off box compacted	per pickup/wk	\$1,571.67
40 yd roll-off box loose	per pickup/wk	\$1,130.99
40 yd roll-off box compacted	per pickup/wk	\$1,719.50

Note: Bin service is available to residential customers at the Multi-Family/Commercial rates.

Three (3) months continuous service is required for Commercial Bin Service

Trailer	5 pickups	\$539.32
Two-person route surcharge	per pickup/wk	\$25.89

"Non-curb" is defined as container placement more than 6 feet from curb.

Manual Cans Service 32 gal or less	per pickup/wk	\$39.30
Each additional can 32 gal or less	per pickup/wk	\$22.60
Manual Cans Service 33-40 gal	per pickup/wk	\$43.30
Each additional can 33-40 gal	per pickup/wk	\$28.27
Manual Cans Service 41-50 gal	per pickup/wk	\$52.00
Each additional can 41-50 gal	per pickup/wk	\$32.55
Manual Cans Service 51-60 gal	per pickup/wk	\$59.81
Each additional can 51-60 gal	per pickup/wk	\$38.01
Manual collection on special call 32 gal or less	per pickup	\$51.14
Each additional can 32 gal or less	per pickup	\$25.38

V. OLD SACRAMENTO SERVICE COURTS

The property adjacent to each service court will be billed for solid waste service utilizing the following criteria:

Parcel size	25%
Square footage of building	50%
Front footage of building	25%

Attachment 5

Solid Waste Rate Sheet

Description	Level of Service	FY12 Rates
Commercial 6 yd compact unit	1 pickup	\$760.31
	2 pickups	\$1,040.82
	3 pickups	\$1,321.33
	4 pickups	\$1,745.02
	5 pickups	\$1,880.43
	6 pickups	\$2,300.23
	Special call/ daily	\$140.84
Commercial 8 yd bin loose	Special call/ Sunday	\$209.13
	per pickup per week	\$257.91
Commercial 8 yd bin compacted	per pickup per week	\$401.18

VI. SPECIAL GARBAGE COLLECTION SERVICES

Bins

1 cubic yard	per pickup	\$62.22
2 cubic yards	per pickup	\$81.25
3 cubic yards	per pickup	\$100.02
4 cubic yards	per pickup	\$118.81
5 cubic yards	per pickup	\$137.58
6 cubic yards	per pickup	\$156.34
Bins/Sunday		
1 cubic yard	Sunday pickup	\$111.95
2 cubic yards	Sunday pickup	\$146.26
3 cubic yards	Sunday pickup	\$180.04
4 cubic yards	Sunday pickup	\$213.84
5 cubic yards	Sunday pickup	\$247.62
6 cubic yards	Sunday pickup	\$281.43
Roll-off containers (inert materials)		
10 cubic yard	per pickup	\$267.74
20 cubic yards	per pickup	\$299.83
25 cubic yards	per pickup	\$348.63
30 cubic yards	per pickup	\$397.45
35 cubic yards	per pickup	\$443.48
40 cubic yards	per pickup	\$509.01
Roll-off containers/Sunday		
10 cubic yard	Sunday pickup	\$306.36
20 cubic yards	Sunday pickup	\$449.93
25 cubic yards	Sunday pickup	\$522.77
30 cubic yards	Sunday pickup	\$595.60
35 cubic yards	Sunday pickup	\$666.30
40 cubic yards	Sunday pickup	\$739.15
Deliver bin and pickup		
1 cubic yard	per pickup	\$124.38
2 cubic yards	per pickup	\$162.52
3 cubic yards	per pickup	\$200.04
4 cubic yards	per pickup	\$237.60

Attachment 5

Solid Waste Rate Sheet

Description	Level of Service	FY12 Rates
Compactors		
3 cubic yards	per pickup	\$127.27
4 cubic yards	per pickup	\$169.26
5 cubic yards	per pickup	\$211.58
6 cubic yards	per pickup	\$253.89
Compactors/Sunday		
3 cubic yards	Sunday pickup	\$190.88
4 cubic yards	Sunday pickup	\$253.89
5 cubic yards	Sunday pickup	\$317.35
6 cubic yards	Sunday pickup	\$380.82
Compacted Roll-off container		
10 cubic yard	per pickup	\$355.01
20 cubic yards	per pickup	\$558.96
25 cubic yards	per pickup	\$661.67
30 cubic yards	per pickup	\$762.89
35 cubic yards	per pickup	\$865.63
40 cubic yards	per pickup	\$966.83
Compacted Roll-off container/Sunday		
10 cubic yard	Sunday pickup	\$697.28
20 cubic yards	Sunday pickup	\$871.60
25 cubic yards	Sunday pickup	\$1,045.93
30 cubic yards	Sunday pickup	\$1,425.23
35 cubic yards	Sunday pickup	\$1,571.67
40 cubic yards	Sunday pickup	\$1,719.50
Special cleanups/per hour (per worker)		\$62.75
Out of City limits charge for commercial bins/roll-offs services		\$69.72
Special waste hauling		
10 cubic yards	per pickup	\$524.74
20 cubic yards	per pickup	\$770.61
25 cubic yards	per pickup	\$895.37
30 cubic yards	per pickup	\$1,020.15
35 cubic yards	per pickup	\$1,141.21
40 cubic yards	per pickup	\$1,266.02

Attachment 6
Standard Professional Services Agreement

PROJECT #:
PROJECT NAME:
DEPARTMENT:
DIVISION:

CITY OF SACRAMENTO

PROFESSIONAL SERVICES AGREEMENT *

THIS AGREEMENT is made at Sacramento, California, as of _____, by and between the **CITY OF SACRAMENTO**, a municipal corporation ("CITY"), and

Name of Contractor
Address
Phone/Fax

("CONTRACTOR"), who agree as follows:

1. **Services.** Subject to the terms and conditions set forth in this Agreement, CONTRACTOR shall provide to CITY the services described in Exhibit A. CONTRACTOR shall provide said services at the time, place, and in the manner specified in Exhibit A. CONTRACTOR shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of such services: (a) CONTRACTOR notifies CITY and CITY agrees that such services are outside the scope of Exhibit A; (b) CONTRACTOR estimates the additional compensation required for these additional services; and (c) CITY, after notice, approves in writing a Supplemental Agreement specifying the additional services and amount of compensation therefor. CITY shall have no obligations whatsoever under this Agreement and/or any Supplemental Agreement, unless and until this Agreement or any Supplemental Agreement is approved by the Sacramento City Manager or the City Manager's authorized designee, or by the Sacramento City Council, as required by the Sacramento City Code.
2. **Payment.** CITY shall pay CONTRACTOR for services rendered pursuant to this Agreement at the times and in the manner set forth in Exhibit B. The payments specified in Exhibit B shall be the only payments to be made to CONTRACTOR for the services rendered pursuant to this Agreement unless pursuant to Section 1, above, CITY approves additional compensation for additional services. CONTRACTOR shall submit all billings for said services to CITY in the manner specified in Exhibit B, or, if not specified in Exhibit B, according to the usual and customary procedures and practices that CONTRACTOR uses for billing clients similar to CITY.
3. **Facilities and Equipment.** Except as set forth in Exhibit C, CONTRACTOR shall, at its sole cost and expense, furnish all facilities and equipment that may be required for furnishing services pursuant to this Agreement. CITY shall furnish to CONTRACTOR only the facilities and equipment listed in Exhibit C according to any terms and conditions set forth in Exhibit C.
4. **General Provisions.** The General Provisions set forth in Exhibit D, that include indemnity and insurance requirements, are part of this Agreement. In the event of any conflict between the General Provisions and any terms or conditions of any document prepared or provided by CONTRACTOR and made a part of this Agreement, including without limitation any document

* This form to be used for all professional services, except professional services related to a construction project and professional services performed by licensed architects, landscape architects or professional land surveyors or registered professional engineers.

relating to the scope of services or payment therefor, the General Provisions shall control over said terms or conditions.

5. **Non-Discrimination in Employee Benefits.** This Agreement is subject to the provisions of Sacramento City Code Chapter 3.54, Non-Discrimination in Employee Benefits by City Contractors. The requirements of Sacramento City Code Chapter 3.54 are summarized in Exhibit E. CONTRACTOR is required to sign the attached Declaration of Compliance (Equal Benefits Ordinance), to assure compliance with these requirements.
6. **Authority.** The person signing this Agreement for CONTRACTOR hereby represents and warrants that he/she is fully authorized to sign this Agreement on behalf of CONTRACTOR and to bind CONTRACTOR to the performance of its obligations hereunder.
7. **Exhibits.** All exhibits referred to herein are attached hereto and are by this reference incorporated as if set forth fully herein.

Executed as of the day and year first above stated.

CITY OF SACRAMENTO

A Municipal Corporation

By: _____

Print name: _____

Title: _____

For: William H. Edgar, Interim City Manager

APPROVED TO AS FORM:

City Attorney

ATTEST:

City Clerk

Attachments

- Exhibit A - Scope of Service
- Exhibit B - Fee Schedule/Manner of Payment
- Exhibit C - Facilities/Equipment Provided
- Exhibit D - General Provisions
- Exhibit E - Non-Discrimination in Employee Benefits

CONTRACTOR:

NAME OF FIRM

Federal I.D. No.

State I.D. No.

City of Sacramento Business Op. Tax Cert. No.

TYPE OF BUSINESS ENTITY (*check one*):

- _____ Individual/Sole Proprietor
- _____ Partnership
- _____ Corporation (*may require 2 signatures*)
- _____ Limited Liability Company
- _____ Other (*please specify: _____*)

Signature of Authorized Person

Print Name and Title

Additional Signature (*if required*)

Print Name and Title

**DECLARATION OF COMPLIANCE
Equal Benefits Ordinance**

Name of Contractor: _____

Address: _____

The above named Contractor ("Contractor") hereby declares and agrees as follows:

1. Contractor has read and understands the Requirements of the Non-Discrimination In Employee Benefits Code (the "Requirements") attached hereto as Exhibit E.
2. As a condition of receiving this Agreement, Contractor agrees to fully comply with the Requirements, as well as any additional requirements that may be specified in the City of Sacramento's Non-Discrimination In Employee Benefits Code codified at Chapter 3.54 of the Sacramento City Code (the "Ordinance").
3. Contractor understands, to the extent that such benefits are not preempted or prohibited by federal or state law, employee benefits covered by the Ordinance are any of the following:
 - a. Bereavement Leave
 - b. Disability, life, and other types of insurance
 - c. Family medical leave
 - d. Health benefits
 - e. Membership or membership discounts
 - f. Moving expenses
 - g. Pension and retirement benefits
 - h. Vacation
 - i. Travel benefits
 - j. Any other benefit offered to employees

Contractor agrees that if Contractor offers any of the above-listed employee benefits, Contractor will offer those benefits, without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouses and domestic partners of such employees.

4. Contractor understands that Contractor will not be considered to be discriminating in the provision or application of employee benefits under the following conditions or circumstances:
 - a. If the actual cost of providing a benefit to a domestic partner or spouse exceeds the cost of providing the same benefit to a spouse or domestic partner of an employee, Contractor will not be required to provide the benefit, nor shall it be deemed discriminatory, if Contractor requires the employee to pay the monetary difference in order to provide the benefit to the domestic partner or to the spouse.
 - b. If Contractor is unable to provide a certain benefit, despite taking reasonable measures to do so, if Contractor provides the employee with a cash equivalent Contractor will not be deemed to be discriminating in the application of that benefit.
 - c. If Contractor provides employee benefits neither to employee's spouses nor to employee's domestic partners.
 - d. If Contractor provides employee benefits to employees on a basis unrelated to marital or domestic partner status.
 - e. If Contractor submits written evidence of making reasonable efforts to end discrimination in employee benefits by implementing policies that will be enacted before the first effective date after the first open enrollment process following the date this Agreement is executed by the City of Sacramento ("City"). Contractor understands that any delay in the implementation of such

policies may not exceed one (1) year from the date this Agreement is executed by the City, and applies only to those employee benefits for which an open enrollment process is applicable.

- f. Until administrative steps can be taken to incorporate nondiscrimination in employee benefits. The time allotted for these administrative steps will apply only to those employee benefits for which administrative steps are necessary and may not exceed three (3) months from the date this Agreement is executed by the City.
 - g. Until the expiration of a current collective bargaining agreement(s) if employee benefits are governed by such collective bargaining agreement(s).
 - h. Contractor takes all reasonable measures to end discrimination in employee benefits by either requesting that the union(s) involved agree to reopen the agreement(s) in order for Contractor to take whatever steps are necessary to end discrimination in employee benefits or by ending discrimination in employee benefits without reopening the collective bargaining agreement(s).
 - i. In the event Contractor cannot end discrimination in employee benefits despite taking all reasonable measures to do so, Contractor provides a cash equivalent to eligible employees for whom employee benefits are not available. Unless otherwise authorized in writing by the City Manager, Contractor understands this cash equivalent must begin at the time the union(s) refuse to allow the collective bargaining agreement(s) to be reopened or not longer than three (3) months after the date this Agreement is executed by the City.
5. Contractor understands that failure to comply with the provisions of Section 4(a) through 4(i), above, will subject Contractor to possible suspension and/or termination of this Agreement for cause; repayment of any or all of the Agreement amount disbursed by the City; debarment for future agreements until all penalties and restitution have been paid in full and/or for up to two (2) years; and/or the imposition of a penalty, payable to the City, in the sum of \$50.00 for each employee, for each calendar day during which the employee was discriminated against in violation of the provisions of the Ordinance.
6. Contractor understands and agrees to provide notice to each current employee and, within ten (10) days of hire, to each new employee, of their rights under the Ordinance. Contractor further agrees to maintain a copy of each such letter provided, in an appropriate file for inspection by authorized representatives of the City. Contractor also agrees to prominently display a poster informing each employee of these rights.
7. Contractor understands that Contractor has the right to request a waiver of, or exemption from, the provisions of the Ordinance by submitting a written request to the City's Procurement Services Division prior to Agreement award, which request shall identify the provision(s) of the Ordinance authorizing such waiver or exemption and the factual basis for such waiver or exemption. The City shall determine in its sole discretion whether to approve any such request.
8. Contractor agrees to defend, indemnify and hold harmless, the City, its officers and employees, against any claims, actions, damages, costs (including reasonable attorney fees), or other liabilities of any kind arising from any violation of the Requirements or of the Ordinance by Contractor.

The undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that he or she is authorized to bind the Contractor to the provisions of this Declaration.

Signature of Authorized Representative

Date

Print Name

Title

EXHIBIT A
PROFESSIONAL SERVICES AGREEMENT
SCOPE OF SERVICES

1. Representatives.

The CITY Representative for this Agreement is:

Steve Harriman, Integrated Waste General Manager
2812 Meadowview Road, Building 1, Sacramento, CA 95832
Phone: (916) 808-4949 / Fax: (916) 808-4999 / Email: SHarriman@cityofsacramento.org

All CONTRACTOR questions pertaining to this Agreement shall be referred to the CITY Representative or the Representative's designee.

The CONTRACTOR Representative for this Agreement is:

Name/Title
Address
Phone/Fax/E-mail

All CITY questions pertaining to this Agreement shall be referred to the CONTRACTOR Representative. All correspondence to CONTRACTOR shall be addressed to the address set forth on page one of this Agreement. Unless otherwise provided in this Agreement, all correspondence to the CITY shall be addressed to the CITY Representative.

2. Professional Liability Insurance. Professional Liability (Errors and Omissions) insurance is ___ is not ___ [*check one*] required for this Agreement. If required, such coverage must be continued for at least ____ year(s) following the completion of all Services and Additional Services under this Agreement. (See Exhibit D, Section 11, for complete insurance requirements.)

3. Conflict of Interest Requirements.

A. **Generally.** Under the California Political Reform Act, Government Code §§ 81000 et seq., designated employees of the CITY are required to comply with the CITY's Conflict of Interest Code. The term "designated employees" is a term of art and includes individuals who are working for contractors who are providing services or performing work for the CITY and who are considered to be "consultants" under the Political Reform Act. The term "consultant" generally includes individuals who make, or participate in making, governmental decisions or who serve in a staff capacity. Individuals who perform work that is solely clerical, ministerial, manual or secretarial are not "consultants."

The CITY's Conflict of Interest Code requires designated employees, including individuals who qualify as "consultants", to file the following statements of economic interests:

- (1) An “assuming office” statement of economic interests to be filed within 30 days after execution of the agreement between the City and the contractor;
- (2) Annual statements of economic interests while the agreement remains in effect, to be filed not later than April 30 of each year; and
- (3) A “leaving office” statement of economic interests to be filed within 30 days of completion of the contract.

The above statements of economic interests are public records subject to public disclosure under the California Public Records Act.

The CITY’s Conflict of Interest Code also requires individuals who qualify as “consultants” under the Political Reform Act to comply with the conflict of interest provisions of the Political Reform Act, which generally prohibit individuals from making or participating in the making of decisions that will have a material financial effect on their economic interests.

- B. **Conflict of Interest Statements.** The individual(s) who will provide services or perform work pursuant to this Agreement are “consultants” within the meaning of the Political Reform Act and the CITY’s Conflict of Interest Code: X yes no *[check one]*

If “yes” is checked above, CONTRACTOR shall cause the following to occur within 30 days after execution of this Agreement:

- (1) Identify the individuals who will provide services or perform work under this Agreement as “consultants”;
- (2) Cause these individuals to file with the CITY Representative the “assuming office” statements of economic interests required by the CITY’s Conflict of Interest Code.

Thereafter, throughout the term of the Agreement, CONTRACTOR shall cause these individuals to file with the CITY Representative annual statements of economic interests, and “leaving office” statements of economic interests, as required by the CITY’s Conflict of Interest Code. The CITY may withhold all or a portion of any payment due under this Agreement until all required statements are filed.

4. **Scope of Services.** *[Describe services to be provided here, or, if scope of services is described in an attachment, label the attachment “Attachment 1 to Exhibit A” and include the following sentence:]*

The services provided shall be as set forth in Attachment 1 to Exhibit A, attached hereto and incorporated herein.

5. **Time of Performance.** The services described herein shall be provided during the period, or in accordance with the schedule, set forth in the scope of services.

EXHIBIT B

PROFESSIONAL SERVICES AGREEMENT

FEE SCHEDULE/MANNER OF PAYMENT

1. **CONTRACTOR's Compensation.** The total of all fees paid to the CONTRACTOR for the performance of all services set forth in Exhibit A, including normal revisions (hereafter the "Services"), and for all authorized Reimbursable Expenses, shall not exceed the total sum of \$_____.

2. **Billable Rates.** CONTRACTOR shall be paid for the performance of Services on an hourly rate, daily rate, flat fee, lump sum or other basis, as set forth in Attachment 1 to Exhibit B, attached hereto and incorporated herein. *[Attach list of billable rates that apply, labeled "Attachment 1 to Exhibit B".]*

3. **CONTRACTOR's Reimbursable Expenses.** Reimbursable Expenses shall be limited to actual expenditures of CONTRACTOR for expenses that are necessary for the proper completion of the Services and shall only be payable if specifically authorized in advance by CITY.

4. **Payments to CONTRACTOR.**
 - A. Payments to CONTRACTOR shall be made within a reasonable time after receipt of CONTRACTOR's invoice, said payments to be made in proportion to services performed or as otherwise specified in Attachment 1 to Exhibit B. CONTRACTOR may request payment on a monthly basis. CONTRACTOR shall be responsible for the cost of supplying all documentation necessary to verify the monthly billings to the satisfaction of CITY.

 - B. All invoices submitted by CONTRACTOR shall contain the following information:
 - (1) Job Name
 - (2) Description of services billed under this invoice, and overall status of project
 - (3) Date of Invoice Issuance
 - (4) Sequential Invoice Number
 - (5) CITY's Purchase Order Number
 - (6) Total Contract Amount
 - (7) Amount of this Invoice (Itemize all Reimbursable Expenses)
 - (8) Total Billed to Date
 - (9) Total Remaining on Contract
 - (10) Updated project schedule. This shall identify those steps that shall be taken to bring the project back on schedule if it is behind schedule.

- C. Items shall be separated into Services and Reimbursable Expenses. Billings that do not conform to the format outlined above shall be returned to CONTRACTOR for correction. CITY shall not be responsible for delays in payment to CONTRACTOR resulting from CONTRACTOR's failure to comply with the invoice format described below.
- D. Requests for payment shall be sent to:

*Office
Address
Phone/Fax*

Attn: _____

- 5. **Additional Services.** Additional Services are those services related to the scope of services of CONTRACTOR set forth in Exhibit A but not anticipated at the time of execution of this Agreement. Additional Services shall be provided only when a Supplemental Agreement authorizing such Additional Services is approved by CITY in accordance with CITY's Supplemental Agreement procedures. CITY reserves the right to perform any Additional Services with its own staff or to retain other contractors to perform said Additional Services.
- 6. **Accounting Records of CONTRACTOR.** During performance of this Agreement and for a period of three (3) years after completing all Services and Additional Services hereunder, CONTRACTOR shall maintain all accounting and financial records related to this Agreement, including, but not limited to, records of CONTRACTOR's costs for all Services and Additional Services performed under this Agreement and records of CONTRACTOR's Reimbursable Expenses, in accordance with generally accepted accounting practices, and shall keep and make such records available for inspection and audit by representatives of the CITY upon reasonable written notice.
- 7. **Taxes.** CONTRACTOR shall pay, when and as due, any and all taxes incurred as a result of CONTRACTOR's compensation hereunder, including estimated taxes, and shall provide CITY with proof of such payment upon request. CONTRACTOR hereby agrees to indemnify CITY for any claims, losses, costs, fees, liabilities, damages or injuries suffered by CITY arising out of CONTRACTOR's breach of this Section 7.

EXHIBIT C
PROFESSIONAL SERVICES AGREEMENT
FACILITIES AND EQUIPMENT TO BE PROVIDED BY CITY

CITY shall [*check one*] Not furnish any facilities or equipment for this Agreement; or
 furnish the following facilities or equipment for the Agreement; [*list, if applicable*]

**EXHIBIT D
PROFESSIONAL SERVICES AGREEMENT**

GENERAL PROVISIONS

1. Independent Contractor.

- A. It is understood and agreed that CONTRACTOR (including CONTRACTOR's employees) is an independent contractor and that no relationship of employer-employee exists between the parties hereto for any purpose whatsoever. Neither CONTRACTOR nor CONTRACTOR's assigned personnel shall be entitled to any benefits payable to employees of CITY. CITY is not required to make any deductions or withholdings from the compensation payable to CONTRACTOR under the provisions of this Agreement, and CONTRACTOR shall be issued a Form 1099 for its services hereunder. As an independent contractor, CONTRACTOR hereby agrees to indemnify and hold CITY harmless from any and all claims that may be made against CITY based upon any contention by any of CONTRACTOR's employees or by any third party, including but not limited to any state or federal agency, that an employer-employee relationship or a substitute therefor exists for any purpose whatsoever by reason of this Agreement or by reason of the nature and/or performance of any Services under this Agreement. (As used in this Exhibit D, the term "Services" shall include both Services and Additional Services as such terms are defined elsewhere in this Agreement.)

- B. It is further understood and agreed by the parties hereto that CONTRACTOR, in the performance of its obligations hereunder, is subject to the control and direction of CITY as to the designation of tasks to be performed and the results to be accomplished under this Agreement, but not as to the means, methods, or sequence used by CONTRACTOR for accomplishing such results. To the extent that CONTRACTOR obtains permission to, and does, use CITY facilities, space, equipment or support services in the performance of this Agreement, this use shall be at the CONTRACTOR's sole discretion based on the CONTRACTOR's determination that such use will promote CONTRACTOR's efficiency and effectiveness. Except as may be specifically provided elsewhere in this Agreement, the CITY does not require that CONTRACTOR use CITY facilities, equipment or support services or work in CITY locations in the performance of this Agreement.

- C. If, in the performance of this Agreement, any third persons are employed by CONTRACTOR, such persons shall be entirely and exclusively under the direction, supervision, and control of CONTRACTOR. Except as may be specifically provided elsewhere in this Agreement, all terms of employment, including hours, wages, working conditions, discipline, hiring, and discharging, or any other terms of employment or requirements of law, shall be determined by CONTRACTOR. It is further understood and agreed that CONTRACTOR shall issue W-2 or 1099 Forms for income and employment tax purposes, for all of CONTRACTOR's assigned personnel and subcontractors.

D. The provisions of this Section 1 shall survive any expiration or termination of this Agreement. Nothing in this Agreement shall be construed to create an exclusive relationship between CITY and CONTRACTOR. CONTRACTOR may represent, perform services for, or be employed by such additional persons or companies as CONTRACTOR sees fit provided that CONTRACTOR does not violate the provisions of Section 5, below.

2. **Licenses; Permits, Etc.** CONTRACTOR represents and warrants that CONTRACTOR has all licenses, permits, City Business Operations Tax Certificate, qualifications, and approvals of whatsoever nature that are legally required for CONTRACTOR to practice its profession or provide any services under the Agreement. CONTRACTOR represents and warrants that CONTRACTOR shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this Agreement any licenses, permits, and approvals that are legally required for CONTRACTOR to practice its profession or provide such Services. Without limiting the generality of the foregoing, if CONTRACTOR is an out-of-state corporation, CONTRACTOR warrants and represents that it possesses a valid certificate of qualification to transact business in the State of California issued by the California Secretary of State pursuant to Section 2105 of the California Corporations Code.
3. **Time.** CONTRACTOR shall devote such time and effort to the performance of Services pursuant to this Agreement as is necessary for the satisfactory and timely performance of CONTRACTOR's obligations under this Agreement. Neither party shall be considered in default of this Agreement, to the extent that party's performance is prevented or delayed by any cause, present or future, that is beyond the reasonable control of that party.
4. **CONTRACTOR Not Agent.** Except as CITY may specify in writing, CONTRACTOR and CONTRACTOR's personnel shall have no authority, express or implied, to act on behalf of CITY in any capacity whatsoever as an agent. CONTRACTOR and CONTRACTOR's personnel shall have no authority, express or implied, to bind CITY to any obligations whatsoever.
5. **Conflicts of Interest.** CONTRACTOR covenants that neither it, nor any officer or principal of its firm, has or shall acquire any interest, directly or indirectly, that would conflict in any manner with the interests of CITY or that would in any way hinder CONTRACTOR's performance of Services under this Agreement. CONTRACTOR further covenants that in the performance of this Agreement, no person having any such interest shall be employed by it as an officer, employee, agent or subcontractor, without the written consent of CITY. CONTRACTOR agrees to avoid conflicts of interest or the appearance of any conflicts of interest with the interests of CITY at all times during the performance of this Agreement. If CONTRACTOR is or employs a former officer or employee of the CITY, CONTRACTOR and any such employee(s) shall comply with the provisions of Sacramento City Code Section 2.16.090 pertaining to appearances before the City Council or any CITY department, board, commission or committee.
6. **Confidentiality of CITY Information.** During performance of this Agreement, CONTRACTOR may gain access to and use CITY information regarding inventions, machinery, products, prices, apparatus, costs, discounts, future plans, business affairs, governmental affairs, processes, trade secrets, technical matters, systems, facilities, customer lists, product design, copyright, data, and other vital information (hereafter collectively referred to as "City Information") that are

valuable, special and unique assets of the CITY. CONTRACTOR agrees to protect all City Information and treat it as strictly confidential, and further agrees that CONTRACTOR shall not at any time, either directly or indirectly, divulge, disclose or communicate in any manner any City Information to any third party without the prior written consent of CITY. In addition, CONTRACTOR shall comply with all CITY policies governing the use of the CITY network and technology systems, as set forth in applicable provisions of the City of Sacramento Administrative Policy Instructions # 30. A violation by CONTRACTOR of this Section 6 shall be a material violation of this Agreement and shall justify legal and/or equitable relief.

7. CONTRACTOR Information.

- A. CITY shall have full ownership and control, including ownership of any copyrights, of all information prepared, produced, or provided by CONTRACTOR pursuant to this Agreement. In this Agreement, the term "information" shall be construed to mean and include: any and all work product, submittals, reports, plans, specifications, and other deliverables consisting of documents, writings, handwritings, typewriting, printing, photostating, photographing, computer models, and any other computerized data and every other means of recording any form of information, communications, or representation, including letters, works, pictures, drawings, sounds, or symbols, or any combination thereof. CONTRACTOR shall not be responsible for any unauthorized modification or use of such information for other than its intended purpose by CITY.
- B. CONTRACTOR shall fully defend, indemnify and hold harmless CITY, its officers and employees, and each and every one of them, from and against any and all claims, actions, lawsuits or other proceedings alleging that all or any part of the information prepared, produced, or provided by CONTRACTOR pursuant to this Agreement infringes upon any third party's trademark, trade name, copyright, patent or other intellectual property rights. CITY shall make reasonable efforts to notify CONTRACTOR not later than ten (10) days after CITY is served with any such claim, action, lawsuit or other proceeding, provided that CITY's failure to provide such notice within such time period shall not relieve CONTRACTOR of its obligations hereunder, which shall survive any termination or expiration of this Agreement.
- C. All proprietary and other information received from CONTRACTOR by CITY, whether received in connection with CONTRACTOR's proposal to CITY or in connection with any Services performed by CONTRACTOR, will be disclosed upon receipt of a request for disclosure, pursuant to the California Public Records Act; provided, however, that, if any information is set apart and clearly marked "trade secret" when it is provided to CITY, CITY shall give notice to CONTRACTOR of any request for the disclosure of such information. The CONTRACTOR shall then have five (5) days from the date it receives such notice to enter into an agreement with the CITY, satisfactory to the City Attorney, providing for the defense of, and complete indemnification and reimbursement for all costs (including plaintiff's attorney fees) incurred by CITY in any legal action to compel the disclosure of such information under the California Public Records Act. The CONTRACTOR shall have sole responsibility for defense of the actual "trade secret" designation of such information.
- D. The parties understand and agree that any failure by CONTRACTOR to respond to the notice provided by CITY and/or to enter into an agreement with CITY, in accordance with

the provisions of subsection C, above, shall constitute a complete waiver by CONTRACTOR of any rights regarding the information designated "trade secret" by CONTRACTOR, and such information shall be disclosed by CITY pursuant to applicable procedures required by the Public Records Act.

8. Standard of Performance. CONTRACTOR shall perform all Services required pursuant to this Agreement in the manner and according to the standards currently observed by a competent practitioner of CONTRACTOR's profession in California. All products of whatsoever nature that CONTRACTOR delivers to CITY pursuant to this Agreement shall be prepared in a professional manner and conform to the standards of quality normally observed by a person currently practicing in CONTRACTOR's profession, and shall be provided in accordance with any schedule of performance specified in Exhibit A. CONTRACTOR shall assign only competent personnel to perform Services pursuant to this Agreement. CONTRACTOR shall notify CITY in writing of any changes in CONTRACTOR's staff assigned to perform the Services required under this Agreement, prior to any such performance. In the event that CITY, at any time during the term of this Agreement, desires the removal of any person assigned by CONTRACTOR to perform Services pursuant to this Agreement, because CITY, in its sole discretion, determines that such person is not performing in accordance with the standards required herein, CONTRACTOR shall remove such person immediately upon receiving notice from CITY of the desire of CITY for the removal of such person.

9. Term; Suspension; Termination.

- A. This Agreement shall become effective on the date that it is approved by both parties, set forth on the first page of the Agreement, and shall continue in effect until both parties have fully performed their respective obligations under this Agreement, unless sooner terminated as provided herein.
- B. CITY shall have the right at any time to temporarily suspend CONTRACTOR's performance hereunder, in whole or in part, by giving a written notice of suspension to CONTRACTOR. If CITY gives such notice of suspension, CONTRACTOR shall immediately suspend its activities under this Agreement, as specified in such notice.
- C. CITY shall have the right to terminate this Agreement at any time by giving a written notice of termination to CONTRACTOR. If CITY gives such notice of termination, CONTRACTOR shall immediately cease rendering Services pursuant to this Agreement. If CITY terminates this Agreement:
 - (1) CONTRACTOR shall, not later than five days after such notice of termination, deliver to CITY copies of all information prepared pursuant to this Agreement.
 - (2) CITY shall pay CONTRACTOR the reasonable value of Services rendered by CONTRACTOR prior to termination; provided, however, CITY shall not in any manner be liable for lost profits that might have been made by CONTRACTOR had the Agreement not been terminated or had CONTRACTOR completed the Services required by this Agreement. In this regard, CONTRACTOR shall furnish to CITY such financial information as in the judgment of the CITY is necessary for CITY to determine the reasonable value of the Services rendered by CONTRACTOR. The foregoing is cumulative and does not affect any right or

remedy that CITY may have in law or equity.

10. Indemnity.

- A. Indemnity: CONTRACTOR shall defend, hold harmless and indemnify CITY, its officers and employees, and each and every one of them, from and against any and all actions, damages, costs, liabilities, claims, demands, losses, judgments, penalties, costs and expenses of every type and description, including, but not limited to, any fees and/or costs reasonably incurred by CITY's staff attorneys or outside attorneys and any fees and expenses incurred in enforcing this provision (hereafter collectively referred to as "Liabilities"), including but not limited to Liabilities arising from personal injury or death, damage to personal, real or intellectual property or the environment, contractual or other economic damages, or regulatory penalties, arising out of or in any way connected with performance of or failure to perform this Agreement by CONTRACTOR, any sub-consultant, subcontractor or agent, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, whether or not (i) such Liabilities are caused in part by a party indemnified hereunder or (ii) such Liabilities are litigated, settled or reduced to judgment; provided that the foregoing indemnity does not apply to liability for any damage or expense for death or bodily injury to persons or damage to property to the extent arising from the sole negligence or willful misconduct of CITY, its agents, servants, or independent contractors who are directly responsible to CITY, except when such agents, servants, or independent contractors are under the direct supervision and control of CONTRACTOR.
- B. Insurance Policies; Intellectual Property Claims: The existence or acceptance by CITY of any of the insurance policies or coverages described in this Agreement shall not affect or limit any of CITY's rights under this Section 10, nor shall the limits of such insurance limit the liability of CONTRACTOR hereunder. This Section 10 shall not apply to any intellectual property claims, actions, lawsuits or other proceedings subject to the provisions of Section 7.B., above. The provisions of this Section 10 shall survive any expiration or termination of this Agreement.

11. Insurance Requirements. During the entire term of this Agreement, CONTRACTOR shall maintain the insurance coverage described in this Section 11.

Full compensation for all premiums that CONTRACTOR is required to pay for the insurance coverage described herein shall be included in the compensation specified for the Services provided by CONTRACTOR under this Agreement. No additional compensation will be provided for CONTRACTOR's insurance premiums.

It is understood and agreed by the CONTRACTOR that its liability to the CITY shall not in any way be limited to or affected by the amount of insurance coverage required or carried by the CONTRACTOR in connection with this Agreement.

A. Minimum Scope & Limits of Insurance Coverage

- (1) Commercial General Liability Insurance, providing coverage at least as broad as ISO CGL Form 00 01 on an occurrence basis for bodily injury, including death, of one or more persons, property damage and personal injury, with limits of not less

than one million dollars (\$1,000,000) per occurrence. The policy shall provide contractual liability and products and completed operations coverage for the term of the policy.

- (2) Automobile Liability Insurance providing coverage at least as broad as ISO Form CA 00 01 on an occurrence basis for bodily injury, including death, of one or more persons, property damage and personal injury, with limits of not less than one million dollars (\$1,000,000) per occurrence. The policy shall provide coverage for owned, non-owned and/or hired autos as appropriate to the operations of the CONTRACTOR.

No automobile liability insurance shall be required if CONTRACTOR completes the following certification:

"I certify that a motor vehicle will not be used in the performance of any work or services under this agreement." _____ (CONTRACTOR initials)

- (3) Workers' Compensation Insurance with statutory limits, and Employers' Liability Insurance with limits of not less than one million dollars (\$1,000,000). The Worker's Compensation policy shall include a waiver of subrogation for contracts involving construction or maintenance, or if required by the CITY by selecting the option below:

_____ Workers' Compensation waiver of subrogation in favor of the City is required for all work performed by the CONTRACTOR.

No Workers' Compensation insurance shall be required if CONTRACTOR completes the following certification:

"I certify that my business has no employees, and that I do not employ anyone. I am exempt from the legal requirements to provide Workers' Compensation insurance." _____ (CONTRACTOR initials)

- (4) Professional Liability Insurance providing coverage on a claims made basis for errors, omissions or malpractice with limits of not less than one million (\$1,000,000) dollars if required by the CITY under Exhibit A, Section 2.

B. Additional Insured Coverage

- (1) Commercial General Liability Insurance: The CITY, its officials, employees and volunteers shall be covered by policy terms or endorsement as additional insureds as respects general liability arising out of activities performed by or on behalf of CONTRACTOR, products and completed operations of CONTRACTOR, and premises owned, leased or used by CONTRACTOR. The general liability additional insured endorsement must be signed by an authorized representative of the insurance carrier for contracts involving construction or maintenance, or if required by the CITY by selecting the option below:

_____ Additional insured endorsement must be signed by an authorized representative of the insurance carrier.

If the policy includes a blanket additional insured endorsement or contractual additional insured coverage, the above signature requirement may be fulfilled by submitting that document with a signed declaration page referencing the blanket endorsement or policy form.

- (2) Automobile Liability Insurance: The CITY, its officials, employees and volunteers shall be covered by policy terms or endorsement as additional insureds as respects auto liability.

C. Other Insurance Provisions

The policies are to contain, or be endorsed to contain, the following provisions:

- (1) Except for professional liability, CONTRACTOR's insurance coverage shall be primary insurance as respects CITY, its officials, employees and volunteers. Any insurance or self-insurance maintained by CITY, its officials, employees or volunteers shall be in excess of CONTRACTOR's insurance and shall not contribute with it.
- (2) Any failure to comply with reporting provisions of the policies shall not affect coverage provided to CITY, its officials, employees or volunteers.
- (3) Coverage shall state that CONTRACTOR's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
- (4) CITY will be provided with thirty (30) days written notice of cancellation or material change in the policy language or terms.

D. Acceptability of Insurance

Insurance shall be placed with insurers with a Bests' rating of not less than A:V. Self-insured retentions, policy terms or other variations that do not comply with the requirements of this Section 11 must be declared to and approved by the CITY Risk Management Division in writing prior to execution of this Agreement.

E. Verification of Coverage

- (1) CONTRACTOR shall furnish CITY with certificates and required endorsements evidencing the insurance required. The certificates and endorsements shall be forwarded to the CITY representative named in Exhibit A. Copies of policies shall be delivered to the CITY on demand. Certificates of insurance shall be signed by an authorized representative of the insurance carrier.
- (2) The CITY may withdraw its offer of contract or cancel this Agreement if the certificates of insurance and endorsements required have not been provided

prior to execution of this Agreement. The CITY may withhold payments to CONTRACTOR and/or cancel the Agreement if the insurance is canceled or CONTRACTOR otherwise ceases to be insured as required herein.

F. Subcontractors

CONTRACTOR shall require and verify that all sub-consultants and subcontractors maintain insurance coverage that meets the minimum scope and limits of insurance coverage specified in subsection A, above.

12. Equal Employment Opportunity. During the performance of this Agreement, CONTRACTOR, for itself, its assignees and successors in interest, agrees as follows:

- A. Compliance With Regulations: CONTRACTOR shall comply with the Executive Order 11246 entitled "Equal Opportunity in Federal Employment", as amended by Executive Order 11375 and 12086, and as supplemented in Department of Labor regulations (41 CFR Chapter 60), hereinafter collectively referred to as the "Regulations".
- B. Nondiscrimination: CONTRACTOR, with regards to the work performed by it after award and prior to completion of the work pursuant to this Agreement, shall not discriminate on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation in selection and retention of subcontractors, including procurement of materials and leases of equipment. CONTRACTOR shall not participate either directly or indirectly in discrimination prohibited by the Regulations.
- C. Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiations made by CONTRACTOR for work to be performed under any subcontract, including all procurement of materials or equipment, each potential subcontractor or supplier shall be notified by CONTRACTOR of CONTRACTOR's obligation under this Agreement and the Regulations relative to nondiscrimination on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation.
- D. Information and Reports: CONTRACTOR shall provide all information and reports required by the Regulations, or by any orders or instructions issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the CITY to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of CONTRACTOR is in the exclusive possession of another who fails or refuses to furnish this information, CONTRACTOR shall so certify to the CITY, and shall set forth what efforts it has made to obtain the information.
- E. Sanctions for Noncompliance: In the event of noncompliance by CONTRACTOR with the nondiscrimination provisions of this Agreement, the CITY shall impose such sanctions as it may determine to be appropriate including, but not limited to:
 - (1) Withholding of payments to CONTRACTOR under this Agreement until CONTRACTOR complies;

(2) Cancellation, termination, or suspension of the Agreement, in whole or in part.

F. Incorporation of Provisions: CONTRACTOR shall include the provisions of subsections A through E, above, in every subcontract, including procurement of materials and leases of equipment, unless exempted by the Regulations, or by any order or instructions issued pursuant thereto. CONTRACTOR shall take such action with respect to any subcontract or procurement as the CITY may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event CONTRACTOR becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, CONTRACTOR may request CITY to enter such litigation to protect the interests of CITY.

13. **Entire Agreement.** This document, including all Exhibits, contains the entire agreement between the parties and supersedes whatever oral or written understanding they may have had prior to the execution of this Agreement. No alteration to the terms of this Agreement shall be valid unless approved in writing by CONTRACTOR, and by CITY, in accordance with applicable provisions of the Sacramento City Code.

14. **Severability.** If any portion of this Agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this Agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.

15. **Waiver.** Neither CITY acceptance of, or payment for, any Service or Additional Service performed by CONTRACTOR, nor any waiver by either party of any default, breach or condition precedent, shall be construed as a waiver of any provision of this Agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.

16. **Enforcement of Agreement.** This Agreement shall be governed, construed and enforced in accordance with the laws of the State of California. Venue of any litigation arising out of or connected with this Agreement shall lie exclusively in the state trial court or Federal District Court located in Sacramento County in the State of California, and the parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such courts, and consent to service of process issued by such courts.

17. **Assignment Prohibited.** The expertise and experience of CONTRACTOR are material considerations for this Agreement. CITY has a strong interest in the qualifications and capability of the persons and entities who will fulfill the obligations imposed on CONTRACTOR under this Agreement. In recognition of this interest, CONTRACTOR shall not assign any right or obligation pursuant to this Agreement without the written consent of the CITY. Any attempted or purported assignment without CITY's written consent shall be void and of no effect.

18. **Binding Effect.** This Agreement shall be binding on the heirs, executors, administrators, successors and assigns of the parties, subject to the provisions of Section 17, above.

19. **Use Tax Requirements.** During the performance of this Agreement, CONTRACTOR, for itself, its assignees and successors in interest, agrees as follows:

A. Use Tax Direct Payment Permit: For all leases and purchases of materials, equipment, supplies, or other tangible personal property used to perform the Contract or Agreement

and shipped from outside California, the Contractor and any subcontractors leasing or purchasing such materials, equipment, supplies or other tangible personal property shall obtain a Use Tax Direct Payment Permit from the California State Board of Equalization ("SBE") in accordance with the applicable SBE criteria and requirements.

- B. Sellers Permit: For any construction contract and any construction subcontract in the amount of \$5,000,000 or more, Contractor and the subcontractor(s) shall obtain sellers permits from the SBE and shall register the jobsite as the place of business for the purpose of allocating local sales and use tax to the City. Contractor and its subcontractors shall remit the self-accrued use tax to the SBE, and shall provide a copy of each remittance to the City.
- C. The above provisions shall apply in all instances unless prohibited by the funding source for the Contract or Agreement.

EXHIBIT E

REQUIREMENTS OF THE NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE

INTRODUCTION

The Sacramento Non-Discrimination In Employee Benefits Code (the "Ordinance"), codified as Sacramento City Code Chapter 3.54, prohibits City contractors from discriminating in the provision of employee benefits between employees with spouses and employees with domestic partners, and between the spouses and domestic partners of employees.

APPLICATION

The provisions of the Ordinance apply to any contract or agreement (as defined below), between a Contractor and the City of Sacramento, in an amount exceeding \$25,000.00. The Ordinance applies to that portion of a contractor's operations that occur: (i) within the City of Sacramento; (ii) on real property outside the City of Sacramento if the property is owned by the City or if the City has a right to occupy the property; or (iii) at any location where a significant amount of work related to a City contract is being performed.

The Ordinance does not apply: to subcontractors or subcontracts of any Contractor or contractors; to transactions entered into pursuant to cooperative purchasing agreements approved by the Sacramento City Council; to legal contracts of other governmental jurisdictions or public agencies without separate competitive bidding by the City; where the requirements of the ordinance will violate or are inconsistent with the terms or conditions of a grant, subvention or agreement with a public agency or the instructions of an authorized representative of any such agency with respect to any such grant, subvention or agreement; to permits for excavation or street construction; or to agreements for the use of City right-of-way where a contracting utility has the power of eminent domain.

DEFINITIONS

As set forth in the Ordinance, the following definitions apply:

"Contract" means an agreement for public works or improvements to be performed, or for goods or services to be purchased or grants to be provided, at the expense of the City or to be paid out of moneys deposited in the treasury or out of the trust money under the control or collected by the City. "Contract" also means a written agreement for the exclusive use ("exclusive use" means the right to use or occupy real property to the exclusion of others, other than the right reserved by the fee owner) or occupancy of real property for a term exceeding 29 days in any calendar year, whether by singular or cumulative instrument, (i) for the operation or use by others of real property owned or controlled by the City for the operation of a business, social, or other establishment or organization, including leases, concessions, franchises and easements, or (ii) for the City's use or occupancy of real property owned by others, including leases, concessions, franchises and easements.

"Contract" shall not include: a revocable at-will use or encroachment permit for the use of or encroachment on City property regardless of the ultimate duration of such permit; excavation, street construction or street use permits; agreements for the use of City right-of-way where a contracting utility has the power of eminent domain; or agreements governing the use of City property that constitute a public forum for activities that are primarily for the purpose of espousing or advocating causes or ideas

and that are generally protected by the First Amendment to the United States Constitution or that are primarily recreational in nature.

“Contractor” means any person or persons, firm partnership or corporation, company, or combination thereof, that enters into a Contract with the City. “Contractor” does not include a public entity.

“Domestic Partner” means any person who has a currently registered domestic partnership with a governmental entity pursuant to state or local law authorizing the registration.

“Employee Benefits” means bereavement leave; disability, life, and other types of insurance; family medical leave; health benefits; membership or membership discounts; moving expenses; pension and retirement benefits; vacation; travel benefits; and any other benefit given to employees. “Employee benefits” shall not include benefits to the extent that the application of the requirements of this chapter to such benefits may be preempted by federal or state.

CONTRACTOR’S OBLIGATION TO PROVIDE THE CITY WITH DOCUMENTATION AND INFORMATION

Contractor shall provide the City with documentation and information verifying its compliance with the requirements of the Ordinance within ten (10) days of receipt of a request from the City. Contractors shall keep accurate payroll records, showing, for each City Contract, the employee’s name, address, Social Security number, work classification, straight time pay rate, overtime pay rate, overtime hours worked, status and exemptions, and benefits for each day and pay period that the employee works on the City Contract. Each request for payroll records shall be accompanied by an affidavit to be completed and returned by the Contractor, as stated, attesting that the information contained in the payroll records is true and correct, and that the Contractor has complied with the requirements of the Ordinance. A violation of the Ordinance or noncompliance with the requirements of the Ordinance shall constitute a breach of contract.

EMPLOYER COMPLIANCE CERTIFICATE AND NOTICE REQUIREMENTS

(a) All contractors seeking a Contract subject to the Ordinance shall submit a completed Declaration of Compliance Form, signed by an authorized representative, with each proposal, bid or application. The Declaration of Compliance shall be made a part of the executed contract, and will be made available for public inspection and copying during regular business hours.

(b) The Contractor shall give each existing employee working directing on a City contract, and (at the time of hire), each new employee, a copy of the notification provided as Attachment “A.”

(c) Contractor shall post, in a place visible to all employees, a copy of the notice provided as Attachment “B.”

Attachment A



YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE

On (date), your employer (the "Employer") entered into a contract with the City of Sacramento (the "City") for (contract details), and as a condition of that contract, agreed to abide by the requirements of the City's Non-Discrimination In Employee Benefits Code (Sacramento City Code Section 3.54).

The Ordinance does not require the Employer to provide employee benefits. The Ordinance does require that if certain employee benefits are provided by the Employer, that those benefits be provided without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouse or domestic partner of employees.

The Ordinance covers any employee working on the specific contract referenced above, but only for the period of time while those employees are actually working on this specific contract.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

(Employee Benefits does not include benefits that may be preempted by federal or state law.)

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, or in the application of these employee benefits, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of the Ordinance, and after having exhausted all remedies with your employer,

You May . . .

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento
Procurement Services Division
5730 24th Street, Bldg. 1
Sacramento, CA 95822

- Bring an action in the appropriate division of the Superior Court of the State of California against the Employer and obtain the following remedies:
 - Reinstatement, injunctive relief, compensatory damages and punitive damages
 - Reasonable attorney's fees and costs

Attachment B



YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE

If your employer provides employee benefits, they must be provided to those employees working on a City of Sacramento contract without discriminating between employees with spouses and employees with domestic partners.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

If you feel you have been discriminated against by your employer . . .

You May . . .

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento
Procurement Services Division
5730 24th Street, Bldg. 1
Sacramento, CA 95822
- Bring an action in the appropriate division of the Superior Court of the State of California against the employer and obtain reinstatement, injunctive relief, compensatory damages, punitive damages and reasonable attorney's fees and costs.

Discrimination and Retaliation Prohibited.

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of this Ordinance . . .

You May Also . . .

Submit a written complaint to the City of Sacramento, Contract Services Unit, at the same address, containing the details of the alleged violation.